



National Comprehensive
Cancer Network®

NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®)

Acute Myeloid Leukemia

Version 3.2019 — May 7, 2019

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Clinical Trials: NCCN believes that the best management for any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.

To find clinical trials online at NCCN Member Institutions, [click here:](#)
nccn.org/clinical_trials/clinicians.html.

NCCN Categories of Evidence and Consensus: All recommendations are category 2A unless otherwise indicated.

See [NCCN Categories of Evidence and Consensus](#).

The NCCN Guidelines® are a statement of evidence and consensus of the authors regarding their views of currently accepted approaches to treatment. Any clinician seeking to apply or consult the NCCN Guidelines is expected to use independent medical judgment in the context of individual clinical circumstances to determine any patient's care or treatment. The National Comprehensive Cancer Network® (NCCN®) makes no representations or warranties of any kind regarding their content, use or application and disclaims any responsibility for their application or use in any way. The NCCN Guidelines are copyrighted by National Comprehensive Cancer Network®. All rights reserved. The NCCN Guidelines and the illustrations herein may not be reproduced in any form without the express written permission of NCCN. ©2019.



Updates in Version 3.2019 of the NCCN Guidelines for Acute Myeloid Leukemia from Version 2.2019 include:
The Discussion section was updated to reflect changes in the algorithm.

Updates in Version 2.2019 of the NCCN Guidelines for Acute Myeloid Leukemia from Version 1.2019 include:

[AML-15](#)

- Age ≥60 y, post-remission therapy, complete response
 - ▶ 5th option was revised, "Dual-drug liposomal encapsulation of daunorubicin 44 29 mg/m² and cytarabine 400 65 mg/m² IV over 90 min on days 1 and 3 x 1 cycle..."

Updates in Version 1.2019 of the NCCN Guidelines for Acute Myeloid Leukemia from Version 3.2018 include:

[AML-1](#)

Evaluation for Acute Leukemia

- Bullet 5 revised from "Cytogenetic analyses (karyotype ± FISH)" to "Cytogenetic analyses (karyotype + FISH)"
- Bullet 7 added: Comprehensive pathology report, including diagnosis of AML with recurrent cytogenetics vs. AML NOS, blast count, cellularity, morphologic dysplasia, and mutation status if available.
- Bullet 13 modified: "...history or symptoms of cardiac disease or prior/planned exposure to cardiotoxic drugs or radiation to thorax"
- Last bullet removed: ~~Central venous access device of choice~~

Diagnosis

- Content from AML-2 moved to this section: In patients with clinical and pathologic features of APL, start all-trans retinoic acid (ATRA) upon first suspicion of APL. Early initiation of ATRA may prevent the lethal complication of bleeding. If cytogenetic and molecular testing do not confirm APL, discontinue ATRA and continue treatment as for AML.

[AML-1A](#)

- Footnote a modified: A variety of gene mutations are associated with specific prognoses (category 2A) and may guide medical decision making (category 2B) (See [AML-A](#)). ~~Currently, c-KIT, FLT3-ITD, FLT3-TKD, NPM1, biallelic CEBPA, IDH1/IDH2, TP53, RUNX1, and ASXL1 are included in this group. However, this field is evolving rapidly. Other mutations, such as FLT3-ITD, FLT3-TKD, IDH1/2, NPM1, and c-KIT may have therapeutic implications. The field of genomics in myeloid malignancies, and related implications in AML, are evolving rapidly.~~ While the above mutations should be tested in all patients, multiplex gene panels and next-generation sequencing analysis may be used to obtain a more comprehensive prognostic assessment (Papaemmanuil E, et al. Genomic classification and prognosis in acute myeloid leukemia. N Engl J Med 2016;374:2209-2221). ~~The information obtained may have prognostic impact in AML, may influence medical decision making regarding consolidation with chemotherapy versus an allogeneic hematopoietic stem cell transplant, or determination for eligibility for clinical trial participation (see [Discussion](#)).~~ If a test is not available at your institution, consult the pathology team (prior to performing the marrow evaluation) about preserving material from the original diagnostic sample for future testing at an outside reference lab. *Peripheral blood may alternatively be used to detect molecular abnormalities in patients with morphologically detectable, circulating leukemic blasts.* ~~Circulating blasts from peripheral blood may alternatively be used to detect molecular abnormalities in patients with a minimum of 10% involvement by the myeloid neoplasm to prevent false-negative results.~~
- Footnote f modified: Patients who present with isolated extramedullary disease (myeloid sarcoma) should be treated with systemic therapy. Local therapy (~~surgery~~/radiation therapy [RT] *or surgery [rare cases]*) may be used for residual disease. See Principles of Radiation Therapy AML-C.
- Footnote g was revised and moved to AML-1 from AML-2: ~~In patients with clinical and pathologic features of APL, start all-trans retinoic acid (ATRA) upon first suspicion of APL. Early initiation of ATRA may prevent the lethal complication of bleeding. If cytogenetic and molecular testing do not confirm APL, discontinue ATRA and continue treatment as for AML. All-trans retinoic acid (ATRA) should be available in all community hospitals, so appropriate therapy can be started promptly.~~

[AML-2](#)

APL: Classification and Treatment Recommendation

- Footnote g was revised and moved to AML-1.
- Footnote h moved to AML-3: Several groups have published large trials with excellent outcomes. However, to achieve the expected results, one should use the regimen consistently through all components and not mix induction from one trial with consolidation from another.
- Footnote j removed: ~~New data suggest similar outcomes in patients with low or intermediate risk. These risk groups are combined into one category in most treatment protocols. (also applies to AML-3A)~~

[Continued](#)**UPDATES**

**Updates in Version 1.2019 of the NCCN Guidelines for Acute Myeloid Leukemia from Version 3.2018 include:****[AML-3](#)**

- **Modified statement: Bone marrow at day 28 to document *morphologic* remission before proceeding with consolidation**
- **2nd regimen listed under "Other Recommended Regimens" category was moved to "Preferred Regimens" category**

[AML-3A](#)

- **Footnote m modified with this reference: Kutny MA, et al. Arsenic trioxide consolidation allows anthracycline dose reduction for pediatric patients with acute promyelocytic leukemia: Report From the Children's Oncology Group Phase III Historically Controlled Trial AAML0631. J Clin Oncol 2017;35:3021-3029. (also applies to AML-4A, AML-5A)**
- **Footnote n modified by adding this sentence: QTc and monitoring and optimizing electrolytes are important in safe administration of arsenic trioxide. (also applies to AML-4A, AML-5A, AML-7)**
- **Footnote r modified: "If no evidence of morphologic disease (*ie, absence of blasts and abnormal promyelocytes*), *discontinue* ATRA and arsenic trioxide ~~can be discontinued~~ to allow for peripheral blood recovery..." (also applies to AML-4A, AML-5A)**
- **Footnote s modified by adding this sentence: The presence of measurable molecular markers does not carry prognostic or therapeutic implications.**

[AML-4](#)

- **Consolidation Therapy heading modified by adding this sentence: See references for details on regimens including maintenance therapy.**

[AML-5](#)

- **Categories of Low Ejection Fraction and Prolonged QTc added.**

[AML-6](#)**Post Consolidation Therapy**

- **Phrase modified: "Maintenance therapy ~~per~~ *if included* in the initial treatment protocol"**

Footnote ff; second sentence modified: In patients receiving the ATRA/arsenic regimen, consider earlier sampling at 3–4 months *during after* consolidation

[AML-8](#)**Categories added for Treatment Strategies:**

- **Favorable-risk cytogenetics**
- **Intermediate-risk cytogenetics and CD33+; Intermediate-risk cytogenetics and *FLT3*-mutant (ITD and TKD)**
 - ▶ **Footnote oo added: Threshold for CD33 is not well-defined and may be $\geq 1\%$. (also added to AML-12, AML-13)**
 - ▶ **Regimen added: Standard-dose cytarabine 200 mg/m² continuous infusion x 7 days with daunorubicin 60 mg/m² x 3 days and gemtuzumab ozogamicin 3 mg/m² (up to one 4.5 mg vial) on day 1 (CD33-positive)**
 - ▶ **2nd regimen clarified by adding "(*FLT3*-mutated AML)"**
- **Therapy-related AML other than CBF/APL; Antecedent MDS/CMML; Cytogenetic changes consistent with MDS (AML-MRC)**
 - ▶ **2nd regimen modified: Dual-drug liposomal encapsulation of ~~cytarabine 100 mg/m² IV and daunorubicin 44 mg/m²~~ *daunorubicin 44 mg/m² and cytarabine 100 mg/m² IV over 90 min on days 1, 3, and 5 x 1 cycle (category 2B)***
- **Other recommended regimens for intermediate- or poor-risk disease**
 - ▶ **1st regimen added: Standard-dose cytarabine 100–200 mg/m² continuous infusion x 7 days with idarubicin 12 mg/m² or daunorubicin 60–90 mg/m² x 3 days (category 1).**

[Continued](#)**UPDATES**

**Updates in Version 1.2019 of the NCCN Guidelines for Acute Myeloid Leukemia from Version 3.2018 include:****AML-8A**

- Footnote ll, second sentence modified: "...Measures to rapidly reduce the WBC count include apheresis or, hydroxyurea and/or a single dose of cytarabine (1-2 g)..." (also applies to AML-12A, AML-13A)
- Footnote rr modified: Burnett AK, Hills RK, Milligan D, et al. Identification of patients with acute myeloblastic leukemia who benefit from the addition of gemtuzumab ozogamicin: results of the MRC AML15 trial. *J Clin Oncol* 2011;29:369-377. *Meta-analysis showing an advantage with gemtuzumab ozogamicin have included other dosing schedules; Hills RK et al. Addition of gemtuzumab ozogamicin to induction chemotherapy in adult patients with acute myeloid leukaemia: a meta-analysis of individual patient data from randomised controlled trials. Lancet Oncol* 2014;15:986-996. (also applies to AML-11A, AML-12A, AML-15A)

AML-9

- Standard-dose cytarabine with daunorubicin and midostaurin: added phrase "(bone marrow on d21)"
- Dual-drug liposomal encapsulation of ~~cytarabine 100 mg/m² IV and daunorubicin 44 mg/m²~~ **daunorubicin 44 mg/m² and cytarabine 100 mg/m² IV** over 90 min on days 1 and 3 ~~for subsequent cycles, if needed x 1 cycle~~ (also applies to AML-15)
 - ▶ Added: bone marrow on d14
 - ▶ Added: if given in induction (also applies to AML-11, AML-14, AML-15)
- Marrow to document remission status upon hematologic recovery, including cytogenetics and molecular studies as appropriate
 - ▶ Added: For MRD assessment, [see AML-G \(also applies to AML-10, AML-15, AML-16\)](#)
 - ▶ [Footnote iii modified with addition of See MRD Assessment AML-G \(also applies to AML-10, AML-15A\)](#)

AML-11**Risk Status**

- Core binding factor (CBF) cytogenetic translocations without ~~*KIT* mutation or favorable-risk molecular abnormalities~~
 - ▶ Post-Remission Therapy
 - ◊ 2nd regimen, Footnote ooo added: This regimen may also be used in patients with *KIT* mutations because the outcomes are similar in patients without *KIT* mutations.
- Intermediate-risk cytogenetics and/or molecular abnormalities
 - ▶ Post-Remission Therapy
 - ◊ 3rd regimen clarified to include "x 4 cycles"
 - ◊ 4th regimen, Footnote rrr added: Intermediate-risk patients who receive transplant shortly following gemtuzumab ozogamicin administration may be at risk for developing veno-occlusive disease.
- Treatment-related disease other than CBF and/or unfavorable cytogenetics and/or molecular abnormalities
 - ▶ Post-Remission Therapy
 - ◊ Matched sibling or alternative donor HCT listed as preferred
 - ◊ 3rd regimen clarified to include "x 4 cycles"
 - ◊ 4th regimen modified: Dual-drug liposomal encapsulation of ~~cytarabine 65 mg/m² and daunorubicin 29 mg/m² IV~~ **daunorubicin 29 mg/m² and cytarabine 65 mg/m² IV** over 90 min on days 1 and 3 x 1 cycle^{VV} (cytotoxic therapy-related AML or patients with antecedent MDS/CMML or cytogenetic changes that are consistent with MDS) (if given in induction)

[Continued](#)**UPDATES**

**Updates in Version 1.2019 of the NCCN Guidelines for Acute Myeloid Leukemia from Version 3.2018 include:****AML-12**• **Categories added for Treatment Strategies:**

- ▶ **Favorable-risk cytogenetics and CD33+; Intermediate-risk cytogenetics and CD33+**
 - ▶ **Intermediate-risk cytogenetics and FLT3-mutant**
 - ▶ **Therapy-related AML; Antecedent MDS/CMML; Cytogenetic changes consistent with MDS (AML-MRC)**
 - ◊ **1st regimen modified: Dual-drug liposomal encapsulation of cytarabine 100 mg/m² IV and daunorubicin 44 mg/m² daunorubicin 44 mg/m² and cytarabine 100 mg/m² IV over 90 min on days 1, 3, and 5 x 1 cycle (category 1)**
 - ▶ **Unfavorable-risk cytogenetics (exclusive of AML-MRC)**
 - ◊ **3rd regimen added: Venetoclax once a day (100 mg d1, 200 mg d2, 400 mg d3 and 600 mg d4 and beyond) and subcutaneous low-dose cytarabine 20 mg/m²/day [days 1-10 of each 28-day cycle]**
 - ▶ **Other recommended regimens for intermediate- or poor-risk disease**
- **Footnote yyy added: Patients who have progressed to AML from MDS after significant exposure to hypomethylating agents/HMAs (azacitidine, decitabine) may be less likely to derive benefit from continued treatment with HMAs compared to patients who are HMA-naïve. Alternative treatment strategies should be considered. (also applies to AML-13, AML-16)**

AML-12A

- **Footnote xxx modified by adding this reference: DiNardo CD, Pratz K, Pullarkat V, et al. Venetoclax combined with decitabine or azacitidine in treatment-naïve, elderly patients with acute myeloid leukemia. Blood 2019;133:7-17. (also applies to AML-13A, AML-16A)**
- **Footnote zzz modified: "*In patients with AML with TP53 mutation should be treated for 10 days, a 10-day course of decitabine may be considered...* Response may not be evident before 3–4 cycles of treatment with hypomethylating agents HMAs (5-azacitidine, decitabine). Continue hypomethylating agents HMA treatment until progression if patient is tolerating therapy. Similar delays in response are likely with novel agents on a clinical trial, but endpoints will be defined by the protocol." (also applies to AML-13A)**

AML-13• **Categories added for Treatment Strategies**

- ▶ **AML without actionable mutations**
- ▶ **IDH1 mutant**
- ▶ **IDH2 mutant**
- ▶ **FLT3 mutant**
 - ◊ **FLT3 mutant: treatment option is low-intensity therapy (azacitidine or decitabine) ± sorafenib (FLT3-ITD-positive).**

AML-13A

- **Footnote cccc added: DiNardo CD, De Botton S, Stein EM, et al. Ivosidenib (AG-120) in mutant IDH1 AML and advanced hematologic malignancies: Results of a phase 1 dose escalation and expansion study. Blood 2017;130:725; DiNardo CD, Stein AS, Fathi AT, et al. Mutant isocitrate dehydrogenase (mIDH) inhibitors, enasidenib or ivosidenib, in combination with azacitidine (AZA): Preliminary results of a phase 1b/2 study in patients with newly diagnosed acute myeloid leukemia (AML). Blood 2017;130:639. (also applies to AML-16A)**
- **Footnote dddd added: When using this agent, monitor closely for differentiation syndrome and initiate therapy to resolve symptoms according to indications. Note that differentiation syndrome can occur later (up to several months after induction).**
- **Footnote ffff added: Ravandi F et al. Phase 2 study of azacytidine plus sorafenib in patients with acute myeloid leukemia and FLT-3 internal tandem duplication mutation. Blood 2013;121:4655-4662. (also applies to AML-16A)**

[Continued](#)**UPDATES**

**Updates in Version 1.2019 of the NCCN Guidelines for Acute Myeloid Leukemia from Version 3.2018 include:****[AML-15](#)**

- Statement modified: "~~Reduced-intensity~~ *Allogeneic* HCT" (Also applies to AML-16)
- Induction failure: "Low-intensity therapy (azacitidine, decitabine)" added as a treatment option.

[AML-16](#)

- Regimen added for patients with response to therapy: Continue azacitidine or decitabine ± sorafenib (*FLT3-ITD-mutated AML*)

[AML-16A](#)

- Footnote mmmm modified: Response to treatment with enasidenib *or ivosidenib* may take 3–5 months.
- Footnote nnnn modified: Enasidenib *or ivosidenib* increases the risk for differentiation syndrome and hyperleukocytosis that may require treatment with hydroxyurea and steroids.

[AML-17](#)

- 3rd bullet modified: ~~Alternative~~ Donor search (including cord blood) should be initiated at first relapse in appropriate patients concomitant with institution of other therapy if no sibling donor has been identified
- Footnote oooo modified: Molecular profiling (including *IDH1/IDH2*, *FLT3* mutations) is suggested as it may assist with selection of *therapy and* appropriate clinical trials ([see Discussion](#)).
- Footnote qqqq modified: Reinduction therapy may be appropriate in certain circumstances, such as in patients with long first remission (*an exception is dual-drug liposomal encapsulation cytarabine and daunorubicin*). If a second complete response is achieved, then consolidation with allogeneic HCT should be considered.

[AML-A](#)

Title changed from ~~Risk Status Based on Validated Cytogenetics and Molecular Abnormalities (AML-A)~~ to European LeukemiaNet Risk Stratification by Genetics in Non-APL AML (AML-A)

- Risk Stratification table content is new and referenced from Dohner H, Estey E, Grimwade D, et al. Diagnosis and management of AML in adults: 2017 ELN recommendations from an international expert panel. *Blood* 2017;129:424-447.

[AML-B](#)

- Footnote 6 modified: Induction chemotherapy should be started concurrently. However, for patients receiving high-dose cytarabine, since this agent crosses the blood brain barrier, IT therapy can be deferred until induction is completed. IT chemotherapy may consist of methotrexate, cytarabine, ~~liposomal cytarabine (with concurrent steroid)~~, or a combination of these agents. (also applies to AML-C, footnote 2)
- Footnote 7 modified: Concurrent use of CNS RT with high-dose cytarabine or IT methotrexate ~~IT liposomal cytarabine~~ may increase risk of neurotoxicity. [See Principles of Radiation Therapy \(AML-C\)](#).

[AML-C](#)

- New section added for Principles of Radiation Therapy.
- General Principles
 - ▶ Bullet A modified: Patients who present with isolated extramedullary disease (myeloid sarcoma) should be treated with systemic therapy. Local therapy (~~surgery~~ radiation therapy [RT] *or surgery [rare cases]*) may be used for residual disease.
 - ▶ Bullet B added: In a small group of patients where extramedullary disease is causing nerve compressions, a small dose of RT may be considered to decrease disease burden.

[Continued](#)**UPDATES**



Updates in Version 1.2019 of the NCCN Guidelines for Acute Myeloid Leukemia from Version 3.2018 include:

[AML-D 1 of 2](#)

- General; Blood products

- ▶ Sub-bullet 3 modified from: "...red blood cell (RBC) counts for Hgb ≤8 g/dL..." to "...red blood cell (RBC) counts for Hgb ≤7-8 g/dL..."

[AML-D 2 of 2](#)

APL

- Clinical coagulopathy

- ▶ Sub-bullet 2 modified: ~~Central venous catheter should not be placed until bleeding is controlled.~~ Avoid use of tunneled catheter or port-a-cath.

- APL differentiation syndrome

- ▶ Sub-bullet 1 modified: "*If steroids are not initiated at time of treatment with ATRA and arsenic, maintain a high index of suspicion of APL differentiation syndrome...*"

- Arsenic trioxide monitoring

- ▶ During therapy (weekly during induction therapy and before each course of post-remission therapy)

- ◊ Sub-bullets 2 and 3 were modified and combined into new statement: Maintain K and Mg concentrations within middle or upper range of normal.
- ◊ Sub-bullet 5 was modified by adding this statement: QTcf is recommended; however, in settings where QTcf corrections are unavailable, a cardiology consult may be appropriate for patients with prolonged QTc.

[AML-E](#)

- Complete response (CR)

- ▶ Morphologic CR - patient independent of transfusions

- ◊ Sub-bullets 1 and 2 modified by adding "(blasts <5%)"

[AML-G](#)

- New section added for Measureable (Minimal) Residual Disease Assessment.



EVALUATION FOR ACUTE LEUKEMIA

- History and physical (H&P)
- Complete blood cell (CBC) count, platelets, differential, comprehensive metabolic panel, uric acid, lactate dehydrogenase (LDH)
- Prothrombin time (PT), partial thromboplastin time (PTT), fibrinogen
- Bone marrow core biopsy and aspirate analyses, including immunophenotyping and cytochemistry
- Cytogenetic analyses (karyotype + FISH)
- Molecular analyses (*KIT*, *FLT3* [ITD and TKD], *NPM1*, *CEBPA*, *IDH1*, *IDH2*, *TP53*, and other mutations)^a
- Comprehensive pathology report, including diagnosis of AML with recurrent cytogenetics vs. AML NOS, blast count, cellularity, morphologic dysplasia, and mutation status if available.
- Human leukocyte antigen (HLA) typing for patient with potential hematopoietic cell transplantation (HCT) in the future (except for patients with a major contraindication to HCT)
- CT of brain without contrast, if CNS hemorrhage suspected^b
- Brain MRI with contrast, if leukemic meningitis suspected^b
- PET/CT, if clinical suspicion for extramedullary disease
- Lumbar puncture (LP), if symptomatic^b (category 2B for asymptomatic)
- Evaluate myocardial function (echocardiogram or MUGA scan) in patients with a history or symptoms of cardiac disease or prior/planned exposure to cardiotoxic drugs or radiation to thorax

DIAGNOSTIC STUDIES (WHO 2016)

Multidisciplinary diagnostic studies^{c,d}

DIAGNOSIS^{c,d,e,f}

Acute promyelocytic leukemia (APL)
In patients with clinical and pathologic features of APL, start all-trans retinoic acid (ATRA) upon first suspicion of APL. Early initiation of ATRA may prevent the lethal complication of bleeding. If cytogenetic and molecular testing do not confirm APL, discontinue ATRA and continue treatment as for AML^g

[See Treatment Induction \(AML-2\)](#)

Acute myeloid leukemia (AML)

[See Treatment Induction \(AML-8\)](#)

Myelodysplastic syndromes (MDS)

[See NCCN Guidelines for Myelodysplastic Syndromes](#)

B or T lymphoblastic leukemia/lymphoma^d

[See NCCN Guidelines for Acute Lymphoblastic Leukemia](#)

[See footnotes on AML-1A](#)

Note: All recommendations are category 2A unless otherwise indicated.
Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.

**FOOTNOTES FOR EVALUATION FOR ACUTE LEUKEMIA**

^aA variety of gene mutations are associated with specific prognoses (category 2A) and may guide medical decision making (category 2B) ([See AML-A](#)). Other mutations, such as *FLT3-ITD*, *FLT3-TKD*, *IDH1/2*, *NPM1*, and *c-KIT* may have therapeutic implications. The field of genomics in myeloid malignancies, and related implications in AML, are evolving rapidly. While the above mutations should be tested in all patients, multiplex gene panels and next-generation sequencing analysis may be used to obtain a more comprehensive prognostic assessment (Papaemmanuil E, et al. Genomic classification and prognosis in acute myeloid leukemia. *N Engl J Med* 2016;374:2209-2221) ([see Discussion](#)). If a test is not available at your institution, consult the pathology team (prior to performing the marrow evaluation) about preserving material from the original diagnostic sample for future testing at an outside reference lab. Peripheral blood may alternatively be used to detect molecular abnormalities in patients with morphologically detectable, circulating leukemic blasts.

^bFor patients with major neurologic signs or symptoms at diagnosis, appropriate imaging studies should be performed to detect meningeal disease, choroidomas, or CNS bleeding. LP should be performed if no mass lesion is detected on the imaging study. Screening LP should be considered at first remission before first consolidation for patients with monocytic differentiation, mixed phenotype acute leukemia, WBC >40,000/mcL at diagnosis, extramedullary disease, or high-risk APL. Consider administration of one dose of IT chemotherapy (methotrexate or cytarabine) at time of diagnostic LP. [See Evaluation and Treatment of CNS Leukemia \(AML-B\)](#).

^cThe WHO 2016 classification defines acute leukemia as $\geq 20\%$ blasts in the marrow or blood. In an appropriate clinical setting, a diagnosis of AML may be made with less than 20% in patients with the following cytogenetic abnormalities: t(15;17), t(8;21), t(16;16), inv(16). AML evolving from MDS (AML-MDS) is often more resistant to cytotoxic chemotherapy than AML that arises without antecedent hematologic disorder and may have a more indolent course. Some clinical trials designed for high-grade MDS may allow enrollment of patients with AML-MDS.

^dWhen presented with rare cases such as acute leukemias of ambiguous lineage including mixed phenotype acute leukemias (according to 2016 WHO classification), consultation with an experienced hematopathologist is strongly recommended.

^eYoung adults may be eligible for pediatric trials with more intensive induction regimens and transplant options. AML patients should preferably be managed at experienced leukemia centers where clinical trials may be more available.

^fPatients who present with isolated extramedullary disease (myeloid sarcoma) should be treated with systemic therapy. Local therapy (radiation therapy [RT] or surgery [rare cases]) may be used for residual disease. [See Principles of Radiation Therapy AML-C](#).

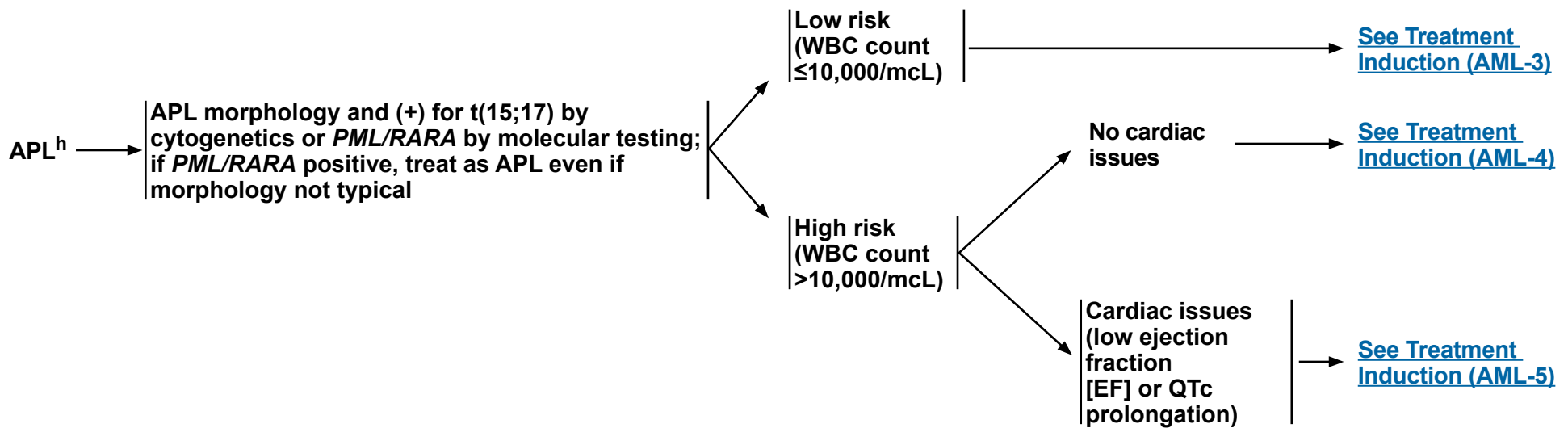
^gATRA should be available in all community hospitals, so appropriate therapy can be started promptly.

Note: All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.



APL CLASSIFICATION AND TREATMENT RECOMMENDATION



^hTherapy-related APL is treated the same as de novo APL.

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Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.



TREATMENT INDUCTION (LOW RISK)^{i,j,k,l}

CONSOLIDATION THERAPY^t

Preferred Regimens

ATRA^m 45 mg/m² in divided doses daily + arsenic trioxideⁿ 0.15 mg/kg IV daily^o (category 1)

or

ATRA^m 45 mg/m² in divided doses daily + arsenic trioxideⁿ 0.3 mg/kg IV on days 1–5 of week one and 0.25 mg/kg twice weekly in weeks 2–8^q (category 1)

Bone marrow at day 28 to document morphologic remission^{r,s} before proceeding with consolidation^t

Bone marrow at day 28 to document morphologic remission^{r,s} before proceeding with consolidation

Arsenic trioxideⁿ 0.15 mg/kg/d IV 5 d/wk for 4 weeks every 8 weeks for a total of 4 cycles, and ATRA 45 mg/m²/d for 2 weeks every 4 weeks for a total of 7 cycles^o (category 1)

ATRA 45 mg/m² for 2 weeks every 4 weeks (or for 2 weeks on 2 weeks off) in consolidation courses 1–4 + arsenic trioxideⁿ 0.3 mg/kg IV on days 1–5 of week one in consolidation courses 1–4 and 0.25 mg/kg twice weekly in weeks 2–4 in consolidation courses 1–4^q (category 1)

[See Post-Consolidation Therapy\(AML-6\)](#)

Other Recommended Regimen

ATRA^m 45 mg/m² in divided doses daily + idarubicin 12 mg/m² on days 2, 4, 6, 8^p (category 1)

At count recovery proceed with consolidation^s

ATRA 45 mg/m² x 15 days + idarubicin 5 mg/m² x 4 days x 1 cycle, then ATRA x 15 days + mitoxantrone 10 mg/m²/d x 3 days x 1 cycle, then ATRA x 15 days + idarubicin 12 mg/m² x 1 day x 1 cycle (category 1)^u

[See footnotes on AML-3A](#)

Note: All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.



FOOTNOTES FOR TREATMENT INDUCTION AND CONSOLIDATION (LOW RISK)

ⁱSeveral groups have published large trials with excellent outcomes. However, to achieve the expected results, one needs to use the regimen consistently through all components and not mix induction from one trial with consolidation from another.

^jMonitor for APL differentiation syndrome and coagulopathy; [see Supportive Care \(AML-D 2 of 2\)](#).

^kEarly mortality is related to bleeding, differentiation syndrome, or infection. Persistent disease is rare.

^lHydroxyurea should be considered to manage high WBC count (>10,000/mcL) during induction of ATRA/arsenic.

^mData suggest that lower doses of ATRA (25 mg/m²) in divided doses until clinical remission may be used in children and adolescents. Kutny MA, et al. Arsenic trioxide consolidation allows anthracycline dose reduction for pediatric patients with acute promyelocytic leukemia: Report From the Children's Oncology Group Phase III Historically Controlled Trial AAML0631. *J Clin Oncol* 2017;35:3021-3029.

ⁿQTc and monitoring and optimizing electrolytes are important in safe administration of arsenic trioxide. See Arsenic trioxide monitoring, [Supportive Care \(AML-D 2 of 2\)](#).

^oLo-Coco F, et al. Retinoic acid and arsenic trioxide for acute promyelocytic leukemia. *N Engl J Med* 2013;369:111-121. Begin prophylaxis with prednisone through completion of induction. If differentiation syndrome develops, change to dexamethasone.

^pSanz MA, et al. Risk-adapted treatment of acute promyelocytic leukemia based on all-trans retinoic acid and anthracycline with addition of cytarabine in consolidation therapy for high-risk patients: further improvements in treatment outcome. *Blood* 2010;115:5137-5146.

^qBurnett AK, et al. Arsenic trioxide and all-trans retinoic acid treatment for acute promyelocytic leukaemia in all risk groups (AML17): results of a randomised, controlled, phase 3 trial. *Lancet Oncol* 2015;16:1295-1305.

^rIf no evidence of morphologic disease (ie, absence of blasts and abnormal promyelocytes), discontinue ATRA and arsenic trioxide to allow for peripheral blood recovery since arsenic trioxide can be associated with significant myelosuppression. If evidence of morphologic disease, continue ATRA and arsenic trioxide and repeat marrow 1 week later.

^sFor all inductions it is premature to do a marrow any sooner than day 28. Patients may be in cytogenetic remission but with residual molecular positivity at that time. The presence of measurable molecular markers does not carry prognostic or therapeutic implications.

^tFor regimens using high cumulative doses of cardiotoxic agents, consider reassessing cardiac function prior to each anthracycline/mitoxantrone-containing course.

^uLo-Coco F, et al. Front-line treatment of acute promyelocytic leukemia with AIDA induction followed by risk-adapted consolidation for adult patients younger than 61 years: results of the AIDA-2000 trial of the GIMEMA Group. *Blood* 2010;116:3171-3179.

Note: All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.



TREATMENT INDUCTION (HIGH RISK)^{i,j,k,v} (FOR PATIENTS WITH CARDIAC ISSUES, SEE [AML-5](#))

Preferred Regimens

ATRA^m 45 mg/m² (days 1–36, divided) + age-adjusted idarubicin 6–12 mg/m² on days 2, 4, 6, 8 + arsenic trioxideⁿ 0.15 mg/kg (days 9–36 as 2 h IV infusion)^w

or
ATRA^m 45 mg/m² in divided doses + arsenic trioxideⁿ 0.15 mg/kg/d IV + gemtuzumab ozogamicin 9 mg/m² day 1^x

or
ATRA^m 45 mg/m² in divided doses + arsenic trioxideⁿ 0.3 mg/kg IV on days 1–5 of week one and 0.25 mg/kg twice weekly in weeks 2–8 (category 1) + gemtuzumab ozogamicin 6 mg/m² day 1^q

Bone marrow at day 28 to document remission,^{r,s} consider LP before proceeding with consolidation^{aa}

Bone marrow at day 28 to document remission,^{r,s} consider LP before proceeding with consolidation^{aa}

Bone marrow at day 28 to document remission,^{r,s} consider LP before proceeding with consolidation^{aa}

CONSOLIDATION THERAPY^t

See references for details on regimens including maintenance therapy.

→ ATRA 45 mg/m² x 28 days + arsenic trioxideⁿ 0.15 mg/kg/d x 28 days x 1 cycle, then ATRA 45 mg/m² x 7 d every 2 weeks x + arsenic trioxide 0.15 mg/kg/d x 5 d for 5 weeks x 1 cycle.^{w,cc}

→ Arsenic trioxideⁿ 0.15 mg/kg IV daily 5 days/week for 4 weeks every 8 weeks for a total of 4 cycles + ATRA 45 mg/m² for 2 weeks every 4 weeks for a total of 7 cycles.^{x,bb} If ATRA or arsenic trioxide discontinued due to toxicity, gemtuzumab ozogamicin 9 mg/m² once every 4–5 weeks until 28 weeks from CR

→ ATRA 45 mg/m² for 2 weeks every 4 weeks (or for 2 weeks on 2 weeks off) in consolidation courses 1–4 + arsenic trioxideⁿ 0.3 mg/kg IV on days 1–5 of week one in consolidation courses 1–4 and 0.25 mg/kg twice weekly in weeks 2–4 in consolidation courses 1–4 (category 1).^{q,bb} If ATRA or arsenic trioxide discontinued due to toxicity, gemtuzumab ozogamicin 9 mg/m² once every 4–5 weeks until 28 weeks from CR

Other Recommended Regimens

ATRA^m 45 mg/m² in divided doses + daunorubicin 50 mg/m² x 4 days (IV days 3–6) + cytarabine 200 mg/m² x 7 days (IV days 3–9)^y

or
ATRA^m 45 mg/m² in divided doses + daunorubicin 60 mg/m² x 3 days + cytarabine 200 mg/m² x 7 days^z

or
ATRA^m 45 mg/m² in divided doses + idarubicin 12 mg/m² on days 2, 4, 6, 8^p

Bone marrow at day 28 to document remission,^s consider LP before proceeding with consolidation^{aa}

Bone marrow at day 28 to document remission,^s consider LP before proceeding with consolidation^{aa}

Bone marrow at day 28 to document remission,^u consider LP before proceeding with consolidation^{aa}

→ Arsenic trioxideⁿ 0.15 mg/kg/d x 5 days for 5 weeks every 7 weeks for a total of 2 cycles, then ATRA 45 mg/m² x 7 days + daunorubicin 50 mg/m² x 3 days for 2 cycles.^{y,bb}

→ Daunorubicin 60 mg/m² x 3 days + cytarabine 200 mg/m² x 7 days x 1 cycle, then cytarabine 2 g/m² (age <50) or 1.5 g/m² (age 50–60) every 12 h x 5 days^{cc,dd} + daunorubicin 45 mg/m² x 3 days x 1 cycle + 5 doses of IT chemotherapy^z

→ ATRA 45 mg/m² x 15 days + idarubicin 5 mg/m² and cytarabine 1 g/m² x 4 days x 1 cycle, then ATRA x 15 days + mitoxantrone 10 mg/m²/d x 5 days x 1 cycle, then ATRA x 15 days + idarubicin 12 mg/m² x 1 day + cytarabine 150 mg/m²/8 h x 4 days x 1 cycle.^{p,bb}

→ [See Post-Consolidation Therapy \(AML-6\)](#)

[See footnotes on AML-4A](#)

Note: All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.

**FOOTNOTES FOR TREATMENT INDUCTION (HIGH RISK)**

ⁱSeveral groups have published large trials with excellent outcomes. However, to achieve the expected results, one needs to use the regimen consistently through all components and not mix induction from one trial with consolidation from another.

^jMonitor for APL differentiation syndrome and coagulopathy; [see Supportive Care \(AML-D 2 of 2\)](#).

^kEarly mortality is related to bleeding, differentiation syndrome, or infection. Persistent disease is rare.

^mData suggest that lower doses of ATRA (25 mg/m²) in divided doses until clinical remission may be used in children and adolescents. Data suggest that lower doses of ATRA (25 mg/m²) in divided doses until clinical remission may be used in children and adolescents. Kutny MA, et al. Arsenic trioxide consolidation allows anthracycline dose reduction for pediatric patients with acute promyelocytic leukemia: Report From the Children's Oncology Group Phase III Historically Controlled Trial AAML0631. *J Clin Oncol* 2017;35:3021-3029.

ⁿQTc and monitoring and optimizing electrolytes are important in safe administration of arsenic trioxide. See Arsenic trioxide monitoring, [Supportive Care \(AML-D 2 of 2\)](#).

^pSanz MA, et al. Risk-adapted treatment of acute promyelocytic leukemia based on all-trans retinoic acid and anthracycline with addition of cytarabine in consolidation therapy for high risk patients: further improvements in treatment outcome. *Blood* 2010;115:5137-5146.

^qBurnett AK, et al. Arsenic trioxide and all-trans retinoic acid treatment for acute promyelocytic leukaemia in all risk groups (AML17): results of a randomised, controlled, phase 3 trial. *Lancet Oncol* 2015;16:1295-1305.

^rIf no evidence of morphologic disease (ie, absence of blasts and abnormal promyelocytes), discontinue ATRA and arsenic trioxide to allow for peripheral blood recovery since arsenic trioxide can be associated with significant myelosuppression. If evidence of morphologic disease, continue ATRA and arsenic trioxide and repeat marrow 1 week later.

^sFor all inductions it is premature to do a marrow any sooner than day 28. Patients may be in cytogenetic remission but with residual molecular positivity at that time.

^tFor regimens using high cumulative doses of cardiotoxic agents, consider reassessing cardiac function prior to each anthracycline/mitoxantrone-containing course.

^uLo-Coco F, et al. Front-line treatment of acute promyelocytic leukemia with AIDA induction followed by risk-adapted consolidation for adult patients younger than 61 years: results of the AIDA-2000 trial of the GIMEMA Group. *Blood* 2010;116:3171-3179.

^vFor patients with a high WBC count (>10,000/mcL), prophylactic steroids should be initiated to prevent differentiation syndrome. The use of prednisone versus dexamethasone is protocol dependent.

^wIlland HJ, et al. All-trans-retinoic acid, idarubicin, and IV arsenic trioxide as initial therapy in acute promyelocytic leukemia (APL4). *Blood* 2012;120:1570-1580.

^xAbaza Y, Kantarjian H, Garcia-Manero G, et al. Long-term outcome of acute promyelocytic leukemia treated with all-trans-retinoic acid, arsenic trioxide, and gemtuzumab. *Blood* 2017;129:1275-1283.

^yPowell BL, et al. Arsenic trioxide improves event-free and overall survival for adults with acute promyelocytic leukemia: North American Leukemia Intergroup Study C9710. *Blood* 2010;116:3751-3757.

^zAdès L, et al. Treatment of newly diagnosed acute promyelocytic leukemia (APL): A comparison of French-Belgian-Swiss and PETHEMA results. *Blood* 2008;111:1078-1086.

^{aa}Breccia M, et al. Early detection of meningeal localization in acute promyelocytic leukaemia patients with high presenting leucocyte count. *Br J Haematol* 2003;120:266-270.

^{bb}Consider 4–6 doses of IT chemotherapy (eg, 2 doses for each consolidation cycle) as an option for CNS prophylaxis.

^{cc}Although the original regimen included high-dose cytarabine as second consolidation, some investigators recommend using high-dose cytarabine early for CNS prophylaxis, especially for patients not receiving IT chemotherapy.

^{dd}Dose adjustment of cytarabine may be needed for older patients or patients with renal dysfunction.

Note: All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.



TREATMENT INDUCTION (HIGH RISK)^{i,j,k,v} IN PATIENTS WITH CARDIAC ISSUES

CONSOLIDATION THERAPY

Low Ejection Fraction

ATRA^m 45 mg/m² in 2 divided doses daily + arsenic trioxideⁿ 0.15 mg/kg IV daily + gemtuzumab ozogamicin 9 mg/m² day 1^x

Bone marrow at day 28 to document remission^{r,s} before proceeding with consolidation

Arsenic trioxideⁿ 0.15 mg/kg IV daily 5 days/week for 4 weeks every 8 weeks for a total of 4 cycles + ATRA 45 mg/m² in divided doses daily for 2 weeks every 4 weeks for a total of 7 cycles.^x If ATRA or arsenic trioxide discontinued due to toxicity, gemtuzumab ozogamicin 9 mg/m² once every 4–5 weeks until 28 weeks from CR

or

ATRA^m 45 mg/m² in divided doses daily + arsenic trioxideⁿ 0.3 mg/kg IV on days 1–5 of week one and 0.25 mg/kg twice weekly in weeks 2–8^q (category 1) + gemtuzumab ozogamicin 6 mg/m² day 1^q

Bone marrow at day 28 to document remission^{r,s} before proceeding with consolidation

ATRA 45 mg/m² in divided doses daily for 2 weeks every 4 weeks (or for 2 weeks on 2 weeks off) in consolidation courses 1–4 + arsenic trioxideⁿ 0.3 mg/kg IV on days 1–5 of week one in consolidation courses 1–4 and 0.25 mg/kg twice weekly in weeks 2–4 in consolidation courses 1–4 (category 1).^q If ATRA or arsenic trioxide discontinued due to toxicity, gemtuzumab ozogamicin 9 mg/m² once every 4–5 weeks until 28 weeks from CR

[See Post-Consolidation Therapy \(AML-6\)](#)

Prolonged QTc

ATRA^m 45 mg/m² in 2 divided doses daily + gemtuzumab ozogamicin 9 mg/m² day 1^{ee}

Bone marrow at day 28 to document remission^s before proceeding with consolidation

ATRA 45 mg/m² in divided doses daily during weeks 1–2, 5–6, 9–10, 13–14, 17–18, 21–22, 25–26. Gemtuzumab ozogamicin 9 mg/m² monthly until 28 weeks from CR^{ee}

[See footnotes on AML-5A](#)

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FOOTNOTES FOR TREATMENT INDUCTION (HIGH RISK)

ⁱSeveral groups have published large trials with excellent outcomes. However, to achieve the expected results, one needs to use the regimen consistently through all components and not mix induction from one trial with consolidation from another.

^jMonitor for APL differentiation syndrome and coagulopathy; [see Supportive Care \(AML-D 2 of 2\)](#).

^kEarly mortality is related to bleeding, differentiation syndrome, or infection. Persistent disease is rare.

^mData suggest that lower doses of ATRA (25 mg/m²) in divided doses until clinical remission may be used in children and adolescents. Data suggest that lower doses of ATRA (25 mg/m²) in divided doses until clinical remission may be used in children and adolescents. Kutny MA, et al. Arsenic trioxide consolidation allows anthracycline dose reduction for pediatric patients with acute promyelocytic leukemia: Report From the Children's Oncology Group Phase III Historically Controlled Trial AAML0631. *J Clin Oncol* 2017;35:3021-3029.

ⁿQTc and monitoring and optimizing electrolytes are important in safe administration of arsenic trioxide. See Arsenic trioxide monitoring, [Supportive Care \(AML-D 2 of 2\)](#).

^qBurnett AK, et al. Arsenic trioxide and all-trans retinoic acid treatment for acute promyelocytic leukaemia in all risk groups (AML17): results of a randomised, controlled, phase 3 trial. *Lancet Oncol* 2015;16:1295-1305.

^rIf no evidence of morphologic disease (ie, absence of blasts and abnormal promyelocytes), discontinue ATRA and arsenic trioxide to allow for peripheral blood recovery since arsenic trioxide can be associated with significant myelosuppression. If evidence of morphologic disease, continue ATRA and arsenic trioxide and repeat marrow 1 week later.

^sFor all inductions it is premature to do a marrow any sooner than day 28. Patients may be in cytogenetic remission but with residual molecular positivity at that time.

^vFor patients with a high WBC count (>10,000/mcL), prophylactic steroids should be initiated to prevent differentiation syndrome. The use of prednisone versus dexamethasone is protocol dependent.

^xAbaza Y, Kantarjian H, Garcia-Manero G, et al. Long-term outcome of acute promyelocytic leukemia treated with all-trans-retinoic acid, arsenic trioxide, and gemtuzumab. *Blood* 2017;129:1275-1283.

^{ee}Estey E, et al. Experience with gemtuzomab ozogamicin and all-trans retinoic acid in untreated acute promyelocytic leukemia. *Blood* 2002;99:4222-4224.

Note: All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.

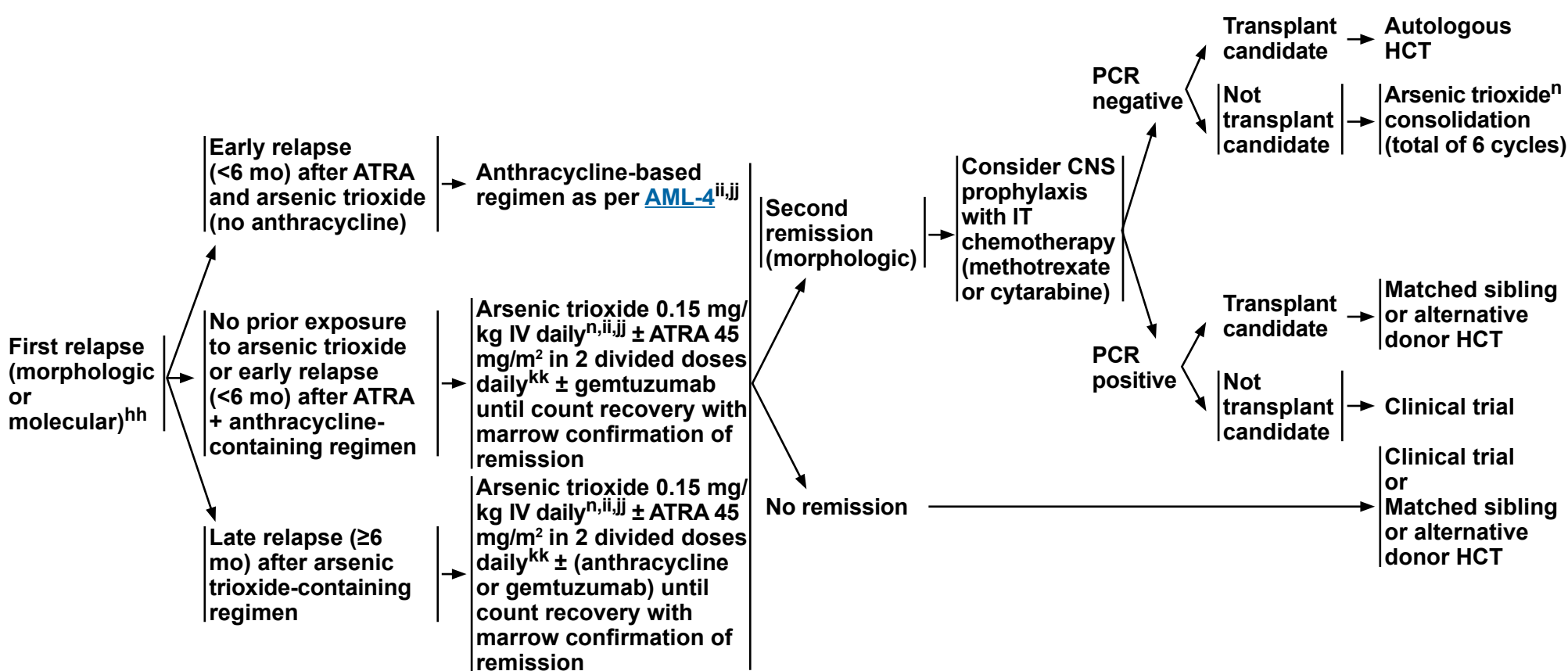


NCCN Guidelines Version 3.2019 Acute Myeloid Leukemia

APL

THERAPY FOR RELAPSE

ADDITIONAL THERAPY



ⁿQTc and monitoring and optimizing electrolytes are important in safe administration of arsenic trioxide. See Arsenic trioxide monitoring, [Supportive Care \(AML-D 2 of 2\)](#).

^{hh}Document molecular panel to verify relapsed APL versus therapy-related AML.

ⁱⁱFollowing the first cycle of consolidation, if the patient is not in molecular remission (by quantitative PCR on marrow sample), consider matched sibling or alternative donor (haploidentical, unrelated donor or cord blood) HCT or clinical trial. Testing is recommended at least 2–3 weeks after the completion of arsenic to avoid false positives.

^{jj}Outcomes are uncertain in patients who received arsenic trioxide during initial induction/consolidation therapy.

^{kk}There is a small randomized trial that suggests that the addition of ATRA does not confer any benefit over arsenic alone. Raffoux E, et al. Combined treatment with arsenic trioxide and all-trans-retinoic-acid in patients with relapsed acute promyelocytic leukemia. *J Clin Oncol* 2003;21:2326-2334.

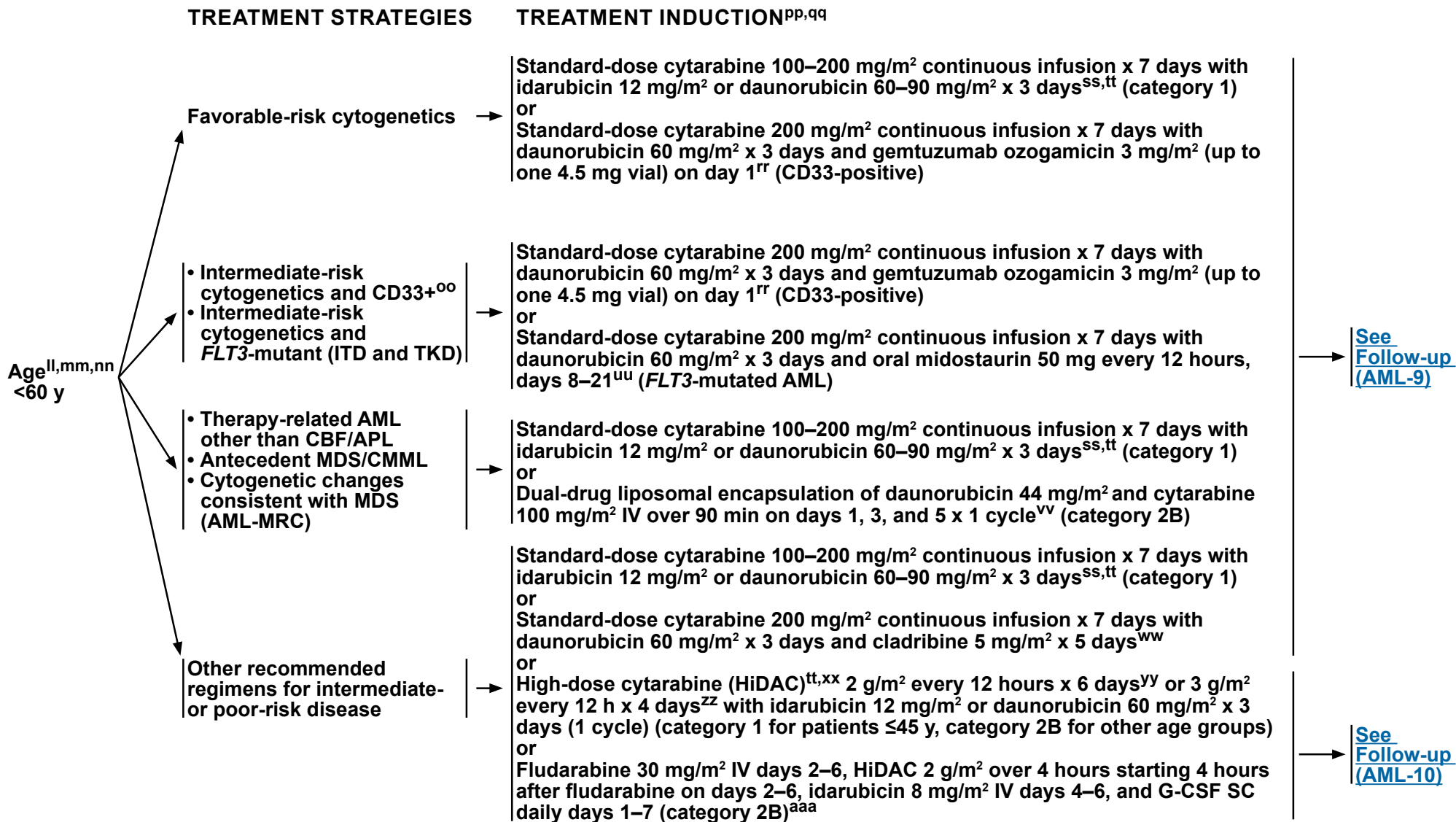
Note: All recommendations are category 2A unless otherwise indicated.

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NCCN Guidelines Version 3.2019

Acute Myeloid Leukemia



[See footnotes on AML-8A](#)

Note: All recommendations are category 2A unless otherwise indicated.
Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.

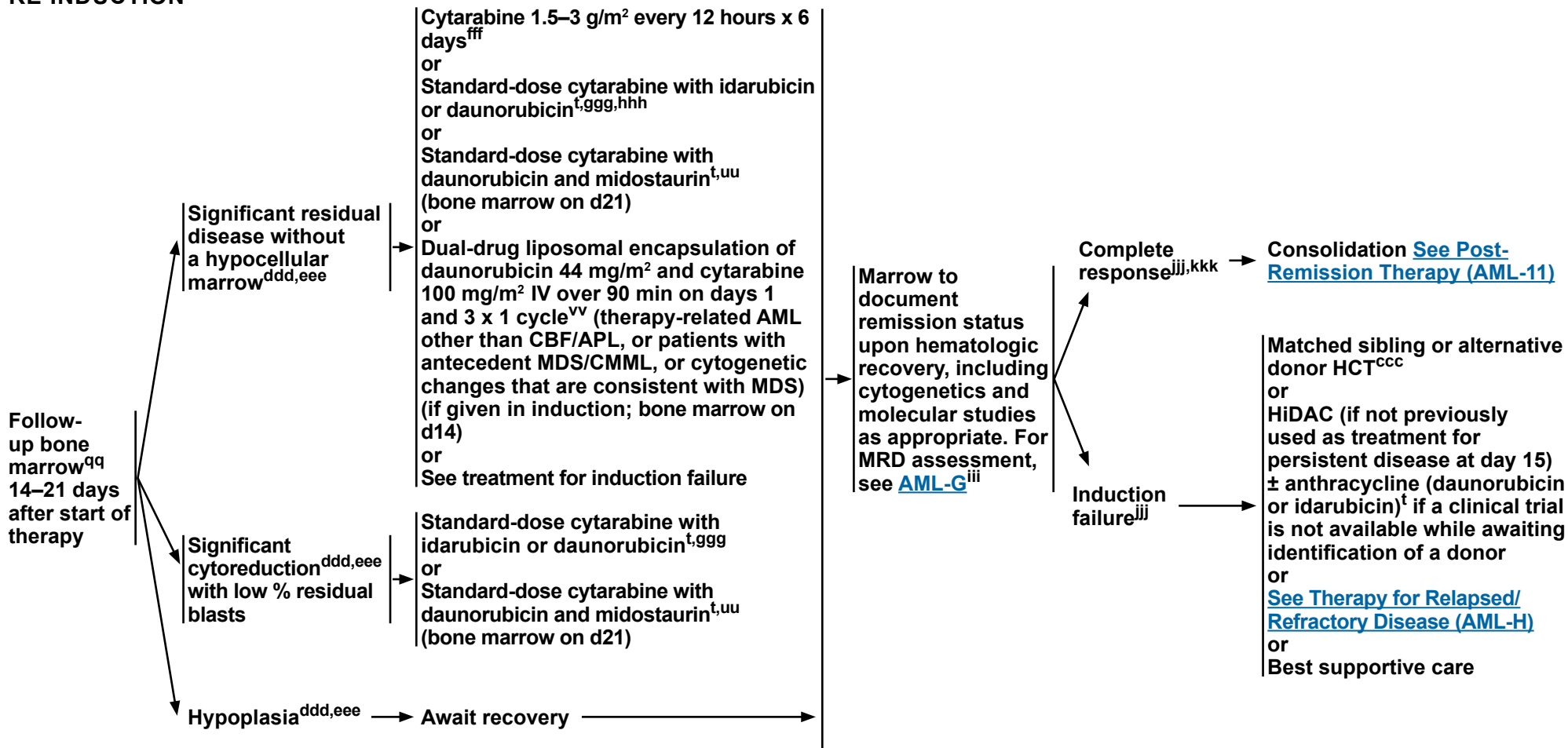
**FOOTNOTES FOR TREATMENT INDUCTION**

- ^{ll}Patients with elevated blast counts are at risk for tumor lysis and organ dysfunction secondary to leukostasis. Measures to rapidly reduce the WBC count include apheresis hydroxyurea and/or a single dose of cytarabine (1-2 g). Prompt institution of definitive therapy is essential.
- ^{mmm}Poor performance status and comorbid medical condition, in addition to age, are factors that influence ability to tolerate standard induction therapy.
- ⁿⁿPatients with AML and core binding factor (CBF) abnormalities may benefit from the addition of gemtuzumab ozogamicin. Consider screening with FISH to identify CBF abnormalities.
- ^{oo}Threshold for CD33 is not well-defined and may be $\geq 1\%$.
- ^{pp}[See Supportive Care \(AML-D 1 of 2\).](#)
- ^{qq}[See Monitoring During Therapy \(AML-F\).](#)
- ^{rr}Burnett AK, Hills RK, Milligan D, et al. Identification of patients with acute myeloblastic leukemia who benefit from the addition of gemtuzumab ozogamicin: results of the MRC AML15 trial. *J Clin Oncol* 2011;29:369-377. Meta-analysis showing an advantage with gemtuzumab ozogamicin have included other dosing schedules; Hills RK et al. Addition of gemtuzumab ozogamicin to induction chemotherapy in adult patients with acute myeloid leukaemia: a meta-analysis of individual patient data from randomised controlled trials. *Lancet Oncol* 2014;15:986-996.
- ^{ss}ECOG reported a significant increase in complete response rates and overall survival using daunorubicin 90 mg/m² x 3 days versus 45 mg/m² x 3 days in patients <60 years of age. Fernandez HF, et al. Anthracycline dose intensification in acute myeloid leukemia. *N Engl J Med* 2009;361:1249-1259. If there is residual disease on days 12–14, the additional daunorubicin dose is 45 mg/m² x 3 days. Burnett AK, et al. A randomized comparison of daunorubicin 90 mg/m² vs 60 mg/m² in AML induction: results from the UK NCRI AML17 trial in 1206 patients. *Blood* 2015;125:3878-3885.
- ^{tt}For patients with impaired cardiac function, other cytarabine-based regimens alone or with other agents can be considered. [See Discussion.](#)
- ^{uu}This regimen is for *FLT3* mutation-positive AML (both ITD and TKD mutations). While midostaurin was not FDA approved for maintenance therapy, the study was designed for consolidation and maintenance midostaurin for a total of 12 months. Stone RM et al. Midostaurin plus chemotherapy for acute myeloid leukemia with a *FLT3* mutation. *N Engl J Med* 2017;377:454-464.
- ^{vv}Lancet JE, et al. CPX-351 (cytarabine and daunorubicin) liposome for injection versus conventional cytarabine plus daunorubicin in older patients with newly diagnosed secondary acute myeloid leukemia. *J Clin Oncol* 2018;36:2684-2692.
- ^{ww}Holowiecki J, et al. Cladribine, but not fludarabine, added to daunorubicin and cytarabine during induction prolongs survival of patients with acute myeloid leukemia: a multicenter, randomized phase III study. *J Clin Oncol* 2012;30:2441-2448. Although this trial showed an advantage for the addition of cladribine to standard 7+3, bone marrow aspirates were not performed after the first cycle of induction until either counts recovered or blasts reappeared in the peripheral blood, which would delay administration of a second cycle of induction compared to standard practice in the United States.
- ^{xx}The use of high-dose cytarabine for induction outside the setting of a clinical trial is still controversial. While the remission rates are the same for standard- and high-dose cytarabine, two studies have shown more rapid marrow blast clearance after one cycle of high-dose therapy. Kern W and Estey EH. High-dose cytarabine arabinoside in the treatment of acute myeloid leukemia: review of three randomized trials. *Cancer* 2006;107:116-124. However, one study showed that high-dose cytarabine may improve the outcome for younger patients. Willemze R, et al. High-dose cytarabine in induction treatment improves the outcome of adult patients younger than age 46 years with acute myeloid leukemia: results of the EORTC-GIMEMA AML-12 trial. *J Clin Oncol* 2014;32:219-228.
- ^{yy}Weick JK, et al. A randomized investigation of high-dose versus standard-dose cytosine arabinoside with daunorubicin in patients with previously untreated acute myeloid leukemia: a Southwest Oncology Group study. *Blood* 1996;88:2841-2851.
- ^{zz}Bishop JF, et al. A randomized study of high-dose cytarabine in induction in acute myeloid leukemia. *Blood* 1996;87:1710-1717.
- ^{aaa}Burnett AK, et al. Optimization of chemotherapy for younger patients with acute myeloid leukemia: results of the medical research council AML15 trial. *J Clin Oncol* 2013;31:3360-3368.

Note: All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.

AGE <60 y AFTER STANDARD-DOSE CYTARABINE INDUCTION RE-INDUCTION^{bbb,ccc}



[See footnotes on AML-9A](#)

Note: All recommendations are category 2A unless otherwise indicated.
Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.

**FOOTNOTES FOR TREATMENT AFTER STANDARD-DOSE CYTARABINE INDUCTION/RE-INDUCTION**

^tFor regimens using high cumulative doses of cardiotoxic agents, consider reassessing cardiac function prior to each anthracycline/mitoxantrone-containing course.

^{qq}[See Monitoring During Therapy \(AML-F\)](#).

^{uu}This regimen is for *FLT3* mutation-positive AML (both ITD and TKD mutations). While midostaurin was not FDA approved for maintenance therapy, the study was designed for consolidation and maintenance midostaurin for a total of 12 months. Stone RM, Mandrekar SJ, Sanford BL, et al. Midostaurin plus chemotherapy for acute myeloid leukemia with a *FLT3* mutation. *N Engl J Med* 2017;377:454-464.

^{vv}Lancet JE, et al. CPX-351 (cytarabine and daunorubicin) liposome for injection versus conventional cytarabine plus daunorubicin in older patients with newly diagnosed secondary acute myeloid leukemia. *J Clin Oncol* 2018;36:2684-2692.

^{bbb}Consider clinical trials for patients with targeted molecular abnormalities.

^{ccc}Begin alternate donor search (haploidentical, unrelated donor or cord blood) if no appropriate matched sibling donor is available and the patient is a candidate for allogeneic HCT.

^{ddd}If ambiguous, consider repeat bone marrow biopsy in 5–7 days before proceeding with therapy.

^{eee}Hypoplasia is defined as cellularity less than 20% of which the residual blasts are less than 5% (ie, blast percentage of residual cellularity).

^{fff}For re-induction, no data are available to show superiority with intermediate or high-dose cytarabine.

^{ggg}For patients with residual blasts after induction with standard-dose cytarabine with daunorubicin and cladribine, a second cycle of the same induction regimen can be given if >50% cytoreduction.

^{hhh}If daunorubicin 90 mg/m² was used in induction, the recommended dose for daunorubicin for reinduction prior to count recovery is 45 mg/m² for no more than 2 doses. Analogously, if idarubicin 12 mg/m² was used for induction, the early reinduction dose should be limited to 10 mg/m² for 1 or 2 doses.

ⁱⁱⁱMRD testing is under investigation and may have prognostic significance. See MRD assessment ([AML-G](#)) and [Discussion](#) for further details.

^{jjj}[See Response Criteria for Acute Myeloid Leukemia \(AML-E\)](#).

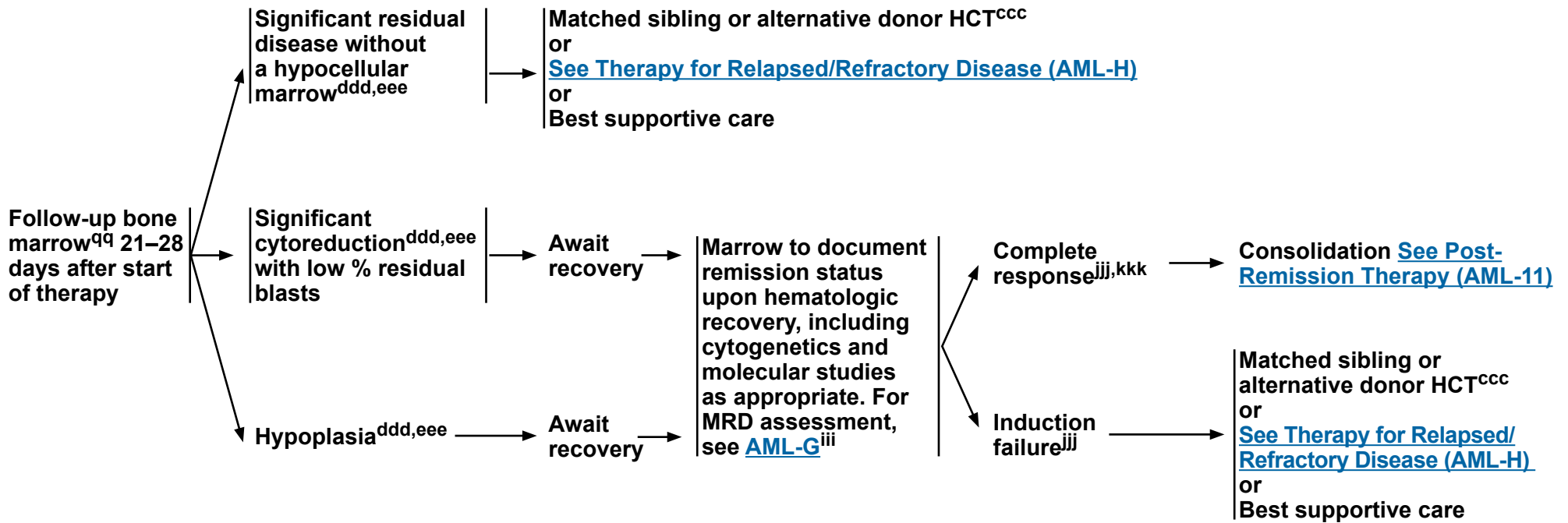
^{kkk}Screening LP should be considered at first remission before first consolidation for patients with monocytic differentiation, mixed phenotype acute leukemia, WBC >40,000/mcL at diagnosis, extramedullary disease, or *FLT3*. [See Evaluation and Treatment of CNS Leukemia \(AML-B\)](#).

Note: All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.



AGE <60 y AML AFTER HIGH-DOSE CYTARABINE INDUCTION^{bbb,ccc}



^{qq}See [Monitoring During Therapy \(AML-F\)](#).

^{bbb}Consider clinical trials for patients with targeted molecular abnormalities.

^{ccc}Begin alternate donor search (haploidentical, unrelated donor or cord blood) if no appropriate matched sibling donor is available and the patient is a candidate for allogeneic HCT.

^{ddd}If ambiguous, consider repeat bone marrow biopsy in 5–7 days before proceeding with therapy.

^{eee}Hypoplasia is defined as cellularity less than 20% of which the residual blasts are less than 5% (ie, blast percentage of residual cellularity).

ⁱⁱⁱMRD testing is under investigation and may have prognostic significance. See MRD assessment ([AML-G](#)) and [Discussion](#) for further details.

^{jjj}See [Response Criteria for Acute Myeloid Leukemia \(AML-E\)](#).

^{kkk}Screening LP should be considered at first remission before first consolidation for patients with monocytic differentiation, mixed phenotype acute leukemia, WBC >40,000/mcL at diagnosis, extramedullary disease, or *FLT3*. See [Evaluation and Treatment of CNS Leukemia \(AML-B\)](#).

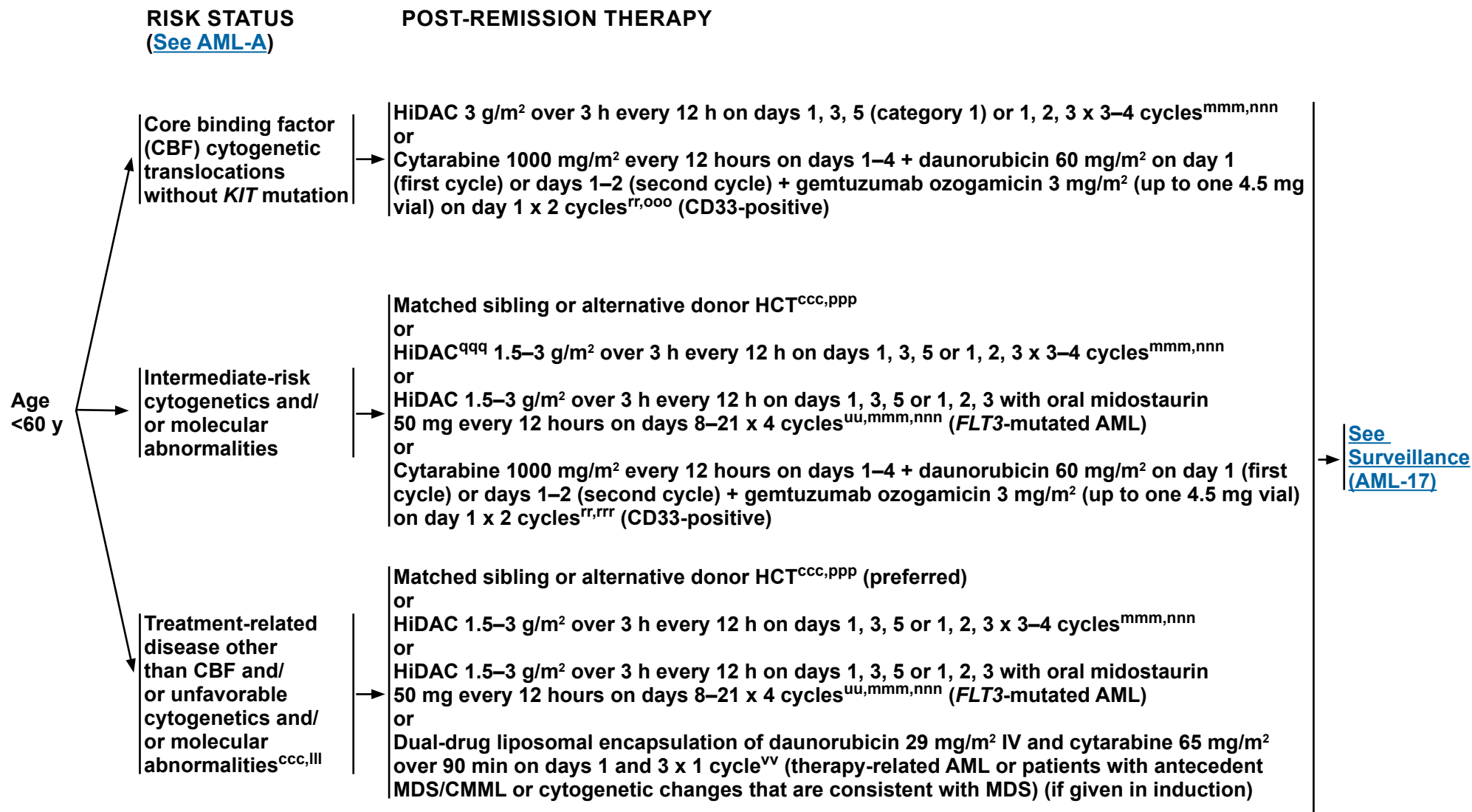
Note: All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.



NCCN Guidelines Version 3.2019

Acute Myeloid Leukemia



[See footnotes on AML-11A](#)

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**FOOTNOTES FOR POST-REMISSION THERAPY**

^{uu}This regimen is for *FLT3* mutation-positive AML (both ITD and TKD mutations). While midostaurin was not FDA approved for maintenance therapy, the study was designed for consolidation and maintenance midostaurin for a total of 12 months. Stone RM, Mandrekar SJ, Sanford BL, et al. Midostaurin plus chemotherapy for acute myeloid leukemia with a *FLT3* mutation. *N Engl J Med* 2017;377:454-464.

^vLancet JE, et al. CPX-351 (cytarabine and daunorubicin) Liposome for injection versus conventional cytarabine plus daunorubicin in older patients with newly diagnosed secondary acute myeloid leukemia. *J Clin Oncol* 2018;36:2684-2692.

^{rr}Burnett AK, Hills RK, Milligan D, et al. Identification of patients with acute myeloblastic leukemia who benefit from the addition of gemtuzumab ozogamicin: results of the MRC AML15 trial. *J Clin Oncol* 2011;29:369-377. Meta-analysis showing an advantage with gemtuzumab ozogamicin have included other dosing schedules; Hills RK et al. Addition of gemtuzumab ozogamicin to induction chemotherapy in adult patients with acute myeloid leukaemia: a meta-analysis of individual patient data from randomised controlled trials. *Lancet Oncol* 2014;15:986-996.

^{ccc}Begin alternate donor search (haploidentical, unrelated donor or cord blood) if no appropriate matched sibling donor is available and the patient is a candidate for allogeneic HCT.

^{lll}*FLT3*-ITD mutation is a poor-risk feature in the setting of otherwise normal karyotype, and these patients should be considered for clinical trials where available.

^{mmm}Mayer RJ, et al. Intensive postremission chemotherapy in adults with acute myeloid leukemia. *N Engl J Med* 1994;331:896-903; Jaramillo S, Benner A, Krauter J, et al. Condensed versus standard schedule of high-dose cytarabine consolidation therapy with pegfilgrastim growth factor support in acute myeloid leukemia. *Blood Cancer J* 2017;7:e564.

ⁿⁿⁿAlternate dosing of cytarabine for postremission therapy has been reported ([see Discussion](#)). Jaramillo S, Benner A, Krauter J, et al. Condensed versus standard schedule of high-dose cytarabine consolidation therapy with pegfilgrastim growth factor support in acute myeloid leukemia. *Blood Cancer J* 2017;7:e564.

^{ooo}This regimen may also be used in patients with *KIT* mutations because the outcomes are similar in patients without *KIT* mutations.

^{ppp}Patients may require at least one cycle of high-dose cytarabine consolidation while donor search is in progress to maintain remission. Patients may proceed directly to transplant following achievement of remission if a donor (sibling or alternative) is available.

^{qqq}There is no evidence that HiDAC is superior to intermediate doses (1.5 g/m² daily x 5 days) of cytarabine in patients with intermediate-risk cytogenetics.

^{rrr}Intermediate-risk patients who receive transplant shortly following gemtuzumab ozogamicin (GO) administration may be at risk for developing veno-occlusive disease.

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NCCN Guidelines Version 3.2019

Acute Myeloid Leukemia

AML^{ll,sss} ≥60 y
(See [NCCN Guidelines for Older Adult Oncology](#))

TREATMENT STRATEGIES

TREATMENT INDUCTION^{pp}

Candidate for intensive remission induction therapy^{ttt}

- Favorable-risk cytogenetics
- Intermediate-risk cytogenetics

- Intermediate-risk cytogenetics and *FLT3* mutant

- Therapy-related AML
- Antecedent MDS/CMML
- Cytogenetic changes consistent with MDS (AML-MRC)

- Unfavorable-risk cytogenetics (exclusive of AML-MRC)

- Other recommended regimens for intermediate- or poor-risk disease

Standard-dose cytarabine 200 mg/m² continuous infusion x 7 days with daunorubicin 60 mg/m² x 3 days and gemtuzumab ozogamicin 3 mg/m² (up to one 4.5 mg vial) on days 1, 4, and 7^{rr} (CD33-positive)^{oo}

or
Standard-dose cytarabine (100–200 mg/m² continuous infusion x 7 days) with idarubicin^{uuu} 12 mg/m² or daunorubicin^{vvv} 60–90 mg/m² x 3 days or mitoxantrone 12 mg/m² x 3 days

Standard-dose cytarabine 200 mg/m² continuous infusion x 7 days with daunorubicin 60 mg/m² x 3 days and oral midostaurin 50 mg every 12 hours, days 8–21^{uu,www}

Dual-drug liposomal encapsulation of daunorubicin 44 mg/m² and cytarabine 100 mg/m² IV over 90 min on days 1, 3, and 5 x 1 cycle^{vv} (category 1)

or
Standard-dose cytarabine (100–200 mg/m² continuous infusion x 7 days) with idarubicin^{uuu} 12 mg/m² or daunorubicin^{vvv} 60–90 mg/m² x 3 days or mitoxantrone 12 mg/m² x 3 days

Venetoclax once a day (100 mg d1, 200 mg d2, 400 mg d3 and beyond) and intravenous decitabine 20 mg/m² [days 1-5 of each 28-day cycle]^{xxx,yyy}

or
Venetoclax once a day (100 mg d1, 200 mg d2, 400 mg d3 and beyond) and subcutaneous or intravenous azacitidine 75 mg/m² [days 1-7 of each 28-day cycle]^{xxx,yyy}

or
Venetoclax once a day (100 mg d1, 200 mg d2, 400 mg d3 and 600 mg d4 and beyond) and subcutaneous low-dose cytarabine 20 mg/m²/day [days 1-10 of each 28-day cycle]^{xxx}

or
Low-intensity therapy (azacitidine, decitabine)^{yyy,zzz}

or
Standard-dose cytarabine (100–200 mg/m² continuous infusion x 7 days) with idarubicin^{sss} 12 mg/m² or daunorubicin^{ttt} 60–90 mg/m² x 3 days or mitoxantrone 12 mg/m² x 3 days

Standard-dose cytarabine (100–200 mg/m² continuous infusion x 7 days) with idarubicin^{sss} 12 mg/m² or daunorubicin^{ttt} 60–90 mg/m² x 3 days or mitoxantrone 12 mg/m² x 3 days

[See Post-Induction Therapy \(AML-14\)](#)

[See Post-Remission Therapy \(AML-16\)](#)

[See Post-Induction Therapy \(AML-14\)](#)

[See footnotes on AML-12A](#)

Note: All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.

**FOOTNOTES FOR TREATMENT INDUCTION**

^{ll}Patients with elevated blast counts are at risk for tumor lysis and organ dysfunction secondary to leukostasis. Measures to rapidly reduce the WBC count include apheresis hydroxyurea and/or a single dose of cytarabine (1-2 g). Prompt institution of definitive therapy is essential.

^{oo}Threshold for CD33 is not well-defined and may be $\geq 1\%$.

^{pp}[See Supportive Care \(AML-D 1 of 2\).](#)

^{rr}Burnett AK, Hills RK, Milligan D, et al. Identification of patients with acute myeloblastic leukemia who benefit from the addition of gemtuzumab ozogamicin: results of the MRC AML15 trial. *J Clin Oncol* 2011;29:369-377. Meta-analysis showing an advantage with gemtuzumab ozogamicin have included other dosing schedules; Hills RK et al. Addition of gemtuzumab ozogamicin to induction chemotherapy in adult patients with acute myeloid leukaemia: a meta-analysis of individual patient data from randomised controlled trials. *Lancet Oncol* 2014;15:986-996.

^{uu}This regimen is for *FLT3* mutation-positive AML (both ITD and TKD mutations). While midostaurin was not FDA approved for maintenance therapy, the study was designed for consolidation and maintenance midostaurin for a total of 12 months. Stone RM, Mandrekar SJ, Sanford BL, et al. Midostaurin plus chemotherapy for acute myeloid leukemia with a *FLT3* mutation. *N Engl J Med* 2017;377:454-464.

^{vv}Lancet JE, et al. CPX-351 (cytarabine and daunorubicin) liposome for injection versus conventional cytarabine plus daunorubicin in older patients with newly diagnosed secondary acute myeloid leukemia. *J Clin Oncol* 2018;36:2684-2692.

^{sss}There is a web-based scoring tool available to evaluate the probability of complete response and early death after standard induction therapy in elderly patients with AML: <http://www.aml-score.org/>. Krug U, et al. Complete remission and early death after intensive chemotherapy in patients aged 60 years or older with acute myeloid leukaemia: a web-based application for prediction of outcomes. *Lancet* 2010;376:2000-2008.

^{ttt}Factors in decisions about fitness for induction chemotherapy include age, performance status, functional status, and comorbid conditions.

^{uuu}For patients who exceed anthracycline dose or have cardiac issues but are still able to receive aggressive therapy, alternative non-anthracycline-containing regimens may be considered (eg, FLAG, clofarabine-based regimens [category 3]).

^{vvv}The complete response rates and 2-year overall survival in patients between 60 and 65 years of age treated with daunorubicin 90 mg/m² is also comparable to the outcome for idarubicin 12 mg/m²; the higher-dose daunorubicin did not benefit patients > age 65 (Lowenberg B, et al. High-dose daunorubicin in older patients with acute myeloid leukemia. *N Engl J Med* 2009;361:1235-1248).

^{www}The RATIFY trial studied patients age 18–60 y. An extrapolation of the data suggests that older patients who are fit to receive 7+3 should be offered midostaurin since it seems to provide a survival benefit without undue toxicity.

^{xxx}This regimen may be continued for patients who demonstrate clinical improvement (CR/CRi), with consideration of subsequent transplant, where appropriate. DiNardo CD, Pratz KW, Letai A, et al. Safety and preliminary efficacy of venetoclax with decitabine or azacitidine in elderly patients with previously untreated acute myeloid leukaemia: a non-randomised, open-label, phase 1b study. *Lancet Oncol* 2018;19:216-228. Wei A, Strickland SA, Roboz GJ, et al. Phase 1/2 study of venetoclax with low-dose cytarabine in treatment-naïve, elderly patients with acute myeloid leukemia unfit for intensive chemotherapy: 1-year outcomes. *Blood* 2017;130:890-890. Wei A, Strickland SA, Roboz GJ, et al. Updated safety and efficacy results of phase 1/2 study of venetoclax plus low-dose cytarabine in treatment-naïve acute myeloid leukemia patients aged ≥ 65 years and unfit for standard induction therapy. *Haematologica* 2017;Abstract S473. DiNardo CD, Pratz K, Pullarkat V, et al. Venetoclax combined with decitabine or azacitidine in treatment-naïve, elderly patients with acute myeloid leukemia. *Blood* 2019;133:7-17.

^{yyy}Patients who have progressed to AML from MDS after significant exposure to hypomethylating agents/HMAs (azacitidine, decitabine) may be less likely to derive benefit from continued treatment with HMAs compared to patients who are HMA-naïve. Alternative treatment strategies should be considered.

^{zzz}In patients with AML with *TP53* mutation, a 10-day course of decitabine may be considered. (Welch JS, Petti AA, Miller CA, et al. *TP53* and decitabine in acute myeloid leukemia and myelodysplastic syndromes. *N Engl J Med* 2016;375:2023-2036). Response may not be evident before 3–4 cycles of treatment with HMAs (azacitidine, decitabine). Continue HMA treatment until progression if patient is tolerating therapy. Similar delays in response are likely with novel agents on a clinical trial, but endpoints will be defined by the protocol.

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NCCN Guidelines Version 3.2019

Acute Myeloid Leukemia

AML^{II,sss} ≥60 y
 (See [NCCN Guidelines for Older Adult Oncology](#))

TREATMENT STRATEGIES

TREATMENT INDUCTION^{PP}

Not a candidate for intensive remission induction therapy or declines^{ttt}

AML without actionable mutations →

IDH1 mutant →

IDH2 mutant →

FLT3 mutant →

Low-intensity therapy (azacitidine, decitabine)^{yyy,zzz} (preferred)
 or
 Venetoclax once a day (100 mg d1, 200 mg d2, 400 mg d3 and beyond) and intravenous decitabine 20 mg/m² [days 1-5 of each 28-day cycle]^{xxx,yyy}
 or
 Venetoclax once a day (100 mg d1, 200 mg d2, 400 mg d3 and beyond) and subcutaneous or intravenous azacitidine 75 mg/m² [days 1-7 of each 28-day cycle]^{xxx,yyy}
 or
 Venetoclax once a day (100 mg d1, 200 mg d2, 400 mg d3 and 600 mg d4 and beyond) and subcutaneous low-dose cytarabine 20 mg/m²/day [days 1-10 of each 28-day cycle]^{xxx}
 or
 Glasdegib (100 mg PO daily on days 1-28) + Low-dose cytarabine (LDAC) 20 mg subcutaneous every 12 hours [days 1-10 of each 28 day cycle]^{aaaa}
 or
 Low-dose cytarabine
 or
 Gemtuzumab ozogamicin 6 mg/m² on day 1 and 3 mg/m² on day 8^{bbbb} (CD33-positive)^{oo}
 or
 Best supportive care (hydroxyurea, transfusion support)

Ivosidenib^{cccc,dddd}
 or
 Low-intensity therapy (azacitidine, decitabine)^{zzz}

Enasidenib^{dddd,eeee}
 or
 Low-intensity therapy (azacitidine, decitabine)^{yyy,zzz}

Low-intensity therapy (azacitidine or decitabine) ± sorafenib^{yyy,fff} (FLT3-ITD-positive)

→ [See Post-Remission Therapy \(AML-16\)](#)

[See footnotes on AML-13A](#)

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**FOOTNOTES FOR TREATMENT INDUCTION**

^{ll}Patients with elevated blast counts are at risk for tumor lysis and organ dysfunction secondary to leukostasis. Measures to rapidly reduce the WBC count include apheresis, hydroxyurea and/or a single dose of cytarabine (1-2 g). Prompt institution of definitive therapy is essential.

^{oo}Threshold for CD33 is not well-defined and may be $\geq 1\%$.

^{pp}[See Supportive Care \(AML-D 1 of 2\).](#)

^{sss}There is a web-based scoring tool available to evaluate the probability of complete response and early death after standard induction therapy in elderly patients with AML: <http://www.aml-score.org/>. Krug U, et al. Complete remission and early death after intensive chemotherapy in patients aged 60 years or older with acute myeloid leukaemia: a web-based application for prediction of outcomes. *Lancet* 2010;376:2000-2008.

^{ttt}Factors in decisions about fitness for induction chemotherapy include age, performance status, functional status, and comorbid conditions.

^{xxx}This regimen may be continued for patients who demonstrate clinical improvement (CR/CRi), with consideration of subsequent transplant, where appropriate. DiNardo CD, Pratz KW, Letai A, et al. Safety and preliminary efficacy of venetoclax with decitabine or azacitidine in elderly patients with previously untreated acute myeloid leukaemia: a non-randomised, open-label, phase 1b study. *Lancet Oncol* 2018;19:216-228. Wei A, Strickland SA, Roboz GJ, et al. Phase 1/2 study of venetoclax with low-dose cytarabine in treatment-naïve, elderly patients with acute myeloid leukemia unfit for intensive chemotherapy: 1-year outcomes. *Blood* 2017;130:890-890. Wei A, Strickland SA, Roboz GJ, et al. Updated safety and efficacy results of phase 1/2 study of venetoclax plus low-dose cytarabine in treatment-naïve acute myeloid leukemia patients aged ≥ 65 years and unfit for standard induction therapy. *Haematologica* 2017;Abstract S473. DiNardo CD, Pratz K, Pullarkat V, et al. Venetoclax combined with decitabine or azacitidine in treatment-naïve, elderly patients with acute myeloid leukemia. *Blood* 2019;133:7-17.

^{yyy}Patients who have progressed to AML from MDS after significant exposure to hypomethylating agents/HMAs (azacitidine, decitabine) may be less likely to derive benefit from continued treatment with HMAs compared to patients who are HMA-naïve. Alternative treatment strategies should be considered. DiNardo CD, Pratz K, Pullarkat V, et al. Venetoclax combined with decitabine or azacitidine in treatment-naïve, elderly patients with acute myeloid leukemia. *Blood* 2019;133:7-17.

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^{aaaa}This regimen is for treatment of newly-diagnosed AML in patients who are ≥ 75 years of age, or who have significant comorbid conditions (i.e., severe cardiac disease, ECOG performance status ≥ 2 , or baseline creatinine > 1.3 mg/dL). Cortes JE, Heidel FH, Heuser M, et al. A phase 2 randomized study of low dose Ara-C with or without Glasdegib (PF-04449913) in untreated patients with acute myeloid leukemia or high-risk myelodysplastic syndrome. *Blood*. 2016;128:99-99.

^{bbbb}Amadori S, Suci S, Selleslag D, et al. Gemtuzumab ozogamicin versus best supportive care in older patients with newly diagnosed acute myeloid leukemia unsuitable for intensive chemotherapy: Results of the randomized phase III EORTC-GIMEMA AML-19 Trial. *J Clin Oncol* 2016;34:972-979.

^{cccc}DiNardo CD, De Botton S, Stein EM, et al. Ivosidenib (AG-120) in mutant IDH1 AML and advanced hematologic malignancies: Results of a phase 1 dose escalation and expansion study. *Blood* 2017;130:725; DiNardo CD, Stein AS, Fathi AT, et al. Mutant isocitrate dehydrogenase (mIDH) inhibitors, enasidenib or ivosidenib, in combination with azacitidine (AZA): Preliminary results of a phase 1b/2 study in patients with newly diagnosed acute myeloid leukemia (AML). *Blood* 2017;130:639.

^{dddd}When using this agent, monitor closely for differentiation syndrome and initiate therapy to resolve symptoms according to indications. Note that differentiation syndrome can occur later (up to several months after induction).

^{eeee}Stein EM, DiNardo CD, Altman JK, et al. Safety and efficacy of AG-221, a potent inhibitor of mutant IDH2 that promotes differentiation of myeloid cells in patients with advanced hematologic malignancies: results of a phase 1/2 trial. *Blood*. 2015;126(23):323.

^{ffff}Ravandi F et al. Phase 2 study of azacytidine plus sorafenib in patients with acute myeloid leukemia and FLT-3 internal tandem duplication mutation. *Blood* 2013;121:4655-4662.

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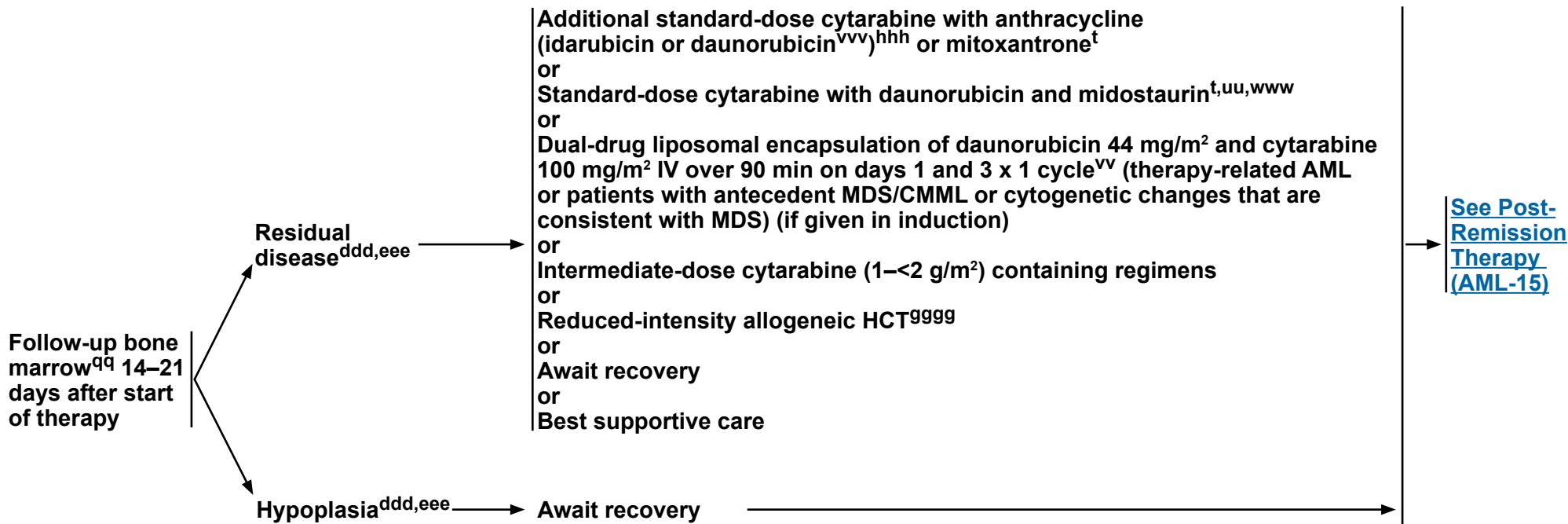
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NCCN Guidelines Version 3.2019

Acute Myeloid Leukemia

AGE ≥60 y^{ccc} AFTER STANDARD-DOSE CYTARABINE INDUCTION



^tFor regimens using high cumulative doses of cardiotoxic agents, consider reassessing cardiac function prior to each anthracycline/mitoxantrone-containing course.

^{qq}[See Monitoring During Therapy \(AML-F\).](#)

^{uu}This regimen is for *FLT3* mutation-positive AML (both ITD and TKD mutations). While midostaurin was not FDA approved for maintenance therapy, the study was designed for consolidation and maintenance midostaurin for a total of 12 months. Stone RM, Mandrekar SJ, Sanford BL, et al. Midostaurin plus chemotherapy for acute myeloid leukemia with a *FLT3* mutation. *N Engl J Med* 2017;377:454-464.

^{vv}Lancet JE, et al. CPX-351 (cytarabine and daunorubicin) liposome for injection versus conventional cytarabine plus daunorubicin in older patients with newly diagnosed secondary acute myeloid leukemia. *J Clin Oncol* 2018;36:2684-2692.

^{ccc}Begin alternate donor search (haploidentical, unrelated donor or cord blood) if no appropriate matched sibling donor is available and the patient is a candidate for allogeneic HCT.

^{ddd}If ambiguous, consider repeat bone marrow biopsy in 5–7 days before proceeding with therapy.

^{eee}Hypoplasia is defined as cellularity less than 20% of which the residual blasts are less than 5% (ie, blast percentage of residual cellularity).

^{hhh}If daunorubicin 90 mg/m² was used in induction, the recommended dose for daunorubicin for reinduction prior to count recovery is 45 mg/m² for no more than 2 doses. Analogously, if idarubicin 12 mg/m² was used for induction, the early reinduction dose should be limited to 10 mg/m² for 1 or 2 doses.

^{vvv}The complete response rate and 2-year overall survival in patients between 60 and 65 years of age treated with daunorubicin 90 mg/m² are also comparable to the outcome for idarubicin 12 mg/m²; the higher dose daunorubicin did not benefit patients > age 65 (Lowenberg B, et al. High-dose daunorubicin in older patients with acute myeloid leukemia. *N Engl J Med* 2009;361:1235-1248).

^{www}The RATIFY trial studied patients age 18–60 y. An extrapolation of the data suggests that older patients who are fit to receive 7+3 should be offered midostaurin since it seems to provide a survival benefit without undue toxicity.

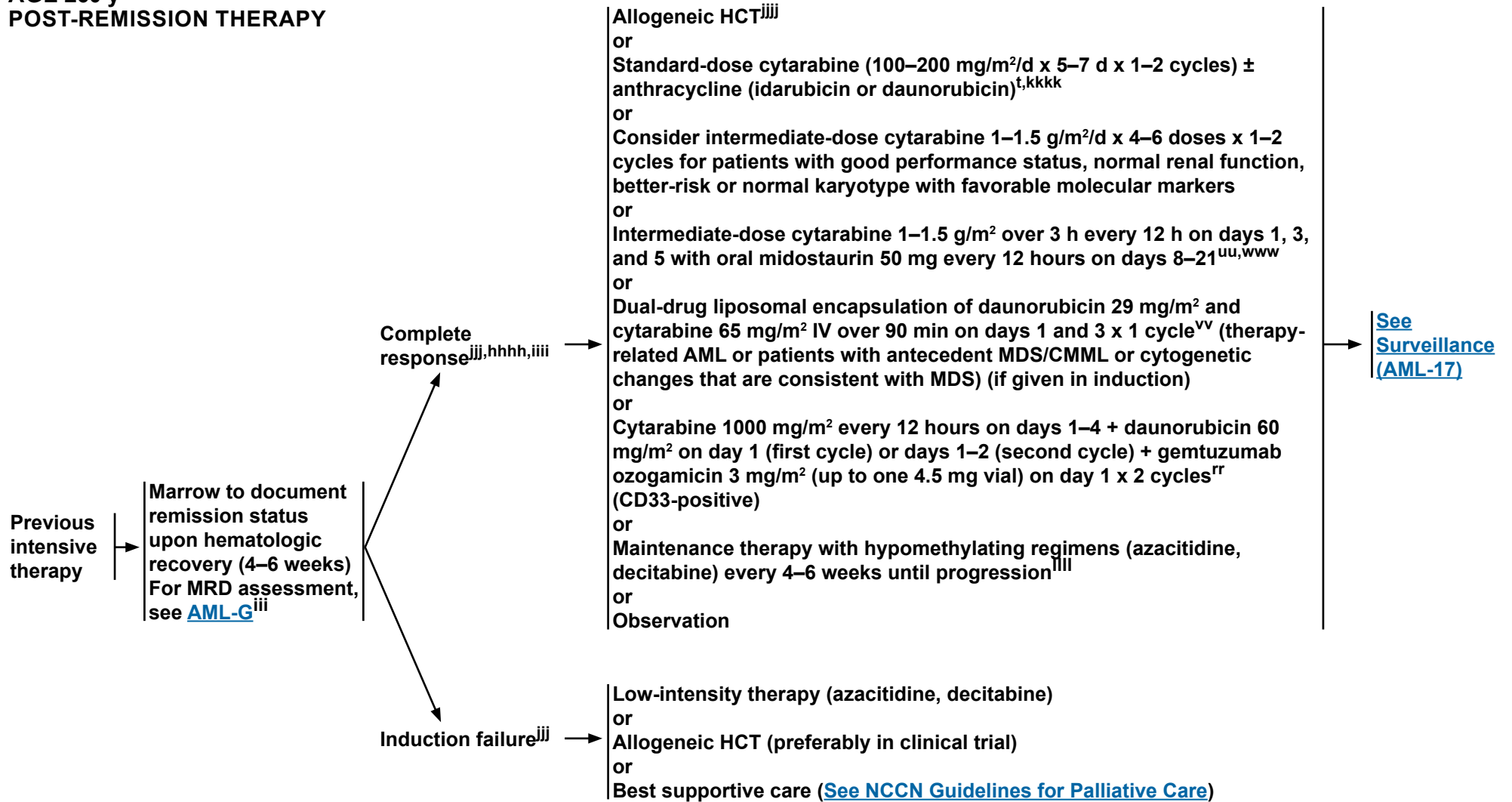
⁹⁹⁹⁹Reduced-intensity transplant is a reasonable option in patients with identified donors available to start conditioning within 4–6 weeks from start of induction therapy. Patients without an identified donor would most likely need some additional therapy as a bridge to transplant. Reduced-intensity HCT may be appropriate for patients with a low level of residual disease post-induction (eg, patients with prior MDS who reverted back to MDS with <10% blasts). It is preferred that this approach be given in the context of a clinical trial.

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AGE ≥60 y POST-REMISSION THERAPY



[See footnotes on AML-15A](#)

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FOOTNOTES FOR POST-REMISSION THERAPY

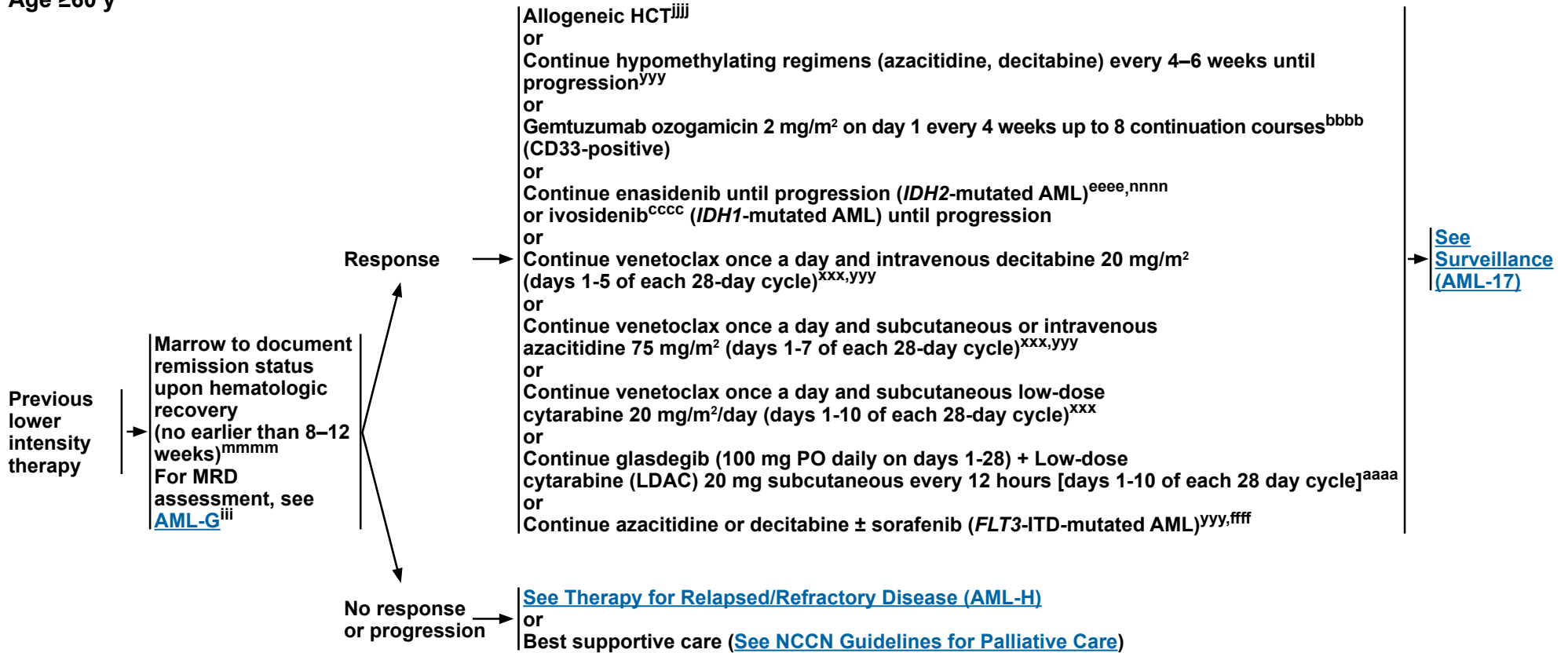
- ^tFor regimens using high cumulative doses of cardiotoxic agents, consider reassessing cardiac function prior to each anthracycline/mitoxantrone-containing course.
- ^{uu}This regimen is for *FLT3* mutation-positive AML (both ITD and TKD mutations). While midostaurin was not FDA approved for maintenance therapy, the study was designed for consolidation and maintenance midostaurin for a total of 12 months. Stone RM, Mandrekar SJ, Sanford BL, et al. Midostaurin plus chemotherapy for acute myeloid leukemia with a *FLT3* mutation. *N Engl J Med* 2017;377:454-464.
- ^{vv}Lancet JE, et al. CPX-351 (cytarabine and daunorubicin) liposome for injection versus conventional cytarabine plus daunorubicin in older patients with newly diagnosed secondary acute myeloid leukemia. *J Clin Oncol* 2018;36:2684-2692.
- ^{rr}Burnett AK, Hills RK, Milligan D, et al. Identification of patients with acute myeloblastic leukemia who benefit from the addition of gemtuzumab ozogamicin: results of the MRC AML15 trial. *J Clin Oncol* 2011;29:369-377. Meta-analysis showing an advantage with gemtuzumab ozogamicin have included other dosing schedules; Hills RK et al. Addition of gemtuzumab ozogamicin to induction chemotherapy in adult patients with acute myeloid leukaemia: a meta-analysis of individual patient data from randomised controlled trials. *Lancet Oncol* 2014;15:986-996.
- ⁱⁱⁱMRD testing is under investigation and may have prognostic significance. See MRD assessment ([AML-G](#)) and [Discussion](#) for further details.
- ^{jjj}[See Response Criteria for Acute Myeloid Leukemia \(AML-E\).](#)
- ^{www}The RATIFY trial studied patients age 18–60 y. An extrapolation of the data suggests that older patients who are fit to receive 7+3 should be offered midostaurin since it seems to provide a survival benefit without undue toxicity.
- ^{hhhh}Patients in remission may be screened with LP if initial WBC count >40,000/mcL or monocytic histology. [See Evaluation and Treatment of CNS Leukemia \(AML-B\).](#)
- ⁱⁱⁱⁱHLA-typing for patients considered strong candidates for allogeneic transplantation.
- ^{jjjj}Patients who are deemed as strong candidates for HCT and who have an available donor should be transplanted in first remission.
- ^{kkkk}An excellent outcome was reported for outpatient consolidation that provides another option for elderly patients. Gardin C, et al. Postremission treatment of elderly patients with acute myeloid leukemia in first complete remission after intensive induction chemotherapy: results of the multicenter randomized Acute Leukemia French Association (ALFA) 9803 trial. *Blood* 2007;109:5129-5135.
- ^{llll}An option for patients who had achieved a remission with a more intensive regimen but had regimen-related toxicity that prevented them from receiving more conventional consolidation.

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AML POST-REMISSION THERAPY Age ≥60 y



[See footnotes on AML-16A](#)

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**FOOTNOTES FOR AML POST-REMISSION THERAPY**

iii MRD testing is under investigation and may have prognostic significance. See MRD assessment ([AML-G](#)) and [Discussion](#) for further details.

xxx This regimen may be continued for patients who demonstrate clinical improvement (CR/CRi), with consideration of subsequent transplant, where appropriate. DiNardo CD, Pratz KW, Letai A, et al. Safety and preliminary efficacy of venetoclax with decitabine or azacitidine in elderly patients with previously untreated acute myeloid leukaemia: a non-randomised, open-label, phase 1b study. *Lancet Oncol* 2018;19:216-228. Wei A, Strickland SA, Roboz GJ, et al. Phase 1/2 Study of Venetoclax with low-dose Cytarabine in treatment-naïve, elderly patients with acute myeloid leukemia unfit for intensive chemotherapy: 1-year outcomes. *Blood* 2017;130:890-890. Wei A, Strickland SA, Roboz GJ, et al. Updated safety and efficacy results of phase 1/2 study of venetoclax plus low-dose cytarabine in treatment-naïve acute myeloid leukemia patients aged ≥65 years and unfit for standard induction therapy. *Haematologica* 2017;Abstract S473. DiNardo CD, Pratz K, Pullarkat V, et al. Venetoclax combined with decitabine or azacitidine in treatment-naïve, elderly patients with acute myeloid leukemia. *Blood* 2019;133:7-17.

yyy Patients who have progressed to AML from MDS after significant exposure to hypomethylating agents/HMAs (azacitidine, decitabine) may be less likely to derive benefit from continued treatment with HMAs compared to patients who are HMA-naïve. Alternative treatment strategies should be considered. DiNardo CD, Pratz K, Pullarkat V, et al. Venetoclax combined with decitabine or azacitidine in treatment-naïve, elderly patients with acute myeloid leukemia. *Blood* 2019;133:7-17.

aaaa This regimen is for treatment of newly-diagnosed AML in patients who are ≥75 years of age, or who have significant comorbid conditions (i.e., severe cardiac disease, ECOG performance status ≥2, or baseline creatinine >1.3 mg/dL). Cortes JE, Heidel FH, Heuser M, et al. A phase 2 randomized study of low dose Ara-C with or without Glasdegib (PF-04449913) in untreated patients with acute myeloid leukemia or high-risk myelodysplastic syndrome. *Blood*. 2016;128:99-99.

bbbb Amadori S, Suci S, Selleslag D, et al. Gemtuzumab ozogamicin versus best supportive care in older patients with newly diagnosed acute myeloid leukemia unsuitable for intensive chemotherapy: Results of the randomized phase III EORTC-GIMEMA AML-19 Trial. *J Clin Oncol* 2016;34:972-979.

cccc DiNardo CD, De Botton S, Stein EM, et al. Ivosidenib (AG-120) in mutant IDH1 AML and advanced hematologic malignancies: Results of a phase 1 dose escalation and expansion study. *Blood* 2017;130:725; DiNardo CD, Stein AS, Fathi AT, et al. Mutant isocitrate dehydrogenase (mIDH) inhibitors, enasidenib or ivosidenib, in combination with azacitidine (AZA): Preliminary results of a phase 1b/2 study in patients with newly diagnosed acute myeloid leukemia (AML). *Blood* 2017;130:639.

eeee Stein EM, DiNardo CD, Altman JK, et al. Safety and efficacy of AG-221, a potent inhibitor of mutant IDH2 that promotes differentiation of myeloid cells in patients with advanced hematologic malignancies: results of a phase 1/2 trial. *Blood*. 2015;126(23):323.

ffff Ravandi F et al. Phase 2 study of azacytidine plus sorafenib in patients with acute myeloid leukemia and FLT-3 internal tandem duplication mutation. *Blood* 2013;121:4655-4662.

jjjj Patients who are deemed as strong candidates for HCT and who have an available donor should be transplanted in first remission.

mmmm Response to treatment with enasidenib or ivosidenib may take 3–5 months.

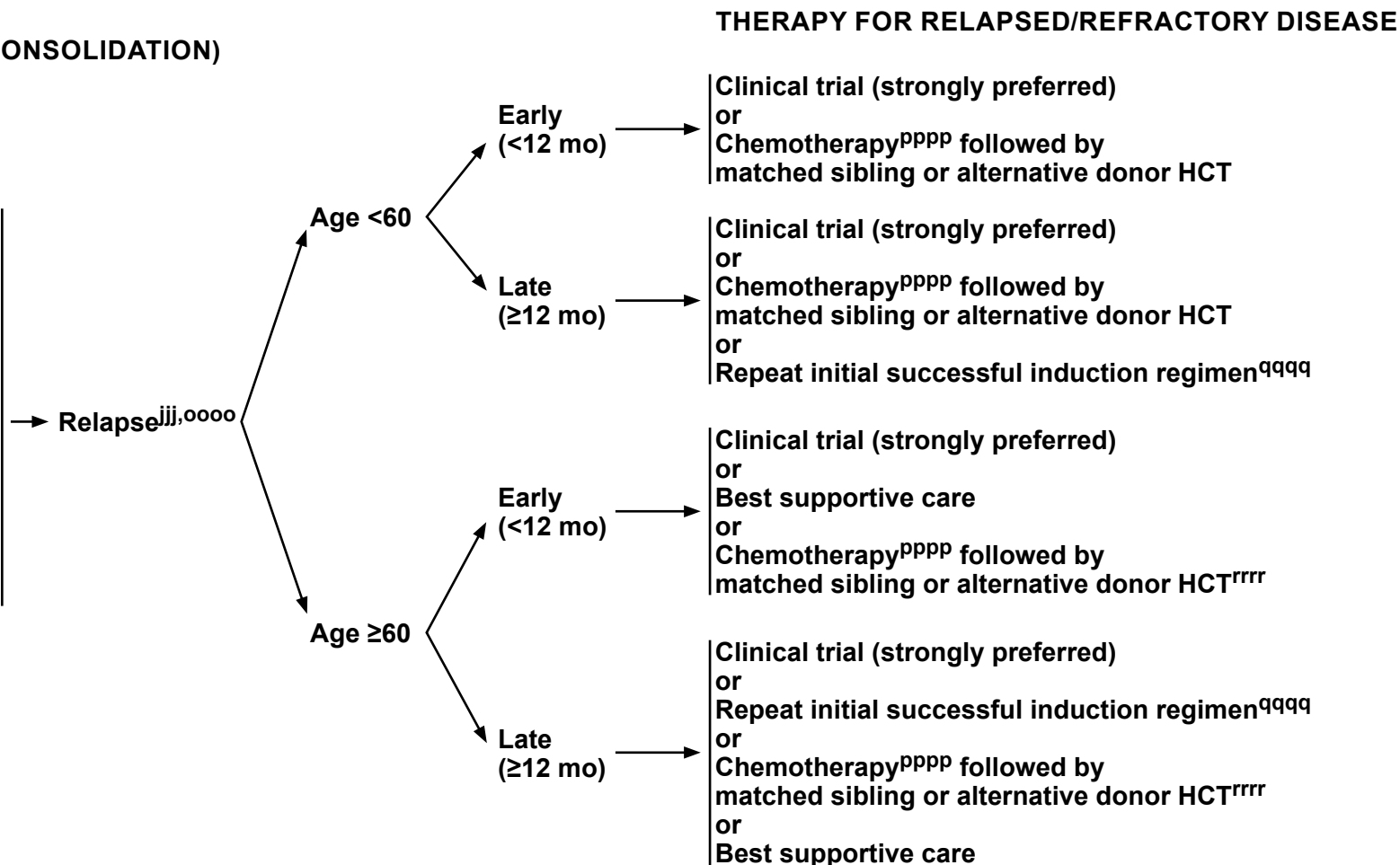
nnnn Enasidenib or ivosidenib increases the risk for differentiation syndrome and hyperleukocytosis that may require treatment with hydroxyurea and steroids.

Note: All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.

SURVEILLANCEⁿⁿⁿⁿ (AFTER COMPLETION OF CONSOLIDATION)

- CBC, platelets every 1–3 mo for 2 y, then every 3–6 mo up to 5 y
- Bone marrow aspirate and biopsy only if peripheral smear is abnormal or cytopenias develop
- Donor search should be initiated at first relapse in appropriate patients concomitant with institution of other therapy if no sibling donor has been identified



^{jjj}See [Response Criteria for Acute Myeloid Leukemia \(AML-E\)](#).

ⁿⁿⁿⁿStudies are ongoing to evaluate the role of molecular monitoring in the surveillance for early relapse in patients with AML ([see Discussion](#)).

^{oooo}Molecular profiling (including *IDH1/IDH2*, *FLT3* mutations) is suggested as it may assist with selection of therapy and appropriate clinical trials ([see Discussion](#)).

^{pppp}See [Therapy for Relapsed/Refractory Disease \(AML-H\)](#).

^{qqqq}Reinduction therapy may be appropriate in certain circumstances, such as in patients with long first remission (an exception is dual-drug liposomal encapsulation cytarabine and daunorubicin). If a second complete response is achieved, then consolidation with allogeneic HCT should be considered.

^{rrrr}Transplant should only be considered in the context of a clinical trial or if a remission is achieved.

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**EUROPEAN LEUKEMIANET RISK STRATIFICATION BY GENETICS IN NON-APL AML^{1,2}**

Risk Category*	Genetic Abnormality
Favorable	t(8;21)(q22;q22.1); <i>RUNX1-RUNX1T1</i> inv(16)(p13.1;q22) or t(16;16)(p13.1;q22); <i>CBFB-MYH11</i> Mutated <i>NPM1</i> without <i>FLT3</i> -ITD or with <i>FLT3</i> -ITD ^{low†} Biallelic mutated <i>CEBPA</i>
Intermediate	Mutated <i>NPM1</i> and <i>FLT3</i> -ITD ^{high†} Wild-type <i>NPM1</i> without <i>FLT3</i> -ITD or with <i>FLT3</i> -ITD ^{low†} (without adverse-risk genetic lesions) t(9;11)(p21.3;q23.3); <i>MLL3-KMT2A‡</i> Cytogenetic abnormalities not classified as favorable or adverse
Poor/Adverse	t(6;9)(p23;q34.1); <i>DEK-NUP214</i> t(v;11q23.3); <i>KMT2A</i> rearranged t(9;22)(q34.1;q11.2); <i>BCR-ABL1</i> inv(3)(q21.3;q26.2) or t(3;3)(q21.3;q26.2); <i>GATA2, MECOM(EVI1)</i> -5 or del(5q); -7; -17/abn(17p) Complex karyotype,§ monosomal karyotype Wild-type <i>NPM1</i> and <i>FLT3</i> -ITD ^{high†} Mutated <i>RUNX1¶</i> Mutated <i>ASXL1¶</i> Mutated <i>TP53#</i>

¹Dohner H, Estey E, Grimwade D, et al. Diagnosis and management of AML in adults: 2017 ELN recommendations from an international expert panel. *Blood* 2017;129:424-447.

²Frequencies, response rates, and outcome measures should be reported by risk category, and, if sufficient numbers are available, by specific genetic lesions indicated.

*Prognostic impact of a marker is treatment-dependent and may change with new therapies.

†Low, low allelic ratio (<0.5); high, high allelic ratio (≥0.5); semiquantitative assessment of *FLT3*-ITD allelic ratio (using DNA fragment analysis) is determined as ratio of the area under the curve "*FLT3*-ITD" divided by area under the curve "*FLT3*-wild type"; regardless of *FLT3* allelic fractions, patients should be considered for bone marrow transplant, though recent studies indicate that AML with *NPM1* mutation and *FLT3*-ITD low allelic ratio may also have a more favorable prognosis and patients should not routinely be assigned to allogeneic HCT. *FLT3* allelic ratio is not yet pervasively used, and IF not available, the presence of an *FLT3* mutation should be considered high-risk unless it occurs concurrently with an *NPM1* mutation, in which case it is intermediate risk. As data emerge, this measure will evolve.

‡The presence of t(9;11)(p21.3;q23.3) takes precedence over rare, concurrent adverse-risk gene mutations.

§Three or more unrelated chromosome abnormalities in the absence of 1 of the WHO-designated recurring translocations or inversions, that is, t(8;21), inv(16) or t(16;16), t(9;11), t(v;11)(v;q23.3), t(6;9), inv(3) or t(3;3); AML with *BCR-ABL1*.

||Defined by the presence of 1 single monosomy (excluding loss of X or Y) in association with at least 1 additional monosomy or structural chromosome abnormality (excluding core-binding factor AML).

¶These markers should not be used as an adverse prognostic marker if they co-occur with favorable-risk AML subtypes.

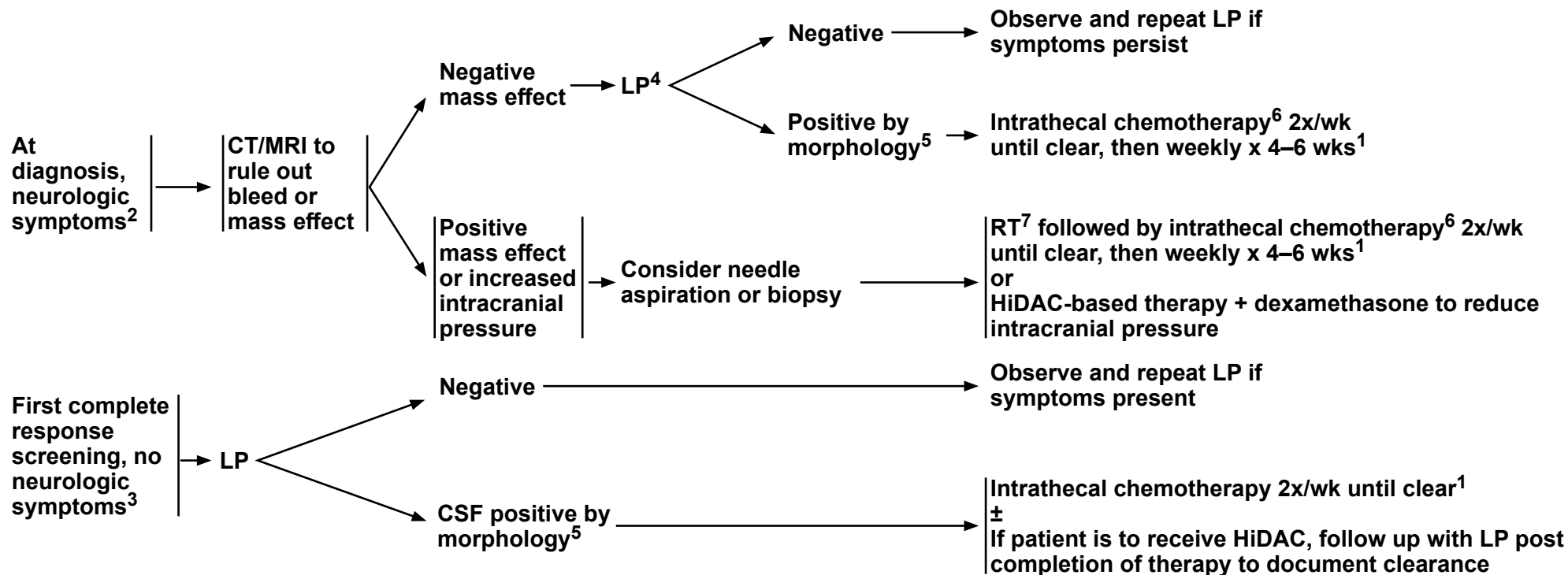
#*TP53* mutations are significantly associated with AML with complex and monosomal karyotype.

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EVALUATION AND TREATMENT OF CNS LEUKEMIA¹



¹Further CNS prophylaxis per institutional practice.

²For patients with major neurologic signs or symptoms at diagnosis, appropriate imaging studies should be performed to detect meningeal disease, chloromas, or CNS bleeding. LP should be performed if no mass, lesion, or hemorrhage was detected on the imaging study.

³Screening LP should be considered at first remission before first consolidation for patients with monocytic differentiation, mixed phenotype acute leukemia, WBC >40,000/mcL at diagnosis, extramedullary disease, or high-risk APL.

⁴In the presence of circulating blasts, administer IT chemotherapy with diagnostic LP.

⁵If equivocal, consider repeating LP with flow cytometry to delineate involvement.

⁶Induction chemotherapy should be started concurrently. However, for patients receiving high-dose cytarabine, since this agent crosses the blood brain barrier, IT therapy can be deferred until induction is completed. IT chemotherapy may consist of methotrexate, cytarabine, or a combination of these agents.

⁷Concurrent use of CNS RT with high-dose cytarabine or IT methotrexate may increase risk of neurotoxicity. [See Principles of Radiation Therapy \(AML-C\).](#)

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PRINCIPLES OF RADIATION THERAPY

I. General Principles

- A. Patients who present with isolated extramedullary disease (myeloid sarcoma) should be treated with systemic therapy. Local therapy (radiation therapy [RT] or surgery [rare cases]) may be used for residual disease.
- B. In a small group of patients where extramedullary disease is causing nerve compressions, a small dose of RT may be considered to decrease disease burden.

II. General Treatment Information

A. Dosing Prescription Regimen

1. CNS Leukemia: RT¹ followed by intrathecal chemotherapy² 2x/wk until clear, then weekly x 4–6 wks³

¹Concurrent use of CNS RT with high-dose cytarabine or IT methotrexate may increase risk of neurotoxicity.

²Induction chemotherapy should be started concurrently. However, for patients receiving high-dose cytarabine, since this agent crosses the blood brain barrier, IT therapy can be deferred until induction is completed. IT chemotherapy may consist of methotrexate, cytarabine, or a combination of these agents.

³Further CNS prophylaxis per institutional practice.

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**SUPPORTIVE CARE**

There are variations among institutions, but the following issues are important to consider in the management of patients with AML.

General**• Blood products:**

- ▶ Leukocyte-depleted products used for transfusion.
- ▶ Irradiated blood products for patients receiving immunosuppressive therapy (ie, fludarabine, HCT).
- ▶ Transfusion thresholds: red blood cell (RBC) counts for Hgb ≤ 7 -8 g/dL or per institutional guidelines or symptoms of anemia; platelets for patients with platelets $< 10,000/\text{mcL}$ or with any signs of bleeding.¹
- ▶ Cytomegalovirus (CMV) screening for potential HCT candidates may be considered.
- Tumor lysis prophylaxis: hydration with diuresis, and allopurinol or rasburicase. Rasburicase should be considered as initial treatment in patients with rapidly increasing blast counts, high uric acid, or evidence of impaired renal function.
- Patients receiving HiDAC therapy (particularly those with impaired renal function), or intermediate-dose cytarabine in patients > 60 years of age, are at risk for cerebellar toxicity. Neurologic assessment, including tests for nystagmus, slurred speech, and dysmetria, should be performed before each dose of cytarabine.
 - ▶ In patients exhibiting rapidly rising creatinine due to tumor lysis, HiDAC should be discontinued until creatinine normalizes.
 - ▶ In patients who develop cerebellar toxicity, cytarabine should be stopped. The patient should not be rechallenged with HiDAC in future treatment cycles.²
- Saline or steroid eye drops should be administered to both eyes four times daily for all patients undergoing HiDAC therapy until 24 hours post completion of cytarabine.
- Growth factors may be considered as a part of supportive care for post-remission therapy. Note that such use may confound interpretation of the bone marrow evaluation. Patients should be off GM-CSF or G-CSF for a minimum of 7 days before obtaining bone marrow to document remission.
- Decisions regarding use and choice of antibiotics should be made by the individual institutions based on the prevailing organisms and their drug resistance patterns. Posaconazole has been shown to significantly decrease fungal infections when compared to fluconazole and itraconazole.³ Outcomes with other azoles, such as voriconazole, echinocandins, or amphotericin B, may produce equivalent results. See the [NCCN Guidelines for the Prevention and Treatment of Cancer-Related Infections](#) and commensurate with the institutional practice for antibiotic stewardship.

¹Patients who are allo-immunized should receive cross-match compatible and/or HLA-specific blood products.

²Smith GA, et al. High-dose cytarabine dose modification reduces the incidence of neurotoxicity in patients with renal insufficiency. J Clin Oncol 1997;15(2):833-839.

³Cornely OA, et al. Posaconazole vs. fluconazole or itraconazole prophylaxis in patients with neutropenia. N Engl J Med 2007;356:348-359.

Note: All recommendations are category 2A unless otherwise indicated.

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[Continued](#)**AML-D**
1 OF 2

**SUPPORTIVE CARE****APL**

- **Clinical coagulopathy:**
 - ▶ **Management of clinical coagulopathy: Aggressive platelet transfusion support to maintain platelets $\geq 50,000/\text{mCL}$; fibrinogen replacement with cryoprecipitate and fresh frozen plasma to maintain a level over 150 mg/dL and PT and PTT close to normal values. Monitor daily until coagulopathy resolves.**
 - ▶ **Avoid use of tunneled catheter or port-a-cath.**
- **Leukapheresis is not recommended in the routine management of patients with a high WBC count in APL because of the difference in leukemia biology; however, in life-threatening cases with leukostasis that is not responsive to other modalities, leukapheresis can be considered with caution.**
- **APL differentiation syndrome:**
 - ▶ **If steroids are not initiated at time of treatment with ATRA and arsenic, maintain a high index of suspicion of APL differentiation syndrome (ie, fever, often associated with increasing WBC count $>10,000/\text{mCL}$, usually at initial diagnosis or relapse; shortness of breath; hypoxemia; pleural or pericardial effusions)⁴. Close monitoring of volume overload and pulmonary status is indicated. Initiate dexamethasone at first signs or symptoms of respiratory compromise (ie, hypoxemia, pulmonary infiltrates, pericardial or pleural effusions) (10 mg BID for 3–5 days with a taper over 2 weeks). Consider interrupting ATRA therapy until hypoxia resolves.**
 - ▶ **For patients at high risk (WBC $>10,000/\text{mCL}$) for developing differentiation syndrome, initiate prophylaxis with corticosteroids, either prednisone 0.5 mg/kg day 1 or dexamethasone 10 mg q 12 h. Taper the steroid dose over a period of several days. If patient develops differentiation syndrome, change prednisone to dexamethasone 10 mg every 12 h until count recovery or risk of differentiation has abated.^{4,5}**
 - ▶ **The following may be used for differentiation syndrome that is difficult to treat: cyto reduction, hydroxyurea, anthracycline.**
- **Arsenic trioxide monitoring**
 - ▶ **Prior to initiating therapy**
 - ◊ **Electrocardiogram (ECG) for prolonged QTc interval assessment**
 - ◊ **Serum electrolytes (Ca, K, Mg) and creatinine**
 - ▶ **During therapy (weekly during induction therapy and before each course of post-remission therapy)**
 - ◊ **Minimize use of drugs that may prolong QT interval.**
 - ◊ **Maintain K and Mg concentrations within middle or upper range of normal.**
 - ◊ **In patients with prolonged QTc interval >500 millisec, correct electrolytes and proceed with caution. QTcf is recommended; however, in settings where QTcf corrections are unavailable, a cardiology consult may be appropriate for patients with prolonged QTc.**
- **Myeloid growth factors should not be used during induction. They may be considered during consolidation in selected cases (life-threatening infections, signs/symptoms of sepsis); however, there are no outcomes data regarding the prophylactic use of growth factors in consolidation.**

⁴Lo-Coco F, et al. Retinoic acid and arsenic trioxide for acute promyelocytic leukemia. N Engl J Med 2013;369:111-121.

⁵Sanz MA, et al. Risk-adapted treatment of acute promyelocytic leukemia based on all-trans retinoic acid and anthracycline with addition of cytarabine in consolidation therapy for high-risk patients: further improvements in treatment outcome. Blood 2010;115:5137-5146.

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**RESPONSE CRITERIA DEFINITIONS FOR ACUTE MYELOID LEUKEMIA¹**

- **Morphologic leukemia-free state**
 - ▶ **Bone marrow <5% blasts in an aspirate with spicules**
 - ▶ **No blasts with Auer rods or persistence of extramedullary disease**
- **If there is a question of residual leukemia, a bone marrow aspirate/biopsy should be repeated in one week.**
- **A bone marrow biopsy should be performed if spicules are absent from the aspirate sample.**
- **Complete response (CR)**
 - ▶ **Morphologic CR - patient independent of transfusions**
 - ◊ **Absolute neutrophil count >1000/mcL (blasts <5%)**
 - ◊ **Platelets ≥100,000/mcL (blasts <5%)**
 - ◊ **No residual evidence of extramedullary disease**
 - ▶ **Cytogenetic CR - cytogenetics normal (in those with previously abnormal cytogenetics)**
 - ▶ **Molecular CR - molecular studies negative²**
 - ▶ **CRi - There are some clinical trials, particularly those that focus on the elderly or those with antecedent myelodysplasia, that include a variant of complete response referred to as CRi. This has been defined as <5% marrow blasts, either ANC <1000/mcL or platelets <100,000/mcL, and transfusion independence but with persistence of cytopenia (usually thrombocytopenia).**
 - ▶ **Responses less than CR may still be meaningful depending on the therapy.**
- **Partial remission³**
 - ▶ **Decrease of at least 50% in the percentage of blasts to 5% to 25% in the bone marrow aspirate and the normalization of blood counts, as noted above.**
- **Relapse following complete response is defined as reappearance of leukemic blasts in the peripheral blood or the finding of more than 5% blasts in the bone marrow, not attributable to another cause (eg, bone marrow regeneration after consolidation therapy) or extramedullary relapse.**
- **Induction failure - Failure to attain CR following exposure to at least 2 courses of intensive induction therapy (2 cycles of 7+3 or one cycle of 7+3 and one cycle of HiDAC).⁴**

¹Cheson BD, et al. Revised recommendations of the international working group for diagnosis, standardization of response criteria, treatment outcomes, and reporting standards for therapeutic trials in acute myeloid leukemia. *J Clin Oncol* 2003;21(24):4642-4649.

²This is clinically relevant only in APL and Ph+ leukemia at the present time. Molecular remission for APL should be performed after consolidation, not after induction as in non-APL AML.

³Partial remissions are useful in assessing potential activity of new investigational agents, usually in phase I trials.

⁴Dohner H, Estey E, Grimwade D, et al. Diagnosis and management of AML in adults: 2017 ELN recommendations from an international expert panel. *Blood* 2017;129:424-447.

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MONITORING DURING THERAPY

Induction:

- CBC daily (differential daily or as clinically indicated during chemotherapy and every other day after recovery of WBC count >500/mcL until either normal differential or persistent leukemia is documented); platelets daily while in the hospital until platelet-transfusion independent.
- Chemistry profile, including electrolytes, liver function tests (LFTs), blood urea nitrogen (BUN), creatinine, uric acid, and PO₄, at least daily during active treatment until risk of tumor lysis is past. If the patient is receiving nephrotoxic agents, closer monitoring is required through the period of hospitalization.
- LFTs 1–2 x/wk.
- Coagulation panel 1–2 x/wk.
 - ▶ For patients who have evidence of disseminated intravascular coagulation (DIC), coagulation parameters including fibrinogen should be monitored daily until resolution of DIC.
- Bone marrow aspirate/biopsy 14–21 days after start of therapy to document hypoplasia. If hypoplasia is not documented or indeterminate, repeat biopsy in 7–14 days to clarify persistence of leukemia. If hypoplasia, then repeat biopsy at time of hematologic recovery to document remission. If cytogenetics were initially abnormal, include cytogenetics as part of the remission documentation.

Post-remission therapy:

- CBC, platelets 2x/wk during chemotherapy
- Chemistry profile, electrolytes daily during chemotherapy
- Outpatient monitoring post chemotherapy: CBC, platelets, differential, and electrolytes 2–3 x/wk until recovery
- Bone marrow only if peripheral blood counts are abnormal or if there is failure to recover counts within 5 weeks
- Patients with high-risk features, including poor-prognosis cytogenetics, therapy-related AML, prior MDS, or possibly 2 or more inductions to achieve a complete response, are at increased risk for relapse and may be considered for early alternate donor search, as indicated on [AML-11](#).

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**MEASURABLE (MINIMAL) RESIDUAL DISEASE ASSESSMENT**

- MRD in AML refers to the presence of leukemic cells below the threshold of detection by conventional morphologic methods. Patients who achieved a CR by morphologic assessment alone can still harbor a large number of leukemic cells in the bone marrow.¹ The points discussed below are relevant to intensive approaches (induction chemotherapy) but have not been validated for other modalities of treatment.
- The most frequently employed methods for MRD assessment include real-time quantitative polymerase chain reaction (RQ-PCR) assays (*NPM1*,² *CBFB-MYH11*, *RUNX1-RUNX1T1*³), next-generation sequencing (NGS)-based assays to detect mutated genes (targeted sequencing, 20 to 50 genes panel),^{4,5} and multicolor flow cytometry assays specifically designed to detect abnormal MRD immunophenotypes.¹ The threshold to define MRD+ and MRD- samples depends on the technique and subgroup of AML. The sensitivity of PCR-based assays and flow cytometry is superior to what is achieved by conventional NGS. Mutations associated with clonal hematopoiesis of indeterminate potential (CHIP) and aging (*DNMT3A*, *TET2*, potentially *ASXL1*) are not considered reliable markers for MRD.^{4,5}
- Based on the techniques, the optimal sample for MRD assessment is either peripheral blood (PCR-based techniques) or the first pull or early pull of the bone marrow aspirate (ie, NGS, flow cytometry). The quality of the sample is of paramount importance to have reliable evaluation.
- MRD is a component of patient evaluation over the course of sequential therapy. If patient is not treated in an academic center, there are commercially available tests available that can be used for MRD assessment.
- Studies in both children and adults with AML have demonstrated the correlation between MRD and risks for relapse, as well as the prognostic significance of MRD measurements after initial induction therapy. A negative MRD after induction, which definition depends on the technique used and the study, predicts a lower incidence of relapse. A persisting positive MRD after induction is associated with an increased risk of relapse. After completion of therapy, “Molecular relapses” predict hematologic relapses within a 3- to 6-month timeframe.
- There is no evidence that modifying clinical management based on a positive MRD (persisting after induction, or “relapsing” during or after therapy) modifies the outcome. There are 2 exceptions: APL and post allogeneic transplantation pre-emptive treatment with donor lymphocyte infusion (DLI) ± hypomethylating agents. For all other situations, there is no evidence supporting MRD assessment during the post treatment monitoring phase. The ELN still recommends monitoring CBF AML and APL for 2 years after completion of therapy.¹
- Timing of MRD assessment:
 - ▶ Upon completion of initial induction.^{4,5}
 - ▶ Before allogeneic transplantation⁶
 - ▶ Additional time points should be guided by the regimen used.^{2,3}

¹Schuurhuis GJ, Heuser M, Freeman S, et al. Minimal/measurable residual disease in AML: consensus document from ELN MRD Working party. *Blood* 2018;131:1275-1291.

²Ivey A, Hills RK, Simpson MA, et al. Assessment of minimal residual disease in standard-risk AML. *N Engl J Med* 2016;374:422-433.

³Jourdan E, Boissel N, Chevret S, et al. Prospective evaluation of gene mutations and minimal residual disease in patients with core binding factor acute myeloid leukemia. *Blood* 2013;121:2213-2223.

⁴Jongen-Lavrencic M, Grob T, Hanekamp D, et al. Molecular minimal residual disease in acute myeloid leukemia. *N Engl J Med* 2018;378:1189-1199.

⁵Klco JM, Miller CA, Griffith M, et al. Association between mutation clearance after induction therapy and outcomes in acute myeloid leukemia. *JAMA* 2015;314:811-822.

⁶Thol F, Gabdoulline R, Liebich A, et al. Measurable residual disease (MRD) monitoring by NGS before allogeneic hematopoietic cell transplantation in AML. *Blood* 2018;132:1703-1713.

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**THERAPY FOR RELAPSED/REFRACTORY DISEASE¹****Clinical trial¹****Aggressive therapy for appropriate patients:**

- Cladribine + cytarabine + granulocyte colony-stimulating factor (G-CSF) ± mitoxantrone or idarubicin^{2,3}
- HiDAC (if not received previously in treatment) ± (idarubicin or daunorubicin or mitoxantrone)
- Fludarabine + cytarabine + G-CSF ± idarubicin^{4,5}
- Etoposide + cytarabine ± mitoxantrone⁶
- Clofarabine ± cytarabine + G-CSF ± idarubicin^{7,8}

Less aggressive therapy:

- Hypomethylating agents (azacitidine or decitabine)
- Low-dose cytarabine (category 2B)

Therapy for AML with *FLT3* mutation

- Gilteritinib⁹
- Hypomethylating agents (azacitidine or decitabine) + sorafenib^{10,11} (*FLT3*-ITD mutation)

Therapy for AML with *IDH2* mutation

- Enasidenib¹²

Therapy for AML with *IDH1* mutation

- Ivosidenib¹³

Therapy for CD33-positive AML

- Gemtuzumab ozogamicin¹⁴

¹There are promising ongoing clinical trials investigating targeted therapies based on molecular mutations for relapsed/refractory disease. Molecular profiling should be considered if not done at diagnosis. [See Discussion](#).

²Wierzbowska A, et al. Cladribine combined with high doses of arabinoside cytosine, mitoxantrone, and G-CSF (FLAG-M) is a highly effective salvage regimen in patients with refractory and relapsed acute myeloid leukemia of the poor risk: a final report of the Polish Adult Leukemia Group. *Eur J Haematol* 2008;80(2):115-126.

³Fridle C, et al. Cladribine, cytarabine and idarubicin (CLA-Ida) salvage chemotherapy in relapsed acute myeloid leukemia (AML). *Leuk Lymphoma* 2016;1-8.

⁴Montillo M, et al. Fludarabine, cytarabine, and G-CSF (FLAG) for the treatment of poor risk acute myeloid leukemia. *Am J Hematol* 1998;58:105-109.

⁵Parker JE, et al. Fludarabine, cytarabine, G-CSF and idarubicin (FLAG-IDA) for the treatment of poor-risk myelodysplastic syndromes and acute myeloid leukaemia. *Br J Haematol* 1997;99(4):939-944.

⁶Amadori S, et al. Mitoxantrone, etoposide, and intermediate-dose cytarabine: an effective and tolerable regimen for the treatment of refractory acute myeloid leukemia. *J Clin Oncol* 1991;9(7):1210-1214.

⁷Becker PS, et al. Clofarabine with high dose cytarabine and granulocyte colony-stimulating factor (G-CSF) priming for relapsed and refractory acute myeloid leukaemia. *Br J Haematol* 2011;155:182-189.

⁸Faderl S, et al. Clofarabine combinations as acute myeloid leukemia salvage therapy. *Cancer* 2008;113:2090-2096.

⁹Perl AE, Altman JK, Cortes J, et al. Selective inhibition of FLT3 by gilteritinib in relapsed or refractory acute myeloid leukaemia: a multicentre, first-in-human, open-label, phase 1-2 study. *Lancet Oncol* 2017;18:1061-1075.

¹⁰Ravandi F, et al. Phase 2 study of azacytidine plus sorafenib in patients with acute myeloid leukemia and FLT-3 internal tandem duplication mutation. *Blood* 2013;121:4655-4662.

¹¹Muppidi MR, et al. Decitabine and sorafenib therapy in patients with FLT3-ITD mutant acute myeloid leukemia. *Clin Lymph Myeloma Leukemia* 2015;15 Suppl:S73-9.

¹²Stein EM, DiNardo CD, Pollyea DA, et al. Enasidenib in mutant IDH2 relapsed or refractory acute myeloid leukemia. *Blood* 2017;130:722-731.

¹³DiNardo CD, Stein EM, de Botton S, et al. Durable remissions with ivosidenib in IDH1-mutated relapsed or refractory AML. *N Eng J Med* 2018; 378:2386-2398.

¹⁴Taksin AL, Legrand O, Raffoux E, et al. High efficacy and safety profile of fractionated doses of Mylotarg as induction therapy in patients with relapsed acute myeloblastic leukemia: a prospective study of the alfa group. *Leukemia* 2007;21:66-71.

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NCCN Guidelines Version 3.2019 Acute Myeloid Leukemia

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Discussion

NCCN Categories of Evidence and Consensus

Category 1: Based upon high-level evidence, there is uniform NCCN consensus that the intervention is appropriate.

Category 2A: Based upon lower-level evidence, there is uniform NCCN consensus that the intervention is appropriate.

Category 2B: Based upon lower-level evidence, there is NCCN consensus that the intervention is appropriate.

Category 3: Based upon any level of evidence, there is major NCCN disagreement that the intervention is appropriate.

All recommendations are category 2A unless otherwise indicated.

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Overview

Acute myeloid leukemia (AML) is a heterogeneous hematologic malignancy characterized by the clonal expansion of myeloid blasts in the peripheral blood, bone marrow, and/or other tissues. It is the most common form of acute leukemia among adults and accounts for the largest number of annual deaths from leukemias in the United States. An estimated 21,450 people will be diagnosed with AML in 2019, and 10,920 patients will die of the disease.¹ According to the SEER Cancer Statistics Review, the median age at diagnosis is 67 years;² other registries report 71 years,³ with 54% of patients diagnosed at 65 years or older (and approximately a third diagnosed at ≥75 years of age).² Thus, as the population ages, the incidence of AML, along with myelodysplastic syndromes (MDS), seems to be rising.

Environmental factors that have long been established to increase the risks of MDS and AML include prolonged exposure to petrochemicals; solvents such as benzene; pesticides; and ionizing radiation.⁴

Therapy-related MDS/AML (secondary MDS/AML) is a well-recognized consequence of cancer treatment in a proportion of patients receiving cytotoxic therapy for solid tumors or hematologic malignancies. Reports suggest that therapy-related MDS/AML may account for 5% to 20% of patients with MDS/AML.⁵⁻⁷ The rate of therapy-related MDS/AML is higher among patients with certain primary tumors, including breast cancer, gynecologic cancers, and lymphomas (both non-Hodgkin's lymphoma and Hodgkin lymphoma), largely owing to the more leukemogenic cytotoxic agents that are commonly used in the treatment of these tumors.⁷⁻¹⁰ Two well-documented categories of cytotoxic agents associated with the development of therapy-related MDS/AML are alkylating agents and topoisomerase inhibitors.^{5,8,9} Treatment with antimetabolites, such as the purine analog fludarabine, has also been associated with therapy-related MDS/AML in patients with lymphoproliferative disorders, particularly when

administered in combination with alkylating agents.^{11,12} Radiotherapy, especially in the context of myeloablative therapy (eg, total-body irradiation or radioimmunotherapy) given before autologous hematopoietic cell transplantation (HCT) may also increase the risk for therapy-related MDS/AML.^{13,14} The disease course of therapy-related MDS/AML is generally progressive and may be more resistant to conventional cytotoxic therapies than *de novo* cases of MDS/AML.⁹ Importantly, clinical outcomes in patients with therapy-related AML have been shown to be significantly inferior (both in terms of relapse-free survival [RFS] and overall survival [OS]) compared with patients with *de novo* cases,^{8,15} except those with the therapy-related acute promyelocytic leukemia (APL) subtype^{7,16} or the favorable-risk core binding factor (CBF) translocations. The proportion of patients with unfavorable cytogenetics tends to be higher in the population with therapy-related AML. Even among the subgroup with favorable karyotypes, those with therapy-related AML tend to do less well.

The AML Panel for the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®) convenes annually to update recommendations for the diagnosis and treatment of AML in adults. These recommendations are based on a review of recently published clinical trials that have led to significant improvements in treatment or have yielded new information regarding biologic factors that may have prognostic importance.

Literature Search Criteria and Guidelines Update Methodology

Prior to the update of this version of the NCCN Guidelines® for AML, an electronic search of the PubMed database was performed to obtain key literature in AML published since the previous Guidelines update using the following search terms: acute myeloid leukemia or acute promyelocytic leukemia. The PubMed database was chosen as it remains the most widely used resource for medical literature and indexes peer-reviewed biomedical literature.¹⁷

The search results were narrowed by selecting studies in humans published in English. Results were confined to the following article types: Clinical Trial, Phase II; Clinical Trial, Phase III; Clinical Trial, Phase IV; Guideline; Meta-Analysis; Randomized Controlled Trial; Systematic Reviews; and Validation Studies.

The data from key PubMed articles as well as articles from additional sources deemed as relevant to these Guidelines and discussed by the panel have been included in this version of the Discussion section (eg, e-publications ahead of print, meeting abstracts). Recommendations for which high-level evidence is lacking are based on the panel's review of lower-level evidence and expert opinion.

The complete details of the Development and Update of the NCCN Guidelines are available at www.NCCN.org.

Initial Evaluation

The initial evaluation of AML has 2 objectives. The first is to characterize the disease process based on factors such as prior toxic exposure, antecedent myelodysplasia, and karyotypic and molecular abnormalities, which may provide prognostic information that can impact responsiveness to chemotherapy and risk of relapse. The second objective focuses on patient-specific factors, including assessment of comorbid conditions, which may affect an individual's ability to tolerate chemotherapy. Both disease-specific and individual patient factors are taken into consideration when deciding treatment.

Workup

The evaluation and initial workup for suspected AML consists of a comprehensive medical history and physical examination. Laboratory evaluations include a comprehensive metabolic panel and a complete blood count including platelets and a differential of white blood cells

(WBCs). Serum uric acid and lactate dehydrogenase have prognostic relevance and should be evaluated.^{18,19} Bone marrow core biopsy and aspirate analyses (including immunophenotyping and cytochemistry) and cytogenetic analyses (karyotype with fluorescence in situ hybridization [FISH]) are necessary for risk stratification and to guide therapy of AML. Several gene mutations are associated with specific prognoses in a subset of patients (category 2A), and may guide treatment decisions (category 2B). Presently, *c-KIT*, *FLT3*-ITD, *FLT3*-TKD, *NPM1*, *CEBPA*, *IDH1/IDH2*, *RUNX1*, *ASXL1*, and *TP53* are included in this group. All patients should be tested for mutations in these genes, and multiplex gene panels and next-generation sequencing (NGS) analysis can be obtained to develop a more comprehensive prognostic assessment.²⁰ *FLT3* mutation status ideally should be resulted rapidly to allow for addition of *FLT3* inhibitor (midostaurin) on day 8 of upfront intensive chemotherapy.

Recent studies have reported on the prognostic impact of a number of molecular abnormalities in patients with AML (see *Molecular Markers and Risk Stratification*). Adequate marrow should be available at the time of diagnosis or relapse for molecular studies as per the institutional practice. Local pathologists should be consulted to discuss ways to optimize sample collection and preservation. If molecular testing is not available at the patient's treatment center, evaluation at an outside reference laboratory or transfer to another institution is recommended prior to performing the marrow evaluation. Circulating leukemic blasts from peripheral blood may alternatively be used to detect molecular abnormalities in patients.

Extramedullary presentation, including central nervous system (CNS) disease, is uncommon in patients with AML. However, if extramedullary disease is suspected, a PET/CT is recommended. Patients with significant CNS signs or symptoms at presentation should be evaluated using appropriate imaging techniques, such as radiography, CT, or MRI for the

detection of intracranial bleeding, leptomeningeal disease, or mass lesions in either the brain or spinal cord. If CNS hemorrhage is suspected, a CT of brain without contrast is recommended. If leukemic meningitis is suspected, a brain MRI with contrast is recommended. However, if symptoms persist, and bleeding and mass/lesions are excluded, the patient should have a lumbar puncture (LP) for diagnostic and possible therapeutic purposes once coagulopathy has been corrected, adequate platelet support is available, and the circulating disease has been cleared through the initiation of systemic therapy. Routine screening LPs are not warranted at the time of diagnosis in patients with AML. However, for patients at high risk for CNS disease, such as those with monocytic differentiation or high WBC count ($>40,000/\text{mCL}$)²¹ at presentation, a diagnostic LP should be considered as part of the documentation of remission status. Screening LPs should be considered at first remission before first consolidation in patients with monocytic differentiation, mixed phenotype acute leukemia (MPAL), WBC count $>40,000/\text{mCL}$ at diagnosis, high-risk APL, or extramedullary disease, particularly in patients not receiving high-dose cytarabine (HiDAC) (ie, older patients). For patients who present with solitary extramedullary disease (currently referred to as myeloid sarcoma, and historically as granulocytic sarcoma, or chloroma) without overt marrow disease, the initial treatment should still be based on systemic induction chemotherapy. Radiation or surgical resection may be incorporated with systemic chemotherapy in emergent situations; however, these modalities, if needed at all, should be optimally deferred until after count recovery to avoid excess toxicity.

Coagulopathy is common at presentation in many leukemias; it is therefore standard clinical practice to screen for coagulopathy by evaluating prothrombin time, partial thromboplastin time, and fibrinogen activity as part of the initial evaluation and before performing any invasive procedure. The need for a cardiac evaluation (eg, echocardiogram or multigated acquisition [MUGA] scan) should be determined based on

individual risk factors. Patients with a history or symptoms of cardiac disease, prior exposure to cardiotoxic drugs or thoracic radiation, or those of an older age, should have an echocardiogram. In younger patients who are otherwise asymptomatic with no history of cardiac disease, an echocardiogram can be considered. In cases of acutely ill patients, treatment should not be delayed for an echocardiogram. A small study of 76 patients with cancer who were screened for cardiac disease identified only 4 patients with cardiac abnormalities. Of these 4 patients, the presence of cardiac disease did not change the course of treatment.²²

Human leukocyte antigen (HLA) typing should be performed in all patients with newly diagnosed AML for whom allogeneic HCT would be considered. HLA typing of family members is recommended for patients up to age 80 years or per institutional practice who do not have favorable-risk cytogenetics, and tissue typing should be broadened to include alternative donor searches. In patients with any non-favorable risk, a donor search should begin while the patient is undergoing induction chemotherapy rather than waiting for remission to be achieved. Early referral to a transplant center for patients with non-favorable risk is recommended.

Diagnosis

Originally, the classification system for AML was defined by the French American British (FAB) system, which relied on cytochemical stains and morphology to separate AML from acute lymphoblastic leukemia (ALL) and to categorize the disease based on degree of myeloid and monocytic differentiation. In 1999, WHO developed a newer classification system, which incorporates information from cytogenetics and evidence of myelodysplasia, to refine prognostic subgroups that may define treatment strategies.²³ During this transition from the FAB system to the WHO classification, the percent blasts threshold for defining high-grade MDS and AML was lowered. The FAB classification had set the threshold

between high-grade MDS and AML at 30% blasts, whereas the WHO classification lowered the threshold for diagnosing AML to 20% or more blasts. This change was based on the finding that the biologic behavior (and survival outcomes) of the FAB MDS subgroup of “refractory anemia with excess blasts in transformation (RAEB-T),” defined as patients with 20% to 30% blasts, was similar compared with that of patients with greater than 30% blasts. In an appropriate clinical setting, the WHO classification system further allowed AML to be diagnosed in patients with abnormal hematopoiesis and characteristic clonal structural cytogenetic abnormalities with t(15;17), t(8;21), and inv(16) or t(16;16) regardless of the percentage of marrow blasts.

In 2003, the International Working Group for Diagnosis, Standardization of Response Criteria accepted the cytochemical and immunophenotypic WHO criteria as the standard for diagnosing AML, including the reporting of myelodysplasia according to morphology.²⁴ However, no evidence shows that myelodysplasia represents an independent risk factor, because it is frequently linked to poor-risk cytogenetics.

In 2008, WHO revised the diagnostic and response criteria for AML to include additional recurrent genetic abnormalities created by reciprocal translocations/inversions, and a new provisional category for some of the molecular markers that have been found to have a prognostic impact.²⁵ Additionally, the category of AML with recurrent genetic abnormalities was expanded to include the following: t(9;11)(p22;q23), t(6;9)(p23;q34) (provisional entity), inv(3)(q21 q26.2) or inv(3;3)(q21;q26.2) (provisional entity), and t(1;22)(p13;q13) (provisional entity), in addition to the previously recognized t(8;21)(q22;q22); inv(16)(p13;1q22) or t(16;16)(p13.1;q22); and t(15;17)(q22;q12) [APL subtype]. Other provisional entities include AML with molecular abnormalities such as mutated nucleophosmin (*NPM1*) or CCAAT/enhancer-binding protein alpha (*CEBPA*) genes (further information on these genetic lesions is

provided later).²⁵ In 2016, WHO expanded the recurrent genetic abnormalities to include two provisional categories, AML with *BCR-ABL1* rearrangement and AML with *RUNX1* mutation. AML with *BCR-ABL1* rearrangement is a rare *de novo* AML that may benefit from therapies that entail tyrosine kinase inhibitors. AML with *RUNX1* mutation is associated with a poorer prognosis.

In accordance with the 2016 WHO classification, a diagnosis of AML is made based on the presence of 20% or more blasts in the marrow or peripheral blood. In an appropriate clinical setting, a diagnosis of AML may be made with < 20% blasts in patients with recurrent cytogenetic abnormalities including t(15;17), t(8;21), t(16;16), or inv(16) or the corresponding transcript. The accurate classification of AML requires multidisciplinary diagnostic studies using immunohistochemistry, cytochemistry, or both, in addition to molecular genetics analysis. The NCCN AML Panel suggests that complementary diagnostic techniques can be used at the discretion of the pathology department of the individual institution. Some cases may still show evidence of both myeloid and lymphoid antigen expression on the leukemic cells and are defined as acute leukemias of ambiguous lineage. This is further subgrouped into acute undifferentiated leukemia, MPAL with *BCR-ABL1* rearrangement, MPAL with rearranged *KMT2A*, MPAL with B-cell/myeloid features not otherwise specified, and MPAL with T-cell/myeloid features not otherwise specified. The expression of both cytochemical and/or immunophenotypic characteristics of both lineages on the same cells is defined as biphenotypic, whereas expression of lineage-specific characteristics on different populations of leukemia cells is termed bilineal. Due to the rarity of acute leukemias of ambiguous lineage (as defined by the 2016 WHO classification), consultation with an experienced hematopathologist should be sought.

Aberrant expression of differentiation antigens present at diagnosis may allow tracking of residual blasts through flow cytometry in follow-up samples that may appear normal according to conventional morphology. The use of immunophenotyping and molecular markers to monitor measurable (also known as minimal) residual disease (MRD) in adult AML has not yet been widely incorporated into postremission monitoring strategies, except in patients with APL. However, ongoing research is moving MRD monitoring to the forefront for all patients with AML (see *Role of MRD Monitoring*).

Cytogenetics and Risk Stratification

Although cytogenetic information is often unknown when treatment is initiated in patients with de novo AML, karyotype represents the single most important prognostic factor for predicting remission rates, relapse risks, and OS outcomes. The cytogenetic risk categories adopted by these guidelines are primarily based on analyses of large datasets from major cooperative group trials (see *European LeukemiaNET Risk Stratification by Genetics in Non-APL AML* in the algorithm).²⁶⁻²⁸ In an analysis of data from pediatric and adult patients with AML (n = 1612) enrolled in the United Kingdom Medical Research Council (UK MRC) AML 10 trial, the 5-year survival rates for those with favorable, intermediate, and unfavorable risk cytogenetics were 65%, 41%, and 14%, respectively.²⁷ In a review of data from adult patients treated in a phase III Southwest Oncology Group (SWOG)/Eastern Cooperative Oncology Group (ECOG) intergroup study (n = 609), the 5-year survival rates for those with favorable, intermediate, and adverse risk cytogenetics were 55%, 38%, and 11%, respectively.²⁸ Similarly, in a retrospective review of adult patients with AML treated on Cancer and Leukemia Group B (CALGB) protocols (n = 1213), the 5-year survival rates for patients with favorable-, intermediate-, and poor-risk cytogenetics were 55%, 24%, and 5%, respectively.²⁶ The AML 11 trial had similar results with 5-year survival rates of the favorable-, intermediate-, and poor-risk cytogenetics of 34%,

13%, and 2%, respectively.²⁹ This last study included an older population of patients, which is believed to attribute to the overall lower percent survival in all groups.

The importance of obtaining adequate samples of marrow or peripheral blood at diagnosis for full karyotyping and FISH cytogenetic analysis for the most common abnormalities cannot be overemphasized. Although FISH studies for common cytogenetic abnormalities may allow for rapid screening to identify either favorable- or unfavorable-risk groups, additional tests are needed to provide a full picture of the genetic factors that contribute to risk (see *Molecular Markers and Risk Stratification*).

The presence of autosomal chromosome monosomies in AML has emerged as an important prognostic factor associated with extremely poor prognosis.³⁰⁻³² Data from 3 large studies have identified monosomal karyotypes (defined as ≥ 2 autosomal monosomies, or a single monosomy with an additional structural abnormality) as a subset of unfavorable cytogenetic prognosticators. Although complex karyotype (≥ 3 clonal cytogenetic abnormalities) and either monosomy 5 or monosomy 7 are categorized as high-risk/unfavorable cytogenetics, the presence of a monosomal karyotype was found to confer further negative prognostic influence within the high-risk group. This high-risk subgroup was first identified in a joint study conducted by the Dutch-Belgian-Swiss cooperative groups (HOVON/SAKK), which evaluated the correlation between cytogenetics and OS outcomes in patients aged 60 years or younger with AML (n = 1975). The 4-year OS rate in patients with monosomal karyotype was 4% compared with 26% in those with complex karyotype (but without monosomal karyotype).³⁰

These findings were confirmed in subsequent analyses from other large cooperative group studies. In an analysis of data from patients treated on SWOG protocols (n = 1344; age 16–88 years), 13% of patients were found to have monosomal karyotype; nearly all of these cases (98%)

occurred within the unfavorable cytogenetics category.³¹ The incidence of monosomal karyotype increased with age, from 4% in patients 30 years of age or younger to 20% in patients older than 60 years of age. Among patients with unfavorable cytogenetics, the 4-year OS rate in the subgroup of patients with monosomal karyotype was 3% compared with 13% in the subgroup without monosomal karyotype. In patients with monosomy 7, monosomal karyotype did not appear to influence outcomes (4-year OS, 0%–3%); the 4-year OS rates for patients with *inv(3)/t(3;3)* and *t(6;9)* and those without monosomal karyotype were 0% and 9%, respectively.³¹ In a retrospective study that evaluated the prognostic impact of monosomal karyotype in older patients (age >60 years; n = 186) with unfavorable cytogenetics treated in a GOELAMS trial, the 2-year OS rate was significantly decreased among patients with monosomal karyotype compared with patients without this abnormality (7% vs. 22%; *P* < .0001). Similar outcomes were observed within the subgroup of patients with complex karyotype.³²

These studies show that monosomal karyotype, independent of other unfavorable cytogenetic factors, confers very poor prognosis. In the NCCN Guidelines, the presence of monosomal karyotype is included in the unfavorable-risk category of AML based on cytogenetics (see *European LeukemiaNET Risk Stratification by Genetics in Non-APL AML* in the algorithm).

Molecular Markers and Risk Stratification

The intermediate-risk cytogenetic category is the most heterogeneous group in AML, because it encompasses both normal karyotype AML (NK-AML) without gross structural abnormalities and those with structural changes that are considered neither poor risk nor favorable. Based on retrospective analyses of data from large cooperative group studies, 40% to 50% of patients with de novo AML have normal karyotype, which is associated with intermediate risk as measured in terms of survival

outcomes.^{26,27} However, even in patients with NK-AML, clinical outcome is heterogeneous.

Identification of mutations that carry prognostic and therapeutic impact is rendering molecular profiling for all AML cases a standard part of the diagnostic workup. In addition to basic cytogenetic analysis, new molecular markers can help refine prognostics groups, particularly in patients with a normal karyotype. These markers include *NPM1*, FMS-like tyrosine kinase 3 (*FLT3*), *CEBPA*, isocitrate dehydrogenase 1 and 2 (*IDH1/2*), DNA (cytosine-5)-methyltransferase 3A (*DNMT3A*), and *KIT*, *TP53*, *RUNX1*, and *ASXL1* gene mutations.³³⁻⁴⁵ Tests for these molecular markers are now available in commercial reference laboratories and in referral centers. Therefore, it is important for physicians to confer with the local pathologist on how to optimize sample collection from the time of diagnosis for subsequent molecular diagnostic tests. Testing for additional mutations may also be recommended.

***NPM1* Mutations**

The *NPM1* gene encodes a shuttle protein within the nucleolus of cells. Mutations in this gene occur in 28% to 35% of AML cases.^{43,46,47} The *NPM1* mutation has been shown to be associated with NK-AML with a reported frequency of 48% to 53%.^{35,41,48} Isolated *NPM1* mutation, which localizes to the cytoplasm, confers a higher complete response (CR) rate and improved event-free survival (EFS) and OS compared with patients who are NK-AML and wild-type *NPM1*, resulting in outcomes similar to patients with favorable cytogenetics (eg, CBF AML).^{35,36,41,43,44}

***FLT3* Mutations**

The *FLT3* gene encodes a receptor tyrosine kinase involved in hematopoiesis. Two major classes of activating *FLT3* mutations have been identified in patients with AML, which include the internal tandem duplications (ITD) and tyrosine kinase domain (TKD) point mutations.⁴⁹⁻⁵⁴ *FLT3*-ITD mutations occur in approximately 30% of cases and are more

common than *FLT3*-TKD mutations, which occur in approximately 10% of patients.^{33,37,48,53-57} Numerous studies have shown the negative prognostic influence of *FLT3*-ITD in patients with AML, resulting in shorter remission durations (eg, decreased disease-free survival [DFS] in patients with a CR) and poorer survival outcomes compared with patients who have wild-type *FLT3*.^{33,37,50,51,53,55,56,58} Among patients with *FLT3*-ITD and NK-AML, median OS from the time of diagnosis ranged from 6 to 12 months.^{33,37,53,56}

Interestingly, a study in patients with NK-AML showed that prognosis was worse among patients with *FLT3*-ITD without wild-type *FLT3*, compared with those with *FLT3*-ITD with wild-type *FLT3* in the second allele. The median OS among patients with *FLT3*-ITD in the absence of a wild-type *FLT3* was only 7 months compared with 46 months among wild-type *FLT3* patients with or without *FLT3*-ITD.⁵³ The *FLT3*-TKD mutations predominantly occur independently of *FLT3*-ITD, and most frequently involve mutations in the D835 residue of a TKD. Although the presence of *FLT3*-TKD mutations has been shown to be associated with shorter remission durations (eg, decreased DFS) and decreased OS outcomes in some studies,^{37,50,54,57} other studies have reported no impact of *FLT3*-TKD on prognosis^{48,58,59} or even a favorable outcome on OS with *FLT3*-TKD mutations.⁶⁰ In the latter study from the UK MRC, the 5-year OS rates among patients with and without *FLT3*-TKD mutations were 53% versus 37%, respectively. Patients with a higher level of *FLT3*-TKD mutations (>25%) had a significantly higher 5-year OS rate compared with those with lower levels of mutations, which showed an OS rate similar to that of patients without *FLT3*-TKD mutations (71% vs. 37%; adjusted $P = .004$).⁶⁰

The discrepant findings from these studies may be a result of important differences such as patient baseline characteristics, presence of concurrent genetic lesions (eg, *NPM1*, *CEBPA* mutations), or inclusion of the APL subtypes. Studies have shown that *FLT3*-TKD mutations can

occur in a subgroup of patients with the prognostically favorable *NPM1* or *CEBPA* mutations.^{48,59} Moreover, *FLT3*-TKD mutations as the sole genetic aberration or occurring concurrently with t(15;17)/promyelocytic leukemia (PML)-retinoic acid receptor alpha (RARA) (underlying lesion in the APL subtype) or with *FLT3*-ITD (*FLT3* double mutation) has been associated with poorer outcomes.^{48,59}

***CEBPA* Mutations**

Another mutation associated with prognosis is the *CEBPA* gene, a transcription factor that plays a key role in the differentiation of granulocytes.³⁹ Mutations in *CEBPA* have been reported in 7% to 11% of patients with AML (or 13%–15% of those with NK-AML) and have been associated with a favorable outcome (similar to patients with CBF translocations) with regard to increased remission duration and OS outcome compared with wild-type *CEBPA*.^{38,47,48,61-63} One caveat identified was that the OS benefit with *CEBPA* was observed for patients with double mutations of *CEBPA* but not for those with a single mutation of the gene. The 8-year OS rates reported in this study for patients with double-mutant-positive, single-mutation, and wild-type *CEBPA* genes were 54%, 31%, and 34%, respectively.⁶² The revised 2016 WHO classification of AML has redefined mutated *CEBPA* to indicate that biallelic (double) mutation and not the single mutation is associated with improved prognosis.⁶⁴

***IDH1/2* Mutations**

Mutations in *IDH1* have been reported in 6% to 9% of AML cases, with a higher frequency among patients with NK-AML (8%–16%).^{47,65-70} *IDH1* mutations were found to occur concurrently with NK-AML and *NPM1* mutations.^{65-68,70} Additionally, these mutations have been associated with wild-type *CEBPA* and the absence of *FLT3* abnormalities.⁶⁸ Findings from published reports on the prognostic effects of *IDH1* mutations have been inconsistent. Although some studies showed no prognostic effect of *IDH1*

mutations on OS when considering all *IDH* mutations (*IDH1* and *IDH2* combined) or in the overall patient population,⁶⁵⁻⁶⁸ *IDH1* mutations correlated with significantly worse outcomes in the subgroup of NK-AML patients with favorable- or intermediate-risk disease.^{65,68,70} In the subgroup of patients younger than 60 years with favorable-risk AML (*NPM1* mutation without *FLT3-ITD*), *IDH1* mutations were associated with a significantly decreased 5-year DFS rate (42% vs. 59%; $P = .046$) and a trend for decreased OS rate (50% vs. 63%) compared with patients who had wild-type *IDH*.⁶⁸ In another study, *IDH* mutations (*IDH1* and *IDH2* combined) were associated with significantly inferior 5-year RFS rates (37% vs. 67%; $P = .02$) and OS rates (41% vs. 65%; $P = .03$) in the subgroup of patients with favorable-risk AML (NK-AML with *NPM1* mutation without *FLT3-ITD*).⁷⁰ This prognostic significance was observed when *IDH1* and *IDH2* mutations were separately analyzed, although patient numbers were small for each subgroup and statistical significance was reached only for the RFS analysis.⁷⁰ *IDH1* mutations were also associated with worse EFS and OS outcomes among the subgroup of patients with intermediate-risk NK-AML (wild-type *NPM1* without *FLT3-ITD*).⁶⁵ Mutations in *IDH2* have been reported in 8% to 12% of patients with AML,^{47,65,66,70,71} with a higher frequency of 19% among those with NK-AML.⁶⁸ The presence of *IDH2* mutations was mutually exclusive with *IDH1* mutation in nearly all cases.^{65,66,68} Mutations have been identified in R172 and R140 of the *IDH2* gene, with the R140 mutation occurring more frequently.^{68,70,71} Interestingly, the *IDH2*-R172 mutation seemed to be mutually exclusive with *NPM1* mutations and *FLT3-ITD*.^{68,70,71}

Reports on the prognostic effect of *IDH2* mutations have also been inconsistent. Some studies have reported the lack of prognostic value of *IDH2* mutations,^{65,66,70} whereas others have reported favorable outcomes with *IDH2* mutations.^{47,71} In one study, an association was found between *IDH2* mutations and poorer prognosis in the subgroup of patients with

NK-AML and otherwise favorable risk (*NPM1* mutation without *FLT3-ITD*).⁷⁰ However, in another study, the *IDH2* mutation (restricted to *IDH2*-R140) was associated with improved survival among the overall study population, and among the subgroup of patients with favorable risk (intermediate-risk AML with *NPM1* mutation without *FLT3-ITD*).⁴⁷ In this latter subgroup, the presence of *IDH1* or *IDH2* mutations was associated with a significantly increased 3-year OS rate compared with patients with *NPM1* mutation without *FLT3-ITD* and without *IDH1* or *IDH2* mutations (89% vs. 31%; $P < .0001$). These results seem to suggest that in patients with NK-AML without *FLT3-ITD*, *NPM1* mutations confer a survival benefit only in the presence of concurrent *IDH* mutations.⁴⁷ The conflicting findings from the above studies require further investigation.

***DNMT3A* Mutations**

The *DNMT3A* mutations have been reported in 18% to 22% of patients with AML,^{47,72,73} with a frequency of 29% to 34% in those with NK-AML.⁷⁴⁻⁷⁶ R882 is the most commonly mutated residue. This mutation has also been observed in conjunction with *NPM1* mutations and *FLT3* mutations.^{73,75,76} Data concerning the prognostic significance of *DNMT3A* mutations have thus far been conflicting. Some studies in the overall AML population and in patients with intermediate risk reported no significant effect of *DNMT3A* mutations on survival outcomes,^{47,75} whereas other studies have shown a negative prognostic effect in the overall population or specific subgroups.^{72-74,76} Studies have shown significantly decreased OS outcomes among patients with *DNMT3A* mutations compared with patients who have the wild-type gene (median OS, 12–21 months vs. 40–41 months).^{72,73} Significantly decreased OS with *DNMT3A* mutations has also been reported in the subgroup of patients with NK-AML who have wild-type *NPM1* with or without *FLT3-ITD*, or *NPM1* mutation in the presence of *FLT3-ITD*, but not in the favorable subgroup with *NPM1* mutation without *FLT3-ITD*.⁷³ A study reported that in younger patients (age <60 years) with NK-AML, the presence of *DNMT3A* mutations was

associated with significantly decreased OS compared with the wild-type gene (5-year OS rate, 23% vs. 45%; $P = .02$).⁷⁶ Another study also showed that in younger patients (age <60 years) with NK-AML, a *DNMT3A* mutation was associated with significantly decreased DFS (3-year rate, 20% vs. 49%; $P = .007$) and a trend toward decreased OS.⁷⁴ In this latter study, non-R882 *DNMT3A* mutations were significantly associated with poorer outcomes in patients younger than 60 years of age but not R882 mutations; in contrast, *DNMT3A*-R882 mutations (but not non-R882 mutations) in patients aged 60 years and older were associated with significantly decreased DFS (3-year rate, 3% vs. 21%; $P = .006$) and OS (3-year rate, 4% vs. 24%; $P = .01$).⁷⁴ The authors concluded that the prognostic relevance of *DNMT3A* mutations may depend on age and mutation type. Currently, the interactions of *IDH1* or *IDH2* and *DNMT3* mutations with other molecular changes require further investigation to determine the prognostic value in patients with NK-AML. Although commercial testing is available for *FLT3* and *CEBPA*, most of the other genetic mutations are not available for testing outside of the research setting. Other candidate genes that are associated with an adverse impact on outcome are *TET2* and *RUNX1*.^{77,78}

***KIT* Mutations**

KIT mutations have been reported in approximately 20% of patients with CBF AML.^{40,79} Studies have shown that *KIT* mutations are associated with decreased remission duration (eg, EFS and RFS) and decreased OS in patients with t(8;21).^{34,40,42,79} However, the association of *KIT* mutations on CBF AML with inv(16) is less clear than the data for t(8;21), with several studies showing no association.^{34,79,80} In an analysis from the German-Austrian AML Study Group, the frequency and prognostic impact of secondary genetic lesions were evaluated in patients with CBF AML who were treated in prospective trials (n = 176).⁸¹ Secondary chromosomal abnormalities were found in 39% of patients, with the most common abnormalities being trisomy 22 (18%), trisomy 8 (16%), and 7q

deletion (5%). Secondary genetic lesions were found in 84% of patients, including mutations in *RAS* (53%; *NRAS* in 45%; *KRAS* in 13%), *KIT* (37%), and *FLT3* (17%; *FLT3*-TKD in 14%; *FLT3*-ITD in 5%; both mutations present in 2%). In addition, 25% of patients had more than one of these mutations. Mutations in *KIT* and *RAS* were less likely to occur concurrently, whereas mutations in *KIT* and *FLT3* occurred concurrently in 6% of patients.⁸¹ Of these secondary genetic lesions, *KIT* mutation and trisomy 22 were significant independent factors predictive of RFS in multivariable analysis; *FLT3* mutations, trisomy 22, and trisomy 8 were significant independent predictors for OS.⁸¹ These studies demonstrate the importance of secondary genetic mutations in the prognostic classification of patients with otherwise favorable-risk CBF AML (see *European LeukemiaNET Risk Stratification by Genetics in Non-APL AML* in the algorithm).

***MLL* Mutations**

The mixed lineage leukemia gene (*MLL*; also called *HRX* or *ALL-1*), located on chromosome 11q23, was initially recognized as a recurrent locus of chromosomal translocation in AML and ALL.^{82,83} In one series of 1897 AML cases, the incidence of 11q23/*MLL* rearrangements was 2.8%, and they were significantly higher in therapy-related AML than in *de novo* AML (9.4% vs. 2.6%, $P < .0001$).⁸⁴ The frequency of *MLL* rearrangements was also significantly higher in patients younger than 60 years (5.3% vs. 0.8%, $P < .0001$).⁸⁴ Depending on the fusion partner, the 11q23/*MLL* rearrangement is associated with intermediate to poor prognosis.⁸⁵⁻⁸⁷ NK-AML can be characterized by partial tandem duplication in the *MLL* gene (*MLL*-PTD),⁸⁸⁻⁹⁰ and *MLL*-PTD is associated with reduced OS.⁴⁷

***RUNX1* Mutations**

The runt-related transcription factor 1 (*RUNX1*) gene, encoding a myeloid transcription factor, is mutated in approximately 10% of *de novo* AML cases and associated with adverse prognoses.⁹¹⁻⁹³ In a study of

adult patients with newly diagnosed AML (n = 2439), *RUNX1* mutations were associated with older age, male gender, more immature morphology, and secondary AML evolving from MDS.⁹² *RUNX1* mutations frequently co-occurred with epigenetic modifiers *ASXL1*, *IDH2*, *KMT2A*, and *EZH2*.⁹² In a study examining the impact of multiple *RUNX1* mutations and loss of wild-type *RUNX1* in AML, both loss of wild-type *RUNX1* (OS, 5 months) and having ≥ 1 *RUNX1* mutation (14 months) had an adverse impact on prognosis compared to 1 *RUNX1* mutation (22 months; $P < .002$ and $.048$, respectively).⁹⁴

ASXL Mutations

The *additional sex combs-like 1* (*ASXL1*) gene, located on chromosome band 20q11, encodes a protein in the enhancer of trithorax and polycomb (ETP) genes family, which have functions in transcription.^{95,96} *ASXL1* mutations have been reported in approximately 5% to 36% of de novo AML cases,^{94,97-100} and are associated with poor outcomes.^{47,96,99} In an analysis of peripheral blood samples from adult patients with AML (n = 423), *ASXL1* mutations were observed to be more common in older adult patients (≥ 60 years) compared to patients younger than 60 years (16.2% vs. 3.2%, respectively; $P < .001$). In older patients, *ASXL1* mutations were significantly associated with wild-type *NPM1*, *FLT3*-ITD mutations, mutated *CEBPA*, and lower survival.⁹⁶ A large series analyzing younger adult patients with AML (range, 18–61 years) also observed that *ASXL1* mutations were associated with older age ($P = .0001$) and decreased EFS and OS.¹⁰¹ In this study, *ASXL1* mutations were also significantly associated with *RUNX1* ($P = .0001$).¹⁰¹ In another study analyzing biological and prognostic subgroups based on mutations in *ASXL1*, *RUNX1*, *DNMT3A*, *NPM1*, *FLT3*, and *TP53* in patients with AML with myelodysplasia-related changes (n = 125), *ASXL1* (n = 26; 21%) and *TP53* (n = 28; 22%) were independently associated with shorter OS (HR, 2.53; 95% CI, 1.40–4.6; $P = .002$).¹⁰²

TP53 Mutations

TP53 mutations have been reported in approximately 12%–13% of AML cases, and are associated with unfavorable risk and poor outcomes.^{20,103,104} *TP53* mutations are also most common in AML with complex karyotype.¹⁰³ However, in therapy-related AML, *TP53* mutations are more frequently associated with monosomal karyotype, and with abnormalities in chromosomes 5 and 7.¹⁰³ In therapy-related AML, the frequency of *TP53* mutations is approximately 23%.⁹³ In a large analysis of different hematologic malignancies including 858 AML cases, *TP53* mutations or deletions were observed in 7% and 1%, respectively, of the AML cases, and both *TP53* mutations and deletions were observed in 5% of the cases.¹⁰⁴ *TP53* mutations were significantly more frequently seen in older adult patients (≥ 60 years) when compared to patients < 60 years of age (9% vs. 2%, $P < .001$).¹⁰⁴ Interestingly, compared to *TP53* deletions, *TP53* mutations negatively impacted survival in AML (36 months vs. 9 months, respectively; $P < .001$), suggesting the importance of evaluating both *TP53* mutation and deletion status.¹⁰⁴

Classification and Prognostic Relevance of Gene Mutations

The NCCN AML Panel adopted the 2017 European LeukemiaNet (ELN) recommendations for risk stratification.¹⁰⁵ Therefore, both the NCCN and the ELN classify patients with NK-AML and mutated *NPM1* or *CEBPA* (without *FLT3*-ITD) as having favorable risk.^{105,106} Specifically, patients with NK-AML with mutated *NPM1* (without *FLT3*-ITD or with a low allelic ratio [< 0.5] of *FLT3*-ITD [*FLT3*-ITD^{low}]) or with isolated biallelic *CEBPA* mutation are categorized as having favorable risk¹⁰⁵ (see *European LeukemiaNET Risk Stratification by Genetics in Non-APL AML* in the algorithm). In the previous ELN guidelines, a distinction was made between intermediate I and intermediate II risk groups.¹⁰⁷ An analysis that evaluated the prognostic value of the ELN risk classification (based on data from the German AML96 study) showed that for patients aged 60 years and younger, median RFS was shorter for the Intermediate I than for



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the Intermediate II group (7.9 vs. 39.1 months, respectively). In patients older than 60 years, no major difference was observed (9.6 vs. 11.6 months, respectively).¹⁰⁶ In this analysis, median OS between the Intermediate I and Intermediate II groups was not as widely separated among patients aged 60 years and younger (13.6 vs. 18.7 months, respectively); in patients older than 60 years, median OS was similar between the 2 intermediate groups (9.5 vs. 9.2 months, respectively).¹⁰⁶

In another study, patients in the intermediate I group who were younger than 60 years of age demonstrated longer OS than those in the intermediate II group; in patients older than 60 years of age, the OS was similar between the 2 intermediate groups.¹⁰⁸ Based on these data, the ELN simplified the intermediate risk group in the 2017 update.¹⁰⁵ Both NCCN and the ELN classify patients with NK-AML with both mutated *NPM1* and a high allelic ratio (≥ 0.5) of *FLT3*-ITD (*FLT3*-ITD^{high}), and those with wild-type *NPM1* without *FLT3*-ITD or with *FLT3*-ITD^{low} (without adverse-risk genetic lesions) as having intermediate-risk AML. In addition, patients with t(9;11)(p21.3;q23.3), *MLL3*-*MLL*, and other cytogenetic abnormalities that fall into neither the favorable nor adverse category are considered to have intermediate-risk disease. Both NCCN and the ELN classify patients with wild-type *NPM1* and *FLT3*-ITD^{high}, mutated *TP53*, mutated *RUNX1*, or mutated *ASXL1* as having poor risk.^{105,106} However, mutated *RUNX1* or *ASXL1* should not be used as poor-risk prognostic markers if they co-occur with favorable-risk AML subtypes. (see *European LeukemiaNET Risk Stratification by Genetics in Non-APL AML* in the algorithm).

As seen from the earlier discussions, patients with NK-AML may present with multiple molecular abnormalities. *NPM1* mutations can occur concurrently with *FLT3*-ITD, and patients who have both genetic lesions have an outcome more similar to those with isolated *FLT3*-ITD mutations.^{35,41} Thus, *NPM1* mutation confers favorable prognosis only in

the absence of *FLT3*-ITD.⁴⁸ Similarly, the benefit in OS outcomes seen with *CEBPA* mutations seems to be lost in the presence of concurrent *FLT3*-ITD.⁶² As previously mentioned, studies suggest that *FLT3*-TKD in the presence of *FLT3*-ITD is associated with poorer prognosis. In contrast, *FLT3*-TKD may be associated with an additional favorable prognosis in the presence of *NPM1* or *CEBPA* mutations.⁵⁹ A systematic review and meta-analysis in patients younger than 60 years of age with NK-AML further established the prognostic role of these markers.⁴⁵ OS and RFS predicted unfavorable prognosis for *FLT3*-ITD (HR, 1.86 and 1.75, respectively) and favorable prognosis for *NPM1* (HR, 0.56 and 0.37, respectively) and *CEBPA* (HR, 0.56 and 0.42, respectively).

The clinical significance of *FLT3* mutations in patients with APL remains controversial. *FLT3*-ITD is associated with a higher incidence of several hematologic features associated with APL (eg, higher WBC count, decreased fibrogen levels, higher Sanz risk score).^{109,110} However, there remains a paucity of data to support a correlation of *FLT3*-ITD on OS and rate of relapse.^{109,111,112} Although mutation status alone may not reflect patient outcome, there was a trend for decreased OS and EFS with a higher *FLT3*-ITD mutational load suggesting that further studies are necessary to elucidate the clinical significance of this mutation.¹¹² Conversely, *FLT3*-TKD has not been associated with the hematologic features of APL and studies do not show a correlation of *FLT3*-TKD on outcome.^{109,110,112-114}

The molecular markers discussed provide prognostic information that aid risk stratification of patients with AML and may influence subsequent treatment decisions. Research into basic leukemia biology using banked samples from clinical trials may provide keys to altered cellular pathways, which may lead to new treatment options. Risk stratification incorporating molecular data along with cytogenetics is summarized in the guidelines (see *European LeukemiaNET Risk Stratification by Genetics in Non-APL*

AML in the algorithm). The NCCN AML Panel recognizes that molecular genetics is a rapidly evolving field in AML; therefore, risk stratification should be modified based on continuous evaluation of evolving research data. Again, it is important for physicians to confer with the local pathologist on how to optimize sample collection from the time of diagnosis for future molecular diagnostics in patients who have NK-AML or in other situations where molecular analysis may refine the prognostic category.

Principles of AML Treatment

Treatment of acute leukemia has been divided into induction chemotherapy and postremission (eg, consolidation) therapy. Although obtaining a remission is the first step in controlling the disease, it is also important for patients to emerge from the induction phase in a condition to tolerate subsequent, more intensive treatments during consolidation to achieve durable disease control. Patients who do not receive postremission therapy may experience relapse, usually within 6 to 9 months. Postremission therapy is recommended for patients younger than 60 years and/or who are fit for intensive therapy. However, there are trials that by design do not include postremission treatment for patients and the results have been promising; these trials are generally in older patients with AML. The induction strategy is influenced by individual patient characteristics such as age, presence of comorbid conditions affecting performance status, and preexisting myelodysplasia. This is particularly true of elderly patients with AML. Patients whose performance status would make them poor candidates for the standard antineoplastic regimens may still be able to participate in clinical trials or low-intensity therapy plus oral agents designed to target this underserved patient population. Supportive care may also be an appropriate choice. In younger patients, strategies for consolidation are based on the potential risk of relapse, with higher-risk patients receiving more aggressive therapy. Cytogenetic and molecular abnormalities are the most significant

prognostic indicators; however, failure to achieve remission after 1 cycle of induction therapy or high tumor burden, defined as a WBC count $\geq 40,000/\text{mL}$,²¹ are included as poor-risk factors for long-term remission. Therefore, response is assessed based on bone marrow morphology and cytogenetic and molecular responses taken at several points during the course of treatment (see *Response Criteria Definitions for Acute Myeloid Leukemia* and *Monitoring During Therapy* in the algorithm for definitions of complete and partial response and disease relapse). The use of flow cytometry and/or molecular methods to assess MRD is emerging as a novel determinant to assess the depth of therapeutic response at the time of morphologic remission in AML patients (see *Role of MRD Monitoring*).

Finally, all patients require attentive supportive care related to the underlying leukemia (ie, tumor lysis syndrome) and the adverse effects of chemotherapy (see *Supportive Care* in the algorithm).

Management of Acute Promyelocytic Leukemia

APL is a particularly aggressive subtype of AML, comprising approximately 10% of AML cases. APL has a distinct morphology and clinical presentation that may be associated with a high early death rate due to potentially fatal coagulopathy.¹¹⁵⁻¹¹⁷ In an analysis of data (from 1992–2007) from the National Cancer Institute SEER registry, the age-adjusted annual incidence rate of APL was 0.23 per 100,000 persons.¹¹⁸ The median age of APL diagnosis was 44 years, which is younger than that of patients with AML (median age 67 years).^{2,118} APL is cytogenetically distinguished by the t(15;17) chromosomal translocation. The translocation of the *PML* gene on chromosome 15 to the *RARA* gene on chromosome 17 [ie, t(15;17)(q24.1;q21.1)] produces a *PML-RARA* fusion gene that can be quantitatively monitored using polymerase chain reaction (PCR) to document disease burden and to ultimately confirm molecular remission. As further emphasis of the cytogenetic attribute of APL, the most recent WHO classification of myeloid neoplasms and acute

leukemia changed the definition of APL from the cytogenetic criteria of t(15;17) to the molecular definition of “APL with PML-RARA” to be inclusive of complex or cryptic rearrangements that lead to a functional transcription factor.⁶⁴

APL may be de novo or therapy-related. Some of the following attributes of therapy-related APL (t-APL) were highlighted in a systematic review: 1) the average age of diagnosis is 47 years with a higher incidence in women; 2) the risk significantly declines 2 years after completion of treatment for the primary antecedent disease; 3) breast cancer, hematologic malignancy, multiple sclerosis, and genitourinary malignancy are the most common antecedent diseases; 4) topoisomerase II inhibitors and radiation have the highest risk associated with developing t-APL; 5) the clinicopathology of t-APL is not different from de novo APL; 6) the single mutation t(15;17) is most common; and 7) the remission rate of t-APL is 80%, which is comparable to de novo APL.¹¹⁹ Therefore, t-APL and de novo APL are treated similarly.

The incorporation of all-trans retinoic acid (ATRA) and the use of risk stratification (based on WBC counts) in the management of APL has largely improved outcomes for patients with this subtype. The unique ability of ATRA to produce differentiation in APL blasts can reverse the coagulopathy, which is the major cause of death during induction. To minimize early induction mortality due to coagulopathy, patients with a presumptive diagnosis of APL based on morphology, immunophenotype, and/or coagulopathy with a positive disseminated intravascular coagulation screen should promptly start ATRA. It is not necessary to wait for molecular testing or bone marrow with cytogenetics to confirm the diagnosis. The initial clinical diagnosis of APL may be confirmed by FISH or PCR ideally in the peripheral blood and if not confirmed, ATRA may be discontinued and standard AML therapy initiated.

Studies have demonstrated the necessity of early recognition and prompt initiation ATRA based on a presumed diagnosis of APL to reduce the rate of early mortality. This is evidenced by early death rates below 10% reported for patients enrolled in clinical trials¹²⁰⁻¹²⁴ compared to the general population where early mortality rates are still in excess of 15%.^{118,125-127} Data from the SEER registry measured 2-year survival and 30-day mortality from 1977 to 2007 and found a 61% improvement in 3-year survival per decade ($P = .001$) but a consistent rate of 30-day mortality averaging 20%.¹²⁵ Education of health care providers to identify the first suspicion of APL may extend the improved outcomes seen in clinical trials to the general population if treatment is not delayed.

There is a high frequency of *FLT3* mutations in APL. In a systematic review including 11 studies, *FLT3*-ITD frequency in APL occurred in about 12% to 38% of cases and *FLT3*-TKD occurred in 2% to 20% of cases.¹²⁸ Data are inconsistent about whether *FLT3*-ITD in APL results in a negative prognosis. Several studies support this association and further correlate *FLT3*-ITD with higher WBC counts, lower platelet counts, and the expression of the bcr3 PML-RARA fusion transcript.¹²⁸⁻¹³¹ However, data from other studies have not shown a correlation.^{55,132} It has been proposed that the discrepancy between studies may be at least partially resolved by incorporation of a *FLT3*-ITD/wild-type ratio to measure the effect on prognosis.^{112,133} Data showed that a ratio of greater than 0.66 resulted in a shorter 5-year RFS.¹³³ Similarly, shorter EFS and OS were observed in patients with equal to or greater than a 0.5 ratio compared to patients with less than 0.5 (EFS, $P = .029$; OS, $P = .084$).¹¹² While data may correlate with prognosis, there currently remains no change in treatment course depending on expression of *FLT3*-ITD.

Induction Therapy for Patients with APL

The evolution of treatment strategies for APL, built on clinical observation and well-constructed clinical trials, represents one of the most rewarding

sagas of modern hematology. An early study by a group in Shanghai reported a CR rate of 85% in response to single-agent ATRA.¹³⁴ The first North American Intergroup study confirmed a 70% CR rate with single-agent ATRA, which was equivalent to rates obtained with conventional doses of cytarabine and daunorubicin.^{135,136} Induction regimens with ATRA combined with anthracyclines (with or without cytarabine) are associated with CR rates exceeding 90%, as demonstrated in several large cooperative group trials.¹³⁷⁻¹⁴⁰ Using ATRA-based induction regimens followed by consolidation with regimens containing either ATRA with anthracyclines, or cytarabine with anthracyclines, more than 80% of patients with APL can be cured of their disease.^{137,139-141} ATRA with arsenic trioxide (ATO) has resulted in improved outcomes for patients with APL.¹⁴² Risk stratification is a major consideration in the treatment of APL (see *APL, Classification and Treatment Recommendation* in the algorithm).¹⁴⁰ Although clinical trials may group patients into those with low-, intermediate-, or high-risk disease, the NCCN Panel categorizes patients with APL as having low-risk disease (WBC count $\leq 10,000/\text{mL}$) or high-risk disease (WBC count $>10,000/\text{mL}$). Patients with low-risk disease are typically treated with less intensive consolidation regimens compared with regimens used for high-risk patients.

The French APL 93 trial compared sequential therapy of ATRA followed by chemotherapy (cytarabine and daunorubicin) with concurrent ATRA plus chemotherapy. CR rates were 92% in both arms, but the relapse rate at 2 years was 6% in the combined ATRA plus chemotherapy group versus 16% for the sequential group.^{121,143} Induction regimens were pared down to ATRA and idarubicin (the AIDA schedule) in both the Italian GIMEMA 93 trial and the Spanish PETHEMA LPA 94 trial, which produced CR rates of 89% to 95%, raising the question of whether there was a need for cytarabine in APL induction.^{120,124} In these trials, 51% to 61% of evaluable patients achieved PCR-negative status for *PML-RARA* following

induction therapy; 93% to 98% were PCR-negative after consolidation. The estimated 2-year EFS rate was 79% in both trials.^{120,124} In the PETHEMA trial, the 2-year OS rate was 82%.¹²⁴

Following observational data that correlated elevated WBC counts and high-risk disease (based on both the higher number of deaths during induction and the increased rates of relapse), in the PETHEMA LPA 94 trials, Sanz et al^{144,145} devised a risk stratification study based solely on WBC and platelet counts at presentation. In this study, the induction regimen remained the same (AIDA), but ATRA was added to consolidation cycles 1 to 3 for all but low-risk patients (ie, WBC $\leq 10,000/\text{mL}$ and platelets $>40,000/\text{mL}$). The CR rate in this trial was 90% with almost all the failure attributed to hemorrhage, infection, or differentiation syndrome. Factors predictive of death during induction were a WBC count greater than 10,000/mcL, age older than 60 years, creatinine of 1.4 or greater, and male sex.^{144,145} In 2006, Ades et al¹⁴⁶ reported the outcome of the French APL 2000 trial (n = 340) in which patients younger than 60 years of age with WBC counts less than 10,000/mcL were randomized to receive ATRA (45 mg/m²) and daunorubicin (60 mg/m²/d for 3 days) as induction therapy with or without cytarabine (200 mg/m²/d for 7 days). Those randomized to cytarabine for induction also received cytarabine during consolidation.¹⁴⁶ Patients with WBC counts greater than 10,000/mcL or age older than 60 years received cytarabine. While the CR rates were similar between the randomized groups (99% with cytarabine and 94% without cytarabine), those receiving cytarabine had a lower 2-year cumulative incidence of relapse (5% with cytarabine and 16% without cytarabine) that translated into an improved EFS rate (93% with cytarabine and 77% with no cytarabine) at 2 years. The 2-year OS rate was 98% with cytarabine and 90% without cytarabine. Among patients with a WBC count greater than 10,000/mcL, the CR rate was 97%; the 2-year EFS rate was 89% for those younger than 60 years of age and 79% for those older than 60 years of age.¹⁴⁶ A report of a joint analysis of the outcomes in the PETHEMA 99

and the French APL 2000 trials in patients younger than 65 years of age showed that in patients with a WBC count less than 10,000/mcL, CR rates were similar, but the relapse rates at 3 years were lower in the PETHEMA trial, which used AIDA and no cytarabine during induction (with ATRA during consolidation), than in the APL 2000 cytarabine-containing regimen (4% vs. 14%; $P = .03$).¹³⁸ However, for patients with WBC count greater than 10,000/mcL, the cytarabine-containing protocol resulted in higher CR (95% vs. 84%; $P = .018$) and 3-year OS rates (91.5% vs. 81%; $P = .026$).¹³⁸ The second North American Intergroup trial also used ATRA (45 mg/m²), daunorubicin (50 mg/m²/d for 4 days), and cytarabine (200 mg/m²/d for 7 days) with a similar initial CR rate of 90%.¹³⁹ Consolidation in this trial differed in that 2 cycles of ATO were given following induction and prior to the final 2 cycles of anthracycline.

ATO has been found to be a potent promoter of apoptosis in APL cells.^{147,148} In 2004, Shen et al¹⁴⁹ first published outcomes using single-agent ATRA, single-agent ATO, or the combination of both drugs.¹⁴⁹ While CR rates exceeded 90% in all three treatment arms, the decline in quantity of PML/RARA fusion transcripts (as measured by quantitative PCR) was significantly higher with the combination. Time to hematologic response was more rapid and RFS (after a median follow-up of 18 months) was improved with the combination regimen compared with the monotherapy regimens.¹⁴⁹ Subsequently, Estey et al¹⁵⁰ used a similar combination of ATRA and ATO to treat patients with low-risk APL.¹⁵⁰ High-risk patients in the same study were treated with ATRA and ATO combined with gemtuzumab ozogamicin (GO; 9 mg/m² on day 1 of induction therapy). In a report from this study ($n = 82$), the CR rate in all patients was 92% (95% for low-risk and 81% for high-risk patients) and the estimated 3-year OS rate was 85%.¹⁵¹ The authors suggested that ATRA combined with ATO, with or without GO, may be an alternative to conventional chemotherapy in patients with untreated APL. A subsequent study examined the long-term outcomes of patients with newly diagnosed

APL treated with ATRA and ATO with or without GO [9 mg/m² on day 1 of induction therapy for high-risk APL patients] ($n = 187$; median age, 50 years; range, 18–84 years).¹⁵² The complete remission rate was 96% for patients with both low- and high-risk APL. With a median follow-up of 47.6 months (range, 2.7–159.7 months), the 5-year EFS, DFS, and OS rates for low-risk patients were 87%, 99%, and 89%, respectively, and for the high-risk patients were 81%, 89%, and 86%, respectively.¹⁵² These data suggested that ATRA and ATO combined with GO is feasible and elicits durable responses. However, clinicians should be aware of possible adverse events associated with GO including sinusoidal obstruction syndrome similar to hepatic veno-occlusive disease described in the transplant setting.^{153,154}

A phase II study (APML4) from Australia/New Zealand evaluated an induction regimen with ATO added to a backbone of AIDA in patients with previously untreated APL ($n = 124$; median age, 44 years).¹⁵⁵ Patients received 1 cycle of induction therapy with ATRA (45 mg/m² days 1–36 in divided doses), age-adjusted idarubicin (6–12 mg/m² days 2, 4, 6, and 8), and ATO (0.15 mg/kg days 9–36 as a 2-hour IV infusion). All patients received prednisone (1 mg/kg/d for at least 10 days) regardless of initial WBC count as prophylaxis for differentiation syndrome.¹⁵⁵ The most common grade 3 or 4 non-hematologic adverse events during induction included infections (76%; including febrile neutropenia), hepatic toxicity (44%), gastrointestinal toxicity (28%), metabolic abnormalities (16%), and prolonged QTc interval (14%); grade 3 or 4 differentiation syndrome occurred in 14% of patients. Patients with a CR to induction received consolidation with 2 cycles of ATRA and ATO. Maintenance therapy was administered for 2 years and consisted of eight 3-month cycles of treatment with ATRA, oral methotrexate, and 6-mercaptopurine.¹⁵⁵ Grade 3 or 4 adverse events occurred primarily during induction (as above); the most common grade 3 or 4 events during consolidation (cycle 1) included infections (19%) and hepatic toxicity (12%), and no deaths occurred during

consolidation cycles. The hematologic CR rate after induction was 95%; early death (during induction) occurred in 3% of patients. The 2-year DFS and failure-free survival rates were 97.5% and 88%, respectively. The 2-year OS rate was 93%.¹⁵⁵ This trial enrolled 24 patients that were defined as high risk based on the Sanz criteria. OS was not affected by the Sanz risk group ($P_{\text{trend}} = .17$), although a correlation was made with the failure-free survival rate ($P_{\text{trend}} = .03$). This association may be attributed to the method of analysis that included patients who withdrew from the study due to refusal of treatment or excessive toxicity, as well as patients who had relapse, death, or failure to achieve a molecular CR.

In a phase III randomized trial of the Italian-German Cooperative Group, induction with ATRA combined with ATO was compared with the AIDA regimen in patients with newly diagnosed, low-, or intermediate-risk APL ($n = 162$; APL0406 study).¹⁴² Patients in Arm A received ATRA (45 mg/m²) plus ATO (0.15 mg/kg) daily until CR, then ATO 5 days per week for 4 weeks every 8 weeks for a total of 4 courses, and ATRA daily for 2 weeks every 4 weeks for a total of 7 courses. Patients in Arm B received standard AIDA induction followed by consolidation with 3 cycles of anthracycline-based consolidation combined with ATRA and then maintenance comprising low-dose chemotherapy and ATRA.¹⁴¹ In addition, all patients received prednisone (0.5 mg/kg/d from day 1 until the end of induction) as prophylaxis for differentiation syndrome. The primary endpoint of this study was the 2-year EFS rate. Among evaluable patients ($n = 156$), CR rates were not different between Arm A and Arm B (100% vs. 95%). After a median follow-up period of 34.4 months, the 2-year EFS rate was significantly higher in Arm A compared with Arm B (97% vs. 86%; $P < .001$ for non-inferiority; $P = .02$ for superiority). The 2-year OS probability was also significantly higher in Arm A compared with Arm B (99% vs. 91%; $P = .02$). Four patients in Arm B died during induction therapy (2 deaths were caused by differentiation syndrome). One patient in Arm A and 3 patients in Arm B died during consolidation. Grade 3 or 4

neutropenia and thrombocytopenia lasting more than 15 days were significantly more frequent in Arm B compared with Arm A throughout induction and consolidation cycles. Grade 3 or 4 hepatic toxicities also occurred more frequently in Arm A compared with Arm B (63% vs. 6%; $P < .001$).¹⁴² Health-related quality-of-life outcomes were not significantly different between treatment groups except for fatigue severity. There was improvement in fatigue following induction in the ATRA plus ATO group ($P = .022$), though the benefit was negligible by third consolidation ($P = .660$).¹⁵⁶ This randomized study showed non-inferiority of an ATRA plus ATO regimen compared with AIDA, which may allow for elimination of chemotherapy agents in the initial treatment of patients with non-high-risk APL.

Data from the randomized phase III AML17 trial compared ATRA plus ATO to AIDA in a cohort of 235 patients. ATRA was given to both groups in daily divided oral doses (45 mg/m²) until remission or until day 60, after which patients were treated 2 weeks on then 2 weeks off.¹⁵⁷ The AIDA group received four cycles of consolidation consisting of 12 mg/m² IV idarubicin on days 2, 4, 6, and 8 in the first course; 5 mg/m² IV idarubicin on days 1 through 4 in course 2; 10 mg/m² mitoxantrone on days 1 through 4 in course 3; and 12 mg/m² idarubicin on day 1 of the final course.¹⁵⁷ The ATRA plus ATO treatment entailed 0.3 mg/kg IV ATO on days 1 through 5 in the first week and 0.25 mg/kg twice weekly in weeks 2 through 8 in course 1 and then twice weekly in weeks 2 through 4 during courses 2 through 5. High-risk patients could receive an initial dose of GO (6 mg/m² IV). Comparison between the ATRA plus ATO group and the AIDA group showed a higher 4-year EFS (91% vs. 70%; $P = .002$) and lower 4-year cumulative incidence of morphologic relapse (1% vs. 18%; $P = .0007$) for ATRA plus ATO compared to AIDA, though no statistically significant difference in 4-year survival was seen (93% vs. 89%; $P = .25$). Quality of life was equivalent in the treatment groups for both high- and low-risk patients as measured by the primary outcome of global

functioning (effect size, 2.17; 95% CI, -2.79–7.12; $P = .39$).¹⁵⁷ However, the data from the trial measured more supportive care treatments and higher liver toxicity with AIDA. Treatment schedule differed from previous trials by moving to a higher dose of ATO given at a lower frequency of twice weekly. Though data are limited to this single trial, the NCCN AML Panel recognizes that this alternative dosing schedule may be more manageable for patients who have difficulty getting to the clinic.

All five induction regimens discussed above offer excellent outcomes. These regimens are ATRA plus ATO (0.15 mg/kg; with the addition of idarubicin for high-risk patients only); ATRA plus daunorubicin (50 mg/m² daily for 4 days) plus cytarabine; ATRA plus daunorubicin (60 mg/m² daily for 3 days) plus cytarabine; AIDA; or ATRA plus ATO (0.3 mg/kg). Choice of regimen will be influenced by risk group, age, and cardiovascular risks. The NCCN AML Panel recommends that patients with APL be treated according to one of the regimens established from the clinical trials; importantly, one should use a regimen consistently through all components of the protocol and not mix induction regimens from one trial with consolidation regimens from another trial. With the advances in treatment regimens, the panel emphasizes the importance of receiving treatment from an established treatment center for the monitoring and treatment of adverse events, regardless of risk stratification. The recommendations within the guidelines are broken down by: 1) risk classification using WBC count (cutoff of 10,000/mcL) at diagnosis; and 2) whether high-risk patients have cardiac issues.

For low-risk patients (WBC counts $\leq 10,000$ /mcL), the panel recommends initial induction with ATRA plus ATO (0.15 mg/kg)¹⁴² (category 1, preferred regimen); ATRA plus ATO (0.3 mg/kg)¹⁵⁷ (category 1, preferred regimen); AIDA¹⁴⁰ (category 1; other recommended regimen); or enrollment in a clinical trial.

For high-risk patients (WBC counts $>10,000$ /mcL), the NCCN AML Panel historically recommended a regimen that included cytarabine along with ATRA plus daunorubicin (PETHEMA LPA 99 trial) over AIDA (APL 2000 trial) because of higher CR and 3-year OS rates.^{138,140} To improve patient outcome, the PETHEMA LPA 99 trial and the GIMEMA AIDA-0493 study were modified to incorporate the combination of ATRA with cytarabine either during induction (LPA 2005)¹⁴⁰ or during consolidation (AIDA-2000).¹⁴¹ The improved outcomes in both these studies suggest a supra-additive effect with ATRA plus cytarabine, independent of the anthracycline. The APML4 trial has shown the benefit of induction that includes ATRA and ATO. Unlike the other regimens, the APML4 trial does not use cytarabine during induction. In light of these studies, the panel recommends initial induction with these preferred regimens: ATRA and ATO,¹⁵⁵ or ATRA and ATO with GO (9 mg/m² on day 1¹⁵² or 6 mg/m² on day 1¹⁵⁷). Other recommended regimens include ATRA plus daunorubicin and cytarabine^{136,138,139}; AIDA alone¹⁴⁰; or enrollment in a clinical trial. In high-risk patients with cardiac issues that include low ejection fraction, the panel recommends initial induction with ATRA and ATO with GO (9 mg/m² on day 1¹⁵² or 6 mg/m² on day 1¹⁵⁷). If the high-risk patient exhibits signs of prolonged QTc, the panel recommends initial induction with ATRA and GO (9 mg/m² on day 1).¹⁵⁸

The sudden onset of differentiation syndrome and the severity of the complications have resulted in the frequent use of preemptive dexamethasone, because there are no markers to predict its development. The panel recommends the prophylactic administration of corticosteroids in patients with a WBC count greater than 10,000/mcL (or in patients receiving induction with both ATRA and ATO, regardless of WBC count) to prevent differentiation syndrome. The ATRA plus ATO regimens defined by Lo-Coco et al¹⁴² or Iland et al^{155,159} use prednisone 0.5 mg/kg as prophylaxis for differentiation syndrome but with differing durations and tapering schedules. For patients who develop differentiation syndrome on

these regimens despite prednisone prophylaxis, prednisone should be stopped and replaced with dexamethasone 10 mg twice a day (see *Supportive Care* in the algorithm). If using non-ATO regimens, either steroid regimen is acceptable although there may be a slight preference for dexamethasone for high-risk disease. While the panel recommends the use of prophylactic corticosteroids, it is acknowledged that corticosteroids may not be necessary in all patients. Some institutions may advocate a low threshold for initiating corticosteroids instead of defaulting to prophylaxis. Until more studies are done to address this issue, consistency to the selected protocol should be sought.

Consolidation Therapy for Patients with APL

Because the differentiating action of ATRA occurs over a longer time period than the cytoreduction of conventional chemotherapy, early marrow evaluations for hematologic response at days 7 to 14 post induction are misleading and may lead to overtreatment. Marrow evaluation is not recommended until recovery of blood counts, usually 4 to 6 weeks after induction. Cytogenetic analysis is usually normal by this point, but molecular remission often requires at least 2 cycles of consolidation. Thus, the first assessment of molecular remission should not be performed prior to count recovery. At count recovery following induction therapy, patients should proceed with consolidation; for patients with high-risk disease, LP should be considered at count recovery following induction therapy, before proceeding with consolidation.¹⁶⁰ Many consolidation regimens involve high cumulative doses of cardiotoxic agents. It is therefore important to assess the cardiac function of patients prior to initiating each anthracycline- or mitoxantrone-containing consolidation cycle.

Consolidation regimens employing ATO will require monitoring of the QTc interval and optimizing electrolytes (see *Supportive Care* in the algorithm and *Supportive Care for Patients with APL* in the discussion). According to the package insert, for QTc greater than 450 msec for men and 460 msec for women, corrective measures should be initiated and reassessment

with serial electrocardiograms (ECGs) should be performed prior to ATO treatment.¹⁶¹

The goal of consolidation therapy for APL is a durable molecular remission. Data from the two sequential PETHEMA trials,^{124,144,145} which produced the current risk model, were used to construct subsequent trials that intensify therapy for the high-risk groups. In the second PETHEMA trial (LPA 99), 15 days of ATRA (45 mg/m²) were added to each of three cycles of anthracycline-based consolidation therapy. Overall, relapse rates were reduced from 20% to 9% with the incorporation of ATRA in the consolidation phase.¹⁴⁴ For the low-risk group, there was no difference in relapse rate (3%–6%) or in 3-year DFS rate (93%–97%) between the ATRA group compared with a similar consolidation without ATRA in the LPA 94 trial.¹⁴⁴ Among patients with intermediate risk, the relapse rate was reduced from 14% to 2.5% with the incorporation of ATRA; the 3-year DFS rate was 97% with ATRA consolidation versus 82% in historical controls.¹⁴⁴ Although the addition of ATRA to the high-risk group improved relapse and DFS rates, there were significant rates of relapse (26%) and 3-year DFS (77%). In the PETHEMA LPA 2005 study, both ATRA and cytarabine were included in the anthracycline-containing consolidation regimen for the high-risk patients.¹⁴⁰ In this high-risk group, the 3-year relapse rate was reduced to 11% (compared with 26% from the LPA 99 study), and the 3-year DFS and OS rates were 82% and 79%, respectively. The LPA 2005 trial also began to approach the question of how to reduce toxicity during consolidation therapy in low- and intermediate-risk patients by dose reduction of mitoxantrone (from 10 mg/m²/d for 5 days to 10 mg/m²/d for 3 days in cycle 2) and a small reduction of idarubicin dose for low- and intermediate-risk groups (from 7 mg/m²/d for 4 days to 5 mg/m²/d for 4 days in cycle 1 and from 2 doses of 12 mg/m²/d to 1 dose of 12 mg/m²/d in cycle 3). Based on results in the low- and intermediate-risk groups, lowering the dose of mitoxantrone resulted in reduction of toxicity and hospital stay while maintaining the

anti-leukemic activity (compared with results in low- and intermediate-risk groups from the LPA 99 study). With the consolidation regimens evaluated in the LPA 2005 study, outcomes were similar between low-risk and intermediate-risk groups with regard to the 3-year cumulative incidence of relapse (6% vs. 6%), the 3-year DFS (93% vs. 94%), and the 3-year OS rate (96% vs. 93%).¹⁴⁰

The AIDA-2000 trial of the Italian GIMEMA group has confirmed that inclusion of ATRA in consolidation significantly improved outcome, most notably for high-risk patients; the high-risk group received a consolidation regimen containing ATRA and cytarabine along with anthracyclines.¹⁴¹ In this study, the 6-year cumulative incidence of relapse was 9% for patients in the high-risk group; the 6-year DFS and OS rates in this group were 84.5% and 83%, respectively. In the AIDA-2000 study, the low- and intermediate-risk groups were collapsed into a single category, and received the same consolidation regimen with ATRA, mitoxantrone, and idarubicin (ATRA 45 mg/m² for 15 days + idarubicin 5 mg/m² for 4 days in cycle 1; ATRA for 15 days and mitoxantrone 10 mg/m²/d for 5 days in cycle 2; and ATRA for 15 days and idarubicin 12 mg/m² for 1 dose in cycle 3). For patients in the low- and intermediate-risk group, the 6-year cumulative incidence of relapse was 11%; the 6-year DFS and OS rates in this group were 86% and 89%, respectively.¹⁴¹

In the European APL 2000 trial, which randomized daunorubicin with or without cytarabine for the consolidation phase (no ATRA during consolidation) for the low- and intermediate-risk (ie, “standard risk”) groups, the 2-year EFS rate was higher with the addition of cytarabine.¹⁴⁶ Long-term follow-up from this study showed that in patients with standard risk, the addition of cytarabine substantially reduced cumulative incidence of relapse (7-year relapse rate 13% vs. 29%; $P = .0065$) and increased 7-year EFS rates (83% vs. 65%; $P = .0029$) compared with the regimen without cytarabine.¹⁶² A poorer response was seen in patients who did not

receive cytarabine despite maintenance treatment of continuous 6-mercaptopurine plus methotrexate and intermittent ATRA. Furthermore, all high-risk patients received cytarabine during induction and consolidation resulting in a 7-year relapse rate, EFS rate, and OS rate of 7.1%, 82.2%, and 87.6%, respectively, an outcome that was slightly improved over standard-risk patients treated without cytarabine. Although the results of the European APL 2000 trial are limited by the use of a single anthracycline in all study arms, the data support the use of cytarabine in standard-risk APL with the anthracycline daunorubicin.

The North American Intergroup trial also focused on decreasing toxicity during consolidation by incorporating ATO into the consolidation schema directly after achieving remission.¹³⁹ In this trial, patients who were randomized to receive 2 courses of 25 days of ATO (5 days a week for 5 weeks) immediately after entering CR followed by the standard post-remission regimen with 2 more courses of ATRA plus daunorubicin, had a significantly higher 3-year EFS rate (80% vs. 63%; $P < .0001$) and improved OS outcomes (3-year OS rate 86% vs. 81%; $P = .06$) compared with those who received only the 2 courses of ATRA plus chemotherapy. The 3-year DFS rate was also significantly improved with the addition of ATO (90% vs. 70%; $P < .0001$). The favorable outcomes with the incorporation of ATO were observed in patients with low-/intermediate-risk and high-risk disease.¹³⁹ Notably, in the high-risk group, DFS outcomes with the addition of ATO were similar to the DFS rate observed for the low-/intermediate-risk group, suggesting that ATO may help to overcome the negative prognostic influence of high-risk disease. The overall outcomes do not appear to be superior to the less complex consolidation schedules used in either of the two most recent European trials for patients in the low- and intermediate-risk groups, but did appear to offer improved survival for patients with high-risk disease. However, the consolidation phase in the North American Intergroup protocol is longer and may be difficult for some patients to complete.

The French APL 2006 randomized trial evaluated the role of ATO in consolidation therapy for previously untreated APL, both for standard-risk patients (WBC count <10,000/mcL; ATO vs. cytarabine vs. ATRA, all in combination with idarubicin during consolidation) and high-risk patients (WBC >10,000/mcL; cytarabine vs. ATO + cytarabine, both in combination with idarubicin during consolidation).^{163,164} Based on results from the interim analysis (median follow-up, 22–24 months), all regimens resulted in CR rates exceeding 95% with low rates of relapse. However, the use of ATO in the consolidation phase was associated with longer durations of myelosuppression, which necessitated a protocol amendment to further reduce the chemotherapy dose in patients receiving ATO.¹⁶³ In the second interim analysis, the only change was a decrease of idarubicin during second consolidation. Data from this analysis show a 99.4% CR across all groups encompassing a total of 347 patients.¹⁶⁴ While the two-year EFS and OS rates were above 95% for all three groups, there was a reduction of myelosuppression in the group treated with AIDA compared to idarubicin plus cytarabine and idarubicin plus ATO, which had similar durations.¹⁶⁴ The potential benefits of the use of ATO or ATRA in consolidation may rest in a lower risk for long-term cardiovascular complications and a lower risk for secondary myelodysplasia.

In the phase II APML4 study from Australia/New Zealand, 2 cycles of ATO and ATRA were used as consolidation in patients who achieved a CR after a 3-drug induction with ATRA, idarubicin, and ATO.¹⁵⁵ Among the patients who proceeded to consolidation (n = 112), all achieved molecular remission, and the 2-year DFS rate was 97.5%. The 2-year OS rate in all evaluable patients in this study (n = 124) was 93%.¹⁵⁵ As discussed earlier, in the phase III randomized trial of ATRA combined with ATO versus the AIDA regimen (APL0406 study) in patients with newly diagnosed, low-, or intermediate-risk APL (n = 162), patients in the ATRA plus ATO arm received consolidation with ATO 5 days per week for 4 weeks every 8 weeks for a total of 4 courses, and ATRA daily for 2 weeks

every 4 weeks for a total of 7 courses (Arm A).¹⁴² Patients in the AIDA arm (Arm B) received 3 cycles of anthracycline-based consolidation combined with ATRA and then maintenance with low-dose chemotherapy and ATRA.¹⁴¹ After a median follow-up period of 31 months, the 2-year EFS rate was significantly longer in Arm A compared with Arm B (97% vs. 86%; $P < .001$ for noninferiority; $P = .02$ for superiority of ATRA-ATO). In addition, the 2-year OS was also longer in Arm A (99% vs. 91%; $P = .02$), with no differences in 2-year DFS (97% vs. 90%; $P = .11$) or cumulative incidence of relapse (1% vs. 6%; $P = .24$) between treatment arms.¹⁴²

In the French APL 93 trial, a 4% incidence of CNS relapse was reported in patients with WBC counts greater than 10,000/mcL. In the APL 2000 trial, that high-risk population received five doses of IT chemotherapy using a combination of methotrexate, cytarabine, and steroids, upon count recovery following induction therapy. These patients also received a higher dose of cytarabine (2 g/m²) during consolidation (in cycle 2) as compared with 1 g/m² in the APL 93 trial. There were no cases of CNS relapse in the APL 2000 trial, compared with 5 cases in the APL 93 trial. While the original treatment protocol on APL 2000 used HiDAC in the second cycle of consolidation, some investigators suggest the use of HiDAC earlier, particularly in those patients who are not receiving IT therapy for CNS prophylaxis.

For low-risk patients, the NCCN AML Panel has positioned the ATRA plus ATO regimen first, based on results from the APL0406 phase III randomized trial in comparison with the AIDA regimen.¹⁴² An additional ATRA plus ATO regimen based on the AML 17 trial¹⁵⁷ is also a preferred option. The GIMEMA AIDA-2000 regimen¹⁴¹ is an additional option. However, all three of these regimens will yield excellent results. It is important to note that clinicians should use a regimen consistently through all components of the treatment protocol and not mix induction regimens from one trial with consolidation regimens from another trial.

For patients with high-risk disease, preferred consolidation therapies include ATRA plus ATO as used in the APML4 trial,¹⁵⁵ or ATRA and ATO (plus GO if ATRA/ATO are discontinued due to toxicity).^{152,157} Other recommended consolidation approaches include cytarabine with daunorubicin as used in the French APL 2000 trial¹⁴⁶; cytarabine with AIDA as used in the PETHEMA LPA 2005¹⁴⁰; and 2 cycles of ATO followed by 2 additional cycles of standard chemotherapy as used in the North American Intergroup trial.¹³⁹ When using a cytarabine-containing regimen, dose adjustments of cytarabine may be needed for older patients or for patients with renal dysfunction.^{138,139} In patients who could not tolerate anthracyclines and who received ATRA and ATO for induction therapy, the reported trials continued with repeated cycles of these two agents following induction without anthracycline.^{150,151} For patients with high-risk disease and cardiac issues (eg, low ejection fraction and prolonged QTc), the NCCN AML Panel recommends ATO (0.15 mg/kg or 0.3 mg/kg) with ATRA for consolidation.^{152,157} If ATRA or ATO are discontinued due to toxicity, GO (9 mg/m²) may be considered once every 4 to 5 weeks until 28 weeks from CR. If the patient received ATRA and GO as induction therapy, consolidation with ATRA and GO should follow.¹⁵⁸ As mentioned previously, the panel suggests that a regimen should be used consistently through all components and physicians should not mix induction therapy from one trial with consolidation therapy from another.

In general, it is recommended that 4 to 6 doses of intrathecal (IT) chemotherapy be given during consolidation for high-risk patients with APL. IT chemotherapy may include agents such as methotrexate alternating with cytarabine either alone or combined with corticosteroids; the choice of single drug versus combinations may vary based on clinical situation and institutional practice. Usually the IT treatment is started at the completion of induction and then given at the start and at count recovery

on subsequent consolidations. IT chemotherapy can be omitted during cycles of higher dose cytarabine.

Post-Consolidation or Maintenance for Patients with APL

Following consolidation therapy, patients are assessed for molecular remission using RT-PCR techniques on bone marrow samples. For patients who are PCR negative, a 1- to 2-year course of ATRA maintenance therapy, which may be combined with 6-mercaptopurine and methotrexate, may be a reasonable approach. The recommendations for maintenance ATRA arose from several early trials that showed superior RFS for patients receiving ATRA alone or in combination as maintenance therapy. The French APL 93 trial randomized eligible patients (n = 289) to four different maintenance regimens: no maintenance, continuous chemotherapy with 6-mercaptopurine and methotrexate, intermittent ATRA, and the combination of ATRA with 6-mercaptopurine and methotrexate.¹²¹ Results showed decreased 2-year relapse rates with continuous chemotherapy (11.5% vs. 27% with no chemotherapy) and with ATRA (13.5% vs. 25% with no ATRA). The estimated 2-year relapse rate for patients who received maintenance with ATRA in combination with chemotherapy was 7.4%, suggesting an additive benefit with the combination. The 2-year EFS rate was also improved with continuous chemotherapy (92% vs. 77% without chemotherapy) and with ATRA (87% vs. 82% without ATRA); the 2-year EFS rate among patients who received ATRA in combination with chemotherapy was 93%.¹²¹ Results from the long-term follow-up of the APL 93 study showed a beneficial effect of maintenance treatment with intermittent ATRA and continuous chemotherapy, with an additive effect of the 2 modalities. The 10-year cumulative relapse rates with no maintenance, ATRA alone, continuous chemotherapy, and ATRA combined with chemotherapy were 43%, 33%, 23%, and 13%, respectively (*P* < .001).¹³⁷ Patients considered to be at high risk (WBC count >5000/mcL) appeared to derive the most benefit from maintenance therapy. The 10-year cumulative relapse rate among

high-risk patients with no maintenance, ATRA alone, continuous chemotherapy, and ATRA combined with chemotherapy was 68%, 53%, 33%, and 21%, respectively ($P < .001$). No statistically significant difference in the 10-year relapse rates was observed among patients with lower-risk disease, although the relapse rate dropped from 29% without maintenance to 11.5% with ATRA combined with chemotherapy. Overall, the 10-year OS rates with no maintenance, ATRA alone, continuous chemotherapy, and ATRA combined with chemotherapy were 74%, 88%, 93%, and 94%, respectively ($P < .001$).¹³⁷

The first North American Intergroup trial showed superior DFS outcomes for patients receiving maintenance ATRA compared with no maintenance.¹³⁶ In this trial, patients were randomized to induction therapy with daunorubicin plus cytarabine or with ATRA alone, and subsequently underwent a second randomization to maintenance therapy with ATRA or no maintenance (observation only). Consolidation therapy comprised the initial induction therapy regimen for course 1, and then daunorubicin and HiDAC for course 2. The 5-year DFS rates for the four randomization groups, chemotherapy induction plus observation, chemotherapy induction plus ATRA maintenance, ATRA induction plus observation, and ATRA induction plus ATRA maintenance, were 16%, 47%, 55%, and 74%, respectively.¹³⁶ Thus, the incorporation of ATRA during induction and maintenance appeared to improve long-term remission durations. It should be noted that in the above North American Intergroup trial, molecular remission status was not assessed prior to randomization to maintenance treatment.

The Japanese APL 97 randomized study evaluated the role of maintenance with intensified chemotherapy compared with observation in patients with APL who were in molecular remission following consolidation ($n = 175$).¹⁶⁵ The estimated 6-year DFS was not significantly different between the chemotherapy maintenance and observation arms (63% vs.

80%). In fact, the estimated 6-year OS was significantly lower with maintenance (86% vs. 99%; $P = .014$), which the investigators attributed to possible effects of chemotherapy maintenance on the development of secondary malignancies and responses to subsequent (second-line) therapies.¹⁶⁵

Data from the AIDA 0493 trial suggested that there was no long-term benefit to maintenance therapy (ie, combination chemotherapy with 6-mercaptopurine and methotrexate, ATRA alone, or ATRA in combination with chemotherapy) in patients who were in molecular remission (PCR negative) at the end of consolidation therapy.¹⁶⁶ In this trial, ATRA was not given during consolidation. The above studies have not demonstrated long-term benefit with the use of maintenance therapy in patients who achieve molecular remission following consolidation therapy. Further data from randomized trials are needed to address the question of maintenance. A phase III cooperative group trial (SWOG 0521) is designed to examine the need for maintenance therapy (using the combination of ATRA, 6-mercaptopurine, and methotrexate) in patients with low-risk APL. In this trial, patients receive induction therapy with ATRA, daunorubicin, and cytarabine, followed by consolidation therapy with ATO, ATRA, and daunorubicin. Patients are then randomized to receive maintenance therapy or no further treatment (observation only). No benefit for maintenance was observed.¹⁶⁷ The benefit of maintenance therapy likely depends on the regimens used during induction and consolidation therapies. Therefore, it is important to use maintenance therapy in conjunction with the treatment protocols in which they have been shown to confer benefit.

RT-PCR should be performed on a marrow sample at completion of consolidation to document molecular remission. It is at the discretion of the treating physician to determine the appropriate frequency of monitoring for individual patients. Subsequent monitoring of patients by PCR can be

performed on peripheral blood samples, although monitoring of marrow samples is a more sensitive technique and may detect earlier signs of relapse. Periodic monitoring is recommended for up to 2 years during maintenance therapy to detect molecular relapse in patients with high-risk disease, patients older than 60 years or who had long interruptions during consolidation, or patients on regimens that use maintenance and are not able to tolerate maintenance. Clinical experience indicates that the risk of relapse in patients with low-risk disease who are in molecular remission at completion of consolidation is low, and monitoring may not be necessary outside the setting of a clinical trial. At the current level of test sensitivity/specificity, a change from PCR negative to positive status should be confirmed in a bone marrow sample by a reliable laboratory within 2 to 4 weeks. If molecular relapse is confirmed by a second positive test, the patient should be treated for relapsed disease (see *Therapy for Relapsed/Refractory Disease* in the algorithm). If the second test was negative, maintenance therapy and frequent monitoring (eg, every 2–3 months) for up to an additional 2 years may be considered to ensure that the patient remains PCR negative. Testing should be done in the same laboratory to maintain a consistent level of sensitivity. For patients who develop cytopenias and who have a negative RT-PCR, a bone marrow aspirate is recommended to assess for new cytogenetic abnormalities, as secondary MDS and AML can occur following APL therapy.

Management of Relapsed APL

ATO is recommended for patients who do not achieve molecular remission at completion of consolidation or who subsequently demonstrate molecular or morphologic relapse. As a single agent, ATO produced CR rates of 80% to 90% in patients with hematologic relapse and achieved molecular remissions in 70% to 80% of those patients.^{148,168-170} In a retrospective analysis of patients with APL who relapsed after first-line therapy with ATRA combined with chemotherapy (n = 23), reinduction therapy with ATO-containing regimens (ATO monotherapy, n = 20; ATO

combined with ATRA and anthracycline, n = 2; ATO combined with mitoxantrone, n = 1) resulted in hematologic CR in 95% and molecular remission in 83% of patients.¹⁷¹ ATRA and ATO appear to be synergistic and one could consider using the combination in patients who have not received ATRA during consolidation.¹⁴⁷⁻¹⁴⁹ However, in a small randomized study of patients with relapsed APL (n = 20), all patients previously treated with ATRA-containing chemotherapy showed no improvement in response by adding ATRA to ATO compared with ATO alone.¹⁷² The role of retreatment with ATO for patients who relapse following therapy with ATO-containing regimens during initial induction and/or consolidation therapy remains unknown. A retrospective analysis in a small number of patients reported a second CR rate of 93% (both for hematologic CR and molecular remission) among patients who were retreated with ATO combined with ATRA (with or without anthracyclines) after a relapse following first-line therapy with single-agent ATO (n = 14).¹⁷¹

For patients with APL who relapse early (<6 months) after an initial CR to first-line therapy with ATRA and ATO with no prior exposure to anthracyclines, anthracycline-based regimens (ATRA plus daunorubicin and cytarabine^{136,138,139}; and AIDA alone¹⁴⁰) are recommended. For patients who experience an early relapse (<6 months) after an initial CR to ATRA and anthracycline-containing first-line regimens or with no prior exposure to ATO, it is recommended that the patient receive ATO with or without ATRA, and with or without GO until count recovery with marrow confirms remission. For patients who experience a late relapse (≥6 months) to ATO-containing regimens, ATO with or without ATRA, and with or without GO/an anthracycline is recommended as first-line therapy after relapse. Following completion of the first cycle of consolidation, if the patient does not enter molecular remission, a matched sibling or alternative donor (haploidentical, unrelated donor, or cord blood) HCT or clinical trial is recommended. Testing is recommended at least 2 to 3 weeks after the completion of arsenic to avoid false positives.

A small phase II trial in patients with relapsed APL evaluated ATO during induction and consolidation followed by a peripheral blood hematopoietic cell harvest after HiDAC chemotherapy and autologous HCT.¹⁷³ The study enrolled 35 patients (16 with hematologic relapse and 9 with molecular relapse) between the ages of 18 and 65 years. The EFS after 1 year was 77% (90% CI, 63%–86%). At a median follow-up of 4.9 years (range, 0.3–6.3 years), the 5-year EFS was 65% and the 5-year OS was 77% with an estimated 59% probability of failure-free survival.¹⁷³ The data suggest that this sequential treatment regimen may provide improved outcomes with greater duration.

A retrospective analysis conducted by the European APL Group showed that in patients who received HCT following a second hematologic remission (primarily with ATRA-containing regimens), outcomes were more favorable with autologous HCT (n = 50) compared with allogeneic HCT (n = 23). The 7-year RFS (79% vs. 92%) and EFS (61% vs. 52%) rates did not reach statistical significance between patients who received autologous HCT versus allogeneic HCT; however, 7-year OS rates were significantly improved with autologous compared with allogeneic HCT (60% vs. 52%; $P = .04$).¹⁷⁴ Among patients who received a PCR-negative autograft, the 7-year RFS and OS rates were 87% and 75%, respectively. Although the relapse rates were low with allogeneic HCT, the reduced OS with this procedure was accounted for by the higher treatment-related mortality observed in the allogeneic HCT group compared with the autologous HCT group (39% vs. 6%).¹⁷⁴

A second study also suggested that autologous transplant could have a survival advantage over allogeneic transplant in this population.¹⁷⁵ Chakrabarty et al¹⁷⁵ looked at 294 patients who received either allogeneic transplant (n = 232) or autologous transplant (n = 62) between 1995 and 2006. The 5-year DFS in the autologous transplant recipients was 63% (range, 49%–75%) versus 50% (range, 44%–57%) in patients receiving

allogeneic transplant. Although the DFS was not statistically significant ($P = .1$), the difference in OS did reach statistical significance ($P = .002$). In the patients receiving autologous transplant, OS was 75% (range, 63%–85%) versus 50% (range, 48%–61%). The authors attribute this benefit to the increased treatment-related mortality seen with patients receiving allogeneic transplant (30%) compared to autologous transplant (2%).

It should be noted that only limited evidence from retrospective studies exist with regard to the role of autologous and allogeneic HCT following relapse of APL in the era of ATO therapy. The optimal consolidation strategy following therapy with ATO-containing regimens in patients with relapsed disease remains to be defined.¹⁷⁶ In a small retrospective study of patients with relapsed APL treated with ATO-containing induction and consolidation therapy, outcome of further consolidation with autologous HCT was compared with maintenance (without autologous HCT) consisting of ATO with or without ATRA.¹⁷¹ In this analysis, all patients had achieved second molecular remission following induction and consolidation therapy with the ATO-containing regimens; subsequently, 14 patients underwent autologous HCT and 19 patients opted for an ATO-containing maintenance regimen. Consolidation with autologous HCT was associated with a significantly higher 5-year EFS rate (83% vs. 34.5%; $P = .001$) and OS rate (100% vs. 38.5%; $P = .001$) compared with ATO-containing maintenance therapy.¹⁷¹ The authors concluded that consolidation with autologous HCT was superior to ATO-containing maintenance alone in patients who achieved molecular remission after relapse. Outcome data from the ELN registry reported a 3-year OS after transplant in second CR of 80% compared with 59% in patients without transplant ($P = .03$).¹⁷⁷

In the context of a clinical trial or on compassionate use, GO is a potential treatment option for relapsed APL. The voluntary withdrawal of the drug in 2010 was based on interim data from a randomized trial in adult patients

(aged 18–60 years) with AML comparing induction regimens of cytarabine and daunorubicin with or without GO in which there was no improvement in outcomes and a small but significant increase in early mortality in the GO arm.¹⁷⁸ Subsequent results of this trial eventually showed no difference in overall mortality between the two arms.¹⁷⁹ Since its withdrawal from the market, studies have demonstrated a significant benefit for GO in specific patient populations. Therefore, GO has been re-approved for AML. One complication to evaluating the benefit of GO is that APL occurs in a small population of patients, and therefore studies do not have the numbers to enroll for a suitable trial. The benefit of GO must be weighed against the possibility for adverse events. Clinicians should be advised of the possible complication of sinusoidal obstructive syndromes when administering GO.

A small percentage of relapsed APL has a CNS component.^{180,181} Therefore, for patients who are in second morphologic remission, the use of IT therapy for CNS prophylaxis should be considered. Patients who achieve a molecular remission after second-line therapy should be considered for autologous HCT if they do not have contraindications to high-dose therapy. Allogeneic transplant should be reserved for patients who have persistent disease despite therapy for relapsed disease. For patients in second CR who have contraindications to HCT, continued therapy with ATO for six cycles is recommended in the absence of a suitable clinical trial.

Supportive Care for Patients with APL

Specific supportive care issues should be considered when treating patients with APL. Therapy for APL is often associated with a constellation of symptoms and physiologic abnormalities, including fluid retention, dyspnea, episodic hypotension, pulmonary infiltrates, and pulmonary or pericardial effusions now referred to as “differentiation syndrome.” Approximately 15% to 25% of previously untreated patients receiving

ATRA-containing therapy develop this syndrome.^{182,183} Patients may begin to develop evidence of differentiation syndrome early in the treatment with either ATRA or ATO as single agents or in combination. These patients develop fever, often accompanied by rapidly rising WBC counts (>10,000/mcL). Patients should be closely monitored for hypoxia and the development of pulmonary infiltrates or pleural effusion. Differentiation syndrome along with hemorrhage are the leading causes of death during induction therapy. Early recognition and prompt initiation of corticosteroids are key components in the management of this complication. In some studies, low mortality and morbidity rates were reported when corticosteroids were administered prophylactically in patients presenting with high WBC counts.^{144,184} Kelaidi et al¹⁸⁵ assessed the outcomes of patients with high WBC (>10,000/mcL) enrolled in the APL 93 and APL 2000 trials.¹⁸⁵ A fundamental difference between these two trials was the use of dexamethasone (10 mg every 12 hours beginning on day 1) for patients on APL 2000. The early death rate from differentiation syndrome dropped from 8 in 139 patients (6%) in the APL 93 trial to 2 in 133 patients (1.5%) in the APL 2000 trial.

There should be a high index of suspicion for differentiation syndrome in APL patients who may be triggered by symptoms including fever, an increasing WBC count greater than 10,000/mcL, shortness of breath, hypoxemia, and pleural or pericardial effusion. Close monitoring of volume overload and pulmonary status is warranted in these patients and initiation of dexamethasone should occur at the first signs or symptoms of respiratory compromise (ie, hypoxia, pulmonary infiltrates, pericardial or pleural effusions). The NCCN AML Panel recommends treating with dexamethasone 10 mg twice a day for 3 to 5 days, then tapering the dose over 2 weeks (see *Supportive Care* in the algorithm). ATRA may need to be withheld during the initial acute symptomatic period but may be resumed when symptoms resolve. Other factors that have been reported to increase the risk of differentiation syndrome include a high body mass

index and age older than 40 years. For patients at high risk (WBC count >10,000/mcL) of developing differentiation syndrome, initiate prophylaxis with corticosteroids, either prednisone (0.5 mg/kg) from day 1 or dexamethasone 10 mg every 12 hours (see *Supportive Care* in the algorithm). The steroid dose should be tapered over a period of several days. It is recommended that the prophylaxis regimen follow the specific treatment protocol used. In the Australia/New Zealand study that evaluated induction with ATO added to a backbone of AIDA (phase II APLM4 trial), all patients received prednisone (1 mg/kg/d for at least 10 days) as prophylaxis for differentiation syndrome regardless of initial WBC count [see *Treatment Induction (High Risk)* in the algorithm].¹⁵⁵ In the Italian-German Cooperative Group study that evaluated ATRA combined with ATO versus the AIDA regimen (phase III APL0406 trial), patients received prophylaxis with prednisone (0.5 mg/kg/d) from day 1 until the end of induction [see *Treatment Induction (Low Risk)* in the algorithm].¹⁴² If a patient develops differentiation syndrome, it is recommended that treatment be changed from prednisone to dexamethasone 10 mg every 12 hours until count recovery or risk of differentiation has abated.^{140,142}

Leukapheresis is not routinely recommended in the management of patients with high WBC counts in APL because of the difference in leukemia biology. However, in cases of potentially life-threatening leukostasis not responsive to other modalities, leukapheresis can be considered with caution.

Because coagulopathy is common in patients with APL, it is important to screen for this problem with evaluation of prothrombin time, partial thromboplastin time, and fibrinogen concentration during the initial workup and before any invasive procedure. Clinical coagulopathy is managed by aggressive transfusion support to maintain platelet counts of 50,000/mcL or greater, by fibrinogen replacement with cryoprecipitate and frozen plasma to maintain a level of 150 mg/dL, and by maintenance of

prothrombin time and partial thromboplastin time close to normal. Patients with clinical coagulopathy need to be monitored daily until resolution. Given the risks of coagulopathy in APL at diagnosis, invasive procedures including leukopheresis and/or central line placement should be avoided. If possible, the diagnosis of APL may be made using peripheral blood samples, which may minimize risk bleeding complications until coagulopathy can be adequately controlled.

ATO therapy may prolong the QT interval, making patients susceptible to ventricular arrhythmias. Therefore, prior to initiation of therapy, an ECG is recommended to assess the QT interval. Routine monitoring (eg, weekly) during therapy is suggested for older patients. Serum electrolytes should also be monitored prior to and during therapy to maintain electrolytes within the middle or upper normal range. Other drugs that prolong the QT interval should be avoided during ATO therapy to minimize the risk of cardiac arrhythmias. Patients with an absolute QT interval greater than 500 milliseconds should be reassessed on a weekly basis during induction therapy, and prior to each course of post-remission therapy.

Growth factors are not recommended during induction for patients with APL as they can complicate assessment of response and increase the risk of differentiation syndrome. There is no evidence for whether growth factors have a positive or negative impact on long-term outcome if used during consolidation. However, growth factors may be considered during consolidation in selected cases, including in the event of life-threatening infections, or when signs/symptoms of sepsis are present, in an attempt to shorten the duration of neutropenia.

Management of AML

Most initial treatment decisions for AML are based on age, history of prior myelodysplasia or cytotoxic therapy, and performance status. Although karyotype and molecular markers are powerful predictors of DFS

outcomes, induction chemotherapy will be initiated before this information is available in most instances. The intent of traditional induction chemotherapy is to produce a major reduction in the leukemic burden and to restore normal hematopoiesis.

Recommendations for induction chemotherapy in patients with AML consider age 60 years as a therapeutic divergence point. This is based on the higher prevalence of unfavorable cytogenetics and antecedent myelodysplasia, along with a higher incidence of multidrug resistance in patients older than 60 years, and an increased frequency of comorbid medical conditions that affect the patient's ability to tolerate intensive treatment.¹⁸⁶ Because complete remission rates rarely exceed 70% in younger patients and 50% in older patients, substantial opportunity exists for innovative clinical trials involving both patient populations. The guidelines consider recommendations for patients older or younger than 60 years of age separately.

Management of AML in Patients Younger Than 60 Years

Induction Therapy

Standard induction regimens used for patients younger than age 60 years are based on a backbone of cytarabine plus an anthracycline. Historically, in most large cooperative group trials, daunorubicin has been the most commonly used anthracycline at doses of 45 to 60 mg/m² daily for 3 days. Idarubicin, which has a longer intracellular retention time, used at doses of 12 mg/m² daily for 3 days, has had comparable remission rates with fewer patients requiring additional therapy at day 15 to achieve remission. CR rates for patients who are 50 years or younger have consistently been in the range of 60% to 70% in most large cooperative group trials of infusional cytarabine and anthracycline. Recent studies have incorporated targeted strategies according to cytogenetics and molecular abnormalities, and the current NCCN Guidelines for AML outline treatment strategies according to these cytogenetic risk groups.

Risk-Stratified Treatment Strategies

Favorable-risk Cytogenetics

Cytarabine and anthracycline dose during induction: A large randomized phase III study (E1900) from the Eastern Cooperative Oncology Group (ECOG) reported a significant increase in CR rate (71% vs. 57%; $P < .001$) and median OS (24 vs. 16 months; $P = .003$) using daunorubicin 90 mg/m² daily for 3 days ($n = 327$) versus 45 mg/m² daily for 3 days ($n = 330$) in patients with previously untreated AML younger than 60 years.¹⁸⁷ Based on subgroup analyses, however, the survival benefit with high-dose daunorubicin was shown to be restricted to patients with favorable- and intermediate-risk cytogenetic profiles (median OS, 34 vs. 21 months; $P = .004$) and those younger than 50 years (median OS, 34 vs. 19 months; $P = .004$). The survival outcome for patients with unfavorable cytogenetics was poor, with a median OS of only 10 months in both treatment arms.¹⁸⁷ In an update of the E1900 trial, high-dose daunorubicin maintained a higher response than standard-dose daunorubicin in patients younger than 50 years of age (HR, 0.66; $P = .002$).¹⁸⁸ This benefit was seen regardless of risk cytogenetics. In addition, patients with *FLT3*-ITD, *DNMT3A*, and *NPM1* mutant AML had improved OS. Patients between 50 and 60 years of age with *FLT3*-ITD or *NPM1* also benefitted from high-dose daunorubicin.¹⁸⁸ High-dose daunorubicin was previously evaluated in a European trial that compared idarubicin 12 mg/m² daily for 3 or 4 days versus daunorubicin 80 mg/m² daily for 3 days in patients between ages 50 and 70 years; CR rates were 83%, 78%, and 70%, respectively ($P = .04$).¹⁸⁹ No difference was seen in relapse rate, EFS, or OS outcomes between the treatment arms.

In a systematic review and meta-analysis of 29 randomized controlled trials (RCTs) comparing idarubicin to daunorubicin,¹⁹⁰ idarubicin had a lower remission failure rate compared to daunorubicin (RR, 0.81; 95% CI, 0.66–0.99; $P = .04$), but no difference was observed in early death or overall mortality. Furthermore, this benefit was only seen when the dose

ratio between daunorubicin and idarubicin was less than 5. Both high-dose daunorubicin and idarubicin resulted in 5-year survival rates between 40% and 50%.¹⁹⁰

It has been suggested that a dose of 60 mg/m² daunorubicin may be equally as effective as 90 mg/m² and have a lower toxicity. A study from Burnett et al¹⁹¹ compared these two doses in 1206 patients who were predominately younger than 60 years of age. There was no difference in CR (73% vs. 75%; OR, 1.07; 95% CI, 0.83–1.39; *P* = .60). The 60-day mortality was higher in the patients receiving 90 mg/m² (10% vs. 5%; HR, 1.98; 95% CI, 1.30–3.02; *P* = .001), though the 2-year OS was similar (59% vs. 60%; HR, 1.16; 95% CI, 0.95–1.43; *P* = .15).¹⁹⁰ It is worth noting that all patients received a second course of chemotherapy that included additional daunorubicin (50 mg/m²) on days 1, 3, and 5, which may potentially have mitigated the effects of a 90 mg/m² daunorubicin dose.

CD33-positive AML: GO is a humanized anti-CD33 monoclonal antibody conjugated with the cytotoxic agent calicheamicin,¹⁹² that was initially approved in 2000 as a monotherapy for AML based on data from single-arm phase II trials for older adult patients in first relapse.¹⁹³ The voluntary withdrawal of the drug in 2010 was based on interim data from a randomized trial in adult patients (aged 18–60 years) with AML comparing induction regimens of cytarabine and daunorubicin with or without GO in which there was no improvement in outcomes and a small but significant increase in early mortality in the GO arm.¹⁷⁸ Subsequent results of this trial eventually showed no difference in overall mortality between the two arms.¹⁷⁹ Since its withdrawal from the market, studies have demonstrated a significant benefit for GO in specific patient populations. In the MRC AML 15 trial, the efficacy and safety of adding GO (3 mg/m² on day 1 of induction) to three induction regimens, including daunorubicin (50 mg/m² on days 1, 3, and 5) and cytarabine (100 mg/m² on days 1-10 every 12 hours), was evaluated in patients 60 years or younger with previously

untreated AML (*n* = 1,113).¹⁹⁴ The addition of GO was well tolerated and there were no differences in RFS or OS rates between arms that received or did not receive GO. The patients predicted to derive significant benefit with GO addition to chemotherapy included those with favorable-risk cytogenetics, with a trend towards benefit for those with intermediate-risk cytogenetics.¹⁹⁴ A meta-analysis of five randomized trials (including adult patients ≥60 years) showed that adding GO (including alternative dosing schedules) to conventional induction therapy also provides survival benefit.¹⁹⁵ A review of these and other studies (see *Management of AML in Patients Older than 60 Years*) led to the approval of GO in September 2017 for the treatment of adults with newly diagnosed CD33-positive AML.

***KIT* mutated AML:** Emerging studies are evaluating the impact of adding dasatinib, a TKI, to AML therapy in CBF-AML with *KIT* mutations.^{196,197}

Intermediate-risk Cytogenetics

***FLT3*-positive AML:** The majority of *FLT3*-mutated AML cases occur in patients with intermediate-risk cytogenetics. Data have demonstrated improved survival for patients with newly diagnosed *FLT3*-mutation–positive AML when midostaurin is added to standard chemotherapy as part of frontline treatment.¹⁹⁸⁻²⁰⁰ This led to its breakthrough designation and approval by the FDA in 2017. In the CALGB 10603/RATIFY Alliance trial, patients aged 18 to 59 years, with newly diagnosed *FLT3*-mutation–positive AML (ITD or TKD) were randomized (*n* = 717) to receive standard cytarabine therapy (200 mg/m² daily for 7 days via continuous infusion) and daunorubicin (60 mg/m² on days 1–3) with placebo or midostaurin (50 mg, twice daily on days 8–21).²⁰⁰ If residual disease in the bone marrow was observed on day 21, patients were treated with a second blinded course. Patients who achieved CR received 4 28-day cycles of HiDAC (3 g/m² every 12 hours on days 1, 3 and 5) with placebo or midostaurin (50 mg, twice a day on days 8–21) followed by a year of maintenance therapy with placebo or midostaurin (50 mg twice a day).²⁰⁰ The median OS was

74.7 months (95% CI, 31.5–not reached [NR]) in the midostaurin group compared to 25.6 months (95% CI, 18.6–42.9) in the placebo group ($P = .009$).²⁰⁰ Patients who received midostaurin with standard induction and consolidation therapy experienced significant improvement in OS (HR for death, 0.78; $P = .009$) and EFS (HR for event or death, 0.78; $P = .002$) compared with those on the placebo arm.²⁰⁰

Some studies suggest that a higher dose of daunorubicin (90 mg/m²), compared to lower doses of either 45 or 60 mg/m², is significantly associated with increased CR and survival rates in patients with intermediate-risk cytogenetics and those who have *FLT3*-ITD mutation–positive AML.^{201,202} A phase III study compared idarubicin (12 mg/m² for 3 days) and high-dose daunorubicin (90 mg/m² for 3 days) with standard cytarabine therapy during induction in young adults with newly diagnosed AML (age range, 15–65 years). It was determined that high-dose daunorubicin was associated with higher OS and EFS rates in patients with *FLT3*-ITD mutation–positive AML.²⁰³ However, these studies did not include midostaurin.

Therapy-Related AML or Antecedent MDS/CMML or AML-MRC

Although most cases of AML are *de novo*, secondary AML and therapy-related AML account for approximately 25% of all AML cases and are associated with poor outcomes.^{204,205} Emerging data have demonstrated improved survival in older patients with secondary AML when a dual-drug liposomal formulation of cytarabine and daunorubicin in a 5:1 molar ratio (CPX-351) is used as frontline therapy.²⁰⁶⁻²⁰⁸ In a phase II trial, newly diagnosed older patients (age ≥60 years) with AML ($n = 126$), were randomized 2:1 to first-line CPX-351 or the conventional administration of cytarabine and daunorubicin (7+3 regimen).²⁰⁷ Compared to the standard 7+3 regimen, CPX-351 produced higher response rates (CPX-351, 66.7% vs. 7+3, 51.2%; $P = .07$), however differences in EFS and OS were not statistically significant.²⁰⁷ A planned analysis of the secondary AML

subgroup demonstrated that CPX-351 was associated with a higher complete response rate (57.6% vs. 31.6%; $P = .06$).²⁰⁷ These results led to the development of a randomized phase III study comparing the efficacy and safety of CPX-351 to the conventional administration of cytarabine and daunorubicin (control arm) in patients 60–75 years of age with newly diagnosed secondary AML ($n = 309$).²⁰⁸ With a median follow-up of 20.7 months, CPX-351 significantly improved OS compared to the control arm (median, 9.56 vs. 5.95 months; HR, 0.69; 95% CI, 0.52-0.90; $P = .003$).²⁰⁸ CPX-351 was also associated with significantly higher overall remission (47.7% vs. 33.3%; $P = .016$) and CR (37.3% vs. 25.6%; $P = .04$) rates. The most frequently reported grade 3 to 5 adverse events in the CPX-351 and control groups were febrile neutropenia (68.0% vs. 70.9%), pneumonia (19.6% vs. 14.6%), and hypoxia (13.1% vs. 15.2%).²⁰⁸

Other Regimens for Intermediate- or Poor-risk Cytogenetics

Standard-dose cytarabine, anthracycline, and cladribine: A phase III randomized trial from the Polish Adult Leukemia Group evaluated the efficacy and safety of adding a purine analog to an induction regimen comprising daunorubicin and cytarabine in patients 60 years or younger with previously untreated AML ($n = 652$).²⁰⁹ In this study, patients were randomized to the following treatment arms: daunorubicin and cytarabine (daunorubicin 60 mg/m² daily for 3 days and cytarabine 200 mg/m² continuous infusion for 7 days; DA arm); DA with addition of cladribine (5 mg/m² daily for 5 days; DAC arm); and DA with addition of fludarabine (25 mg/m² daily for 5 days; DAF arm). Patients with a partial response after induction could receive a second cycle of the assigned induction regimen. Post-remission treatment was the same in the 3 arms. Patients with a CR after induction received consolidation with a course of intermediate-dose cytarabine (1.5 g/m² on days 1–3) and mitoxantrone (10 mg/m² on days 3–5), followed by a course of HiDAC (2 g/m² every 12 hours on days 1, 3, and 5).²⁰⁹ A similar proportion of patients in the 3 arms proceeded to allogeneic HCT. The DAC regimen resulted in a significantly higher CR

rate after induction (67.5% vs. 56%; $P = .01$) and improved OS outcomes (median, 24 months vs. 14 months; 3-year OS, 45% vs. 33%; $P = .02$) compared with the DA arm. Based on subgroup analysis, significant improvements in OS with DAC compared with DA were observed for patients 50 years and older, those with initial WBC count $50 \times 10^9/L$ or greater, and patients with high-risk karyotype.²⁰⁹ No significant improvements in efficacy were observed in the overall DAF arm with regard to CR rate (59%) or OS (median, 16 months; 3-year OS rate, 35%); however, in subgroup analysis, significant improvements with DAF compared with DA were observed among patients with high-risk karyotype. The incidence of hematologic toxicities and other adverse events were similar among treatment arms.²⁰⁹ Although this randomized trial showed an advantage for the addition of cladribine to a standard induction regimen, bone marrow aspirates were not performed after the first cycle of induction until either counts recovered or blasts reappeared in the peripheral blood, which would delay administration of a second cycle of induction compared to standard practice in the United States.

High-dose cytarabine-containing regimens: The use of HiDAC as induction therapy continues to be a controversial approach. The most recent study from the EORTC-GIMEMA AML-12 trial suggests that HiDAC (3 g/m² every 12 hours on days 1, 2, 5, and 7) improves outcome in patients who are younger than 46 years of age.²¹⁰ This study randomized 1900 patients between the ages of 15 and 60 years into two treatment groups, HiDAC and standard-dose cytarabine (SDAC; 100 mg/m²/d by continuous infusion for 10 days). Both groups were also given daunorubicin (50 mg/m²/d on days 1, 3, and 5) and etoposide (50 mg/m²/d on days 1–5). Data from a median 6-year follow-up indicate an OS near statistical significance (HiDAC, 42.5% vs. SDAC, 38.7%; $P = .06$), and when separated by age with a cutoff of 46 years, the benefit was relegated to the younger patient cohort (HiDAC, 51.9% vs. SDAC, 43.3%; $P = .009$) compared to patients 46 years of age or older (HiDAC, 32.9% vs. SDAC,

33.9%; $P = .91$). Other populations that benefited from HiDAC were high-risk patients including patients with very poor-risk cytogenetic abnormalities and/or *FLT3*-ITD mutation or with secondary AML. There was no significant increase in grade 3 or 4 toxicities except for an increase in conjunctivitis (grade 2–3) with HiDAC (12.4%) versus SDAC (0.5%). Incidence of adverse events was equivalent (SDAC, 67.6% vs. HiDAC, 66.2%). Patients in CR received a single consolidation cycle of daunorubicin and cytarabine (500 mg/m² every 12 hours for 6 days) and subsequent HCT.²¹⁰

HiDAC therapy during induction was initially explored two decades ago in 2 large cooperative group trials. In an Australian Leukemia Study Group trial,^{211,212} patients younger than 60 years were randomized ($n = 301$) to receive either HiDAC (3 g/m² every 12 hours on days 1, 3, 5, and 7 for a total of 24 g/m²) or standard cytarabine therapy (100 mg/m² daily for 7 days via continuous infusion); patients in both arms received daunorubicin (50 mg/m² on days 1–3) and etoposide (75 mg/m² daily for 7 days). The CR rates were equivalent in both arms (71% and 74%, respectively), and a significantly higher 5-year RFS rate was observed in the HiDAC arm (48% vs. 25%; $P = .007$).²¹² Patients in both treatment arms received only 2 cycles of standard-dose cytarabine, daunorubicin, and etoposide for consolidation therapy. Median remission duration was 45 months for the high-dose arm, compared with 12 months for the standard treatment arm.²¹¹ However, treatment-related morbidity and mortality were higher in the HiDAC arm; the 5-year OS rates were 33% in the high-dose arm compared with 25% in the standard-dose arm.²¹²

In a large SWOG study,²¹³ patients younger than 65 years ($n = 665$) with *de novo* or secondary AML were randomized to receive HiDAC (2 g/m² every 12 hours for 6 days for a total of 24 g/m²; patients aged <50 years were initially randomized to receive 3 g/m² at the above schedule before the high-dose arm was redefined to 2 g/m² because of toxicity concerns)

or standard-dose cytarabine (200 mg/m² daily for 7 days); patients in both treatment arms also received daunorubicin (45 mg/m² daily for 3 days). Patients treated in the HiDAC arm received a second high-dose cycle for consolidation, whereas patients in the standard-dose arm were randomized to receive consolidation therapy with either 2 cycles of standard-dose cytarabine or 1 cycle of HiDAC plus daunorubicin. The CR rates were similar, with 55% for the high-dose arm compared with 58% for the standard-dose arm for patients younger than 50 years, and 45% for HiDAC versus 53% for standard-dose therapy for patients 50 to 65 years of age. DFS rate (for patients with a CR) and OS rate (for all patients) at 4 years were not significantly different among treatment arms. Induction therapy with HiDAC was associated with significantly higher rates of treatment-related mortality (14% vs. 5% for patients aged <50 years; 20% vs. 12% for patients aged 50–64 years; *P* = .003) and grade 3 or higher neurologic toxicity (8% vs. 2% for patients aged <50 years; 5% vs. 0.5% for patients aged 50–64 years; *P* < .0001).²¹³ For patients younger than 50 years, consolidation with HiDAC was associated with similar rates of treatment-related mortality (2% vs. 0%) and grade 3 or higher neurologic toxicity (2% vs. 0%) compared with the standard dose. For the original cohort of patients younger than 50 years who received 3 g/m² HiDAC for induction, the rates of treatment-related deaths (10% vs. 5%) and grade 3 or greater neurologic toxicity (16% vs. 2%) were higher than for those who received the standard dose. Similarly, for patients younger than 50 years who received 3 g/m² HiDAC for consolidation, the rates of treatment-related deaths (4% vs. 0%) and grade 3 or greater neurologic toxicity (16% vs. 0%) were higher than for those who received the standard dose.²¹³

Younger patients (age <50 years) who received HiDAC induction and consolidation in the SWOG trial had the highest OS and DFS rates at 4 years (52% and 34%, respectively) compared with those who received standard-dose induction and consolidation (34% and 24%, respectively) or

standard induction with high-dose consolidation (23% and 14%, respectively).²¹³ However, the percentage of patients achieving a CR who did not proceed to consolidation was twice as high in the HiDAC induction arm.²¹³ The risks for neurotoxicity and renal insufficiency are increased with HiDAC; therefore, both renal and neurologic function should be closely monitored in patients receiving this treatment. In a CALGB trial,²¹⁴ the subgroup of patients aged 60 years or younger (*n* = 156) who received standard-dose cytarabine-daunorubicin induction therapy and 4 courses of HiDAC consolidation (3 g/m² every 12 hours on days 1, 3, and 5, per course) experienced a 4-year DFS rate of 44%. Among all patients who received consolidation with HiDAC, the rates of treatment-related deaths and serious neurotoxicity were 5% and 12%, respectively.²¹⁴

Because the OS outcomes for the high-dose arm in the SWOG trial consisting of HiDAC induction and 2 cycles of HiDAC consolidation (4-year OS rate of 52% for patients aged <50 years) were comparable to those of the CALGB trial with standard-dose infusional cytarabine induction and 4 cycles of HiDAC consolidation (4-year OS rate of 52% for patients aged ≤60 years), the use of HiDAC in the induction phase outside of a clinical trial remains controversial. A meta-analysis including 22 trials and 5945 patients with de novo AML younger than 60 years of age demonstrated improved RFS and reduced risk of relapse, particularly in the favorable-risk cytogenetics, for patients receiving HiDAC versus standard chemotherapy.²¹⁵ However, toxicity was a limiting factor and emphasis was placed on the importance of future studies to define the populations that would most benefit from HiDAC and to optimize dosing recommendations. The decision to use high- versus standard-dose cytarabine for induction might be influenced by consolidation strategies; fewer high-dose consolidation cycles may be needed for patients induced with HiDAC or for those who will undergo early autologous HCT. Although the remission rates are similar for high- and standard-dose cytarabine, 2 studies have shown more rapid marrow blast clearance after 1 cycle of

high-dose therapy and a DFS advantage for patients aged 50 years or younger who received the high-dose therapy.²¹⁶ No data are available using more than 60 mg/m² of daunorubicin or 12 mg/m² of idarubicin with HiDAC. With either high- or standard-dose cytarabine-based induction for younger patients, between 20% and 45% of these patients will not enter remission. In a report of 122 patients treated with HiDAC and daunorubicin, the remission rates were strongly influenced by cytogenetics, with CR rates of 87%, 79%, and 62% for favorable-, intermediate-, and poor-risk groups, respectively.²¹⁷

In the MRC AML 15 trial, younger patients with untreated AML (median age, 49 years), were randomized to two induction courses of: (i.) daunorubicin and cytarabine with or without etoposide (ADE; n = 1983), or (ii.) ADE versus fludarabine, cytarabine, granulocyte colony-stimulating factor (G-CSF), and idarubicin (FLAG-Ida; n = 1268).²¹⁸ In consolidation, patients were randomized to amsacrine, cytarabine, etoposide, and then mitoxantrone/cytarabine, or HiDAC (3 g/m²; n = 1445).²¹⁸ Patients in the HiDAC arm received 1.5 g/m² in consolidation, and were treated with or without a fifth course of cytarabine (n = 227). There were no significant differences in the rate of CR between ADE and FLAG-Ida (81% vs. 84%, respectively), but FLAG-Ida significantly decreased relapse rates (FLAG-Ida, 38% vs. ADE, 55%; *P* < .001).²¹⁸ A recent randomized phase III study from the HOVON/SAKK groups compared standard cytarabine/idarubicin induction with or without clofarabine (10 mg/m² on days 1–5) for patients with AML between the ages of 18 to 65 years.²¹⁹ While there was no difference in the OS and EFS in the group as a whole, there was a decrease in relapse rate counter balanced by an increased rate of death in remission for the clofarabine arm. In a subset analysis, there was a significant improvement in OS and EFS for the ELN intermediate I group, primarily in patients in the *NPM1* wild-type/*FLT3*-ITD–negative subgroup with a 4-year EFS of 40% for the clofarabine arm versus 18% for the control arm.²¹⁹

NCCN Recommendations

The NCCN AML Panel strongly encourages enrollment in a clinical trial for treatment induction of younger patients (aged <60 years) with AML. For patients not enrolled in a clinical trial, cytogenetics and the risk status of the disease guide treatment strategies. For patients with favorable-, intermediate- and poor-risk cytogenetics, infusional standard-dose cytarabine (100–200 mg/m² continuous infusion) for 7 days combined with either idarubicin (12 mg/m² for 3 days) or daunorubicin (60–90 mg/m² for 3 days) is a category 1 recommendation.¹⁸⁷ For patients with intermediate-risk AML, midostaurin and GO are added to standard-dose cytarabine (200 mg/m² continuous infusion) for 7 days combined with daunorubicin (60 mg/m² for 3 days) for patients with *FLT3*- and CD33-positive AML, respectively, as category 2A recommendations.^{194,200}

Patients with antecedent hematologic disease or treatment-related AML are considered poor-risk, unless they have favorable cytogenetics such as t(8;21), inv(16), or t(16;16). In addition, patients with unfavorable karyotypes, such as 11q23 abnormalities, monosomy -5 or -7, monosomal karyotype, or complex cytogenetic abnormalities and mutations including *RUNX1*, *ASXL1*, and *TP53*, are also considered to have poor risk. Although all patients with AML are best managed within the context of an appropriate clinical trial, it is particularly important that this poor-risk group of patients should be entered into a clinical trial (incorporating either chemotherapy or novel agents), if available, given that only 40% to 50% of these patients experience a CR (approximately 25% in older adult patients with poor-risk cytogenetics) with standard induction therapy. In addition, HLA testing should be performed promptly in those who may be candidates for either fully ablative or reduced-intensity conditioning (RIC) allogeneic HCT from a matched sibling or an alternative donor, which constitutes the best option for long-term disease control.²²⁰ For younger patients (aged <60 years) with therapy-related AML other than CBF/APL, antecedent MDS/CMML, and cytogenetic changes consistent with MDS

(AML-MRC), CPX-351 [daunorubicin (44 mg/m²) and cytarabine (100 mg/m²)] as an intravenous infusion over 90 minutes on days 1, 3, and 5 of 1 cycle is a category 2B recommendation, because the trial did not include this patient population.²⁰⁸

Other recommended regimens for intermediate- or poor-risk disease include standard-dose cytarabine (200 mg/m² continuous infusion for 7 days) combined with daunorubicin (60 mg/m² for 3 days) and cladribine (5 mg/m² for 5 days) as a category 2A recommendation.²⁰⁹ HiDAC plus an anthracycline as induction therapy is a category 1 recommendation for patients 45 years of age or younger, though it remains a category 2B recommendation for other age groups.^{210,211,213,216} The study from Willemze et al²¹⁰ that demonstrated improved OS for patients between the ages of 15 and 45 years treated on this regimen was integral in the change of the recommendation to category 1 for this age group. Fludarabine (30 mg/m² IV for days 2–6) plus HiDAC (2 g/m²) over 4 hours starting 4 hours after fludarabine in combination with idarubicin (8 mg/m² IV days 4–6) and G-CSF (SC daily on days 1–7) is a category 2B recommendation.²¹⁸ For patients with impaired cardiac function, other cytarabine-based regimens combined with non-cardiotoxic agents can be considered.

Postinduction Therapy

After Standard-Dose Cytarabine Induction

To judge the efficacy of the induction therapy, a bone marrow aspirate and biopsy should be performed 14 to 21 days after start of therapy (see AML-9). In patients who have received standard-dose cytarabine induction and have significant residual disease without hypoplasia (defined as cellularity less than 20% of which the residual blasts are less than 5% [ie, blast percentage of residual cellularity]), additional therapy with standard-dose cytarabine and anthracycline or escalation to HiDAC (1.5–3 g/m² every 12 hours for 6 days) may be considered for re-induction; no data are available

to determine superiority of standard-dose cytarabine or HiDAC. After a bone marrow biopsy on day 21, standard-dose cytarabine with anthracycline and midostaurin should be considered for patients with *FLT3*-mutation–positive AML.²⁰⁰ If dual-drug liposomal encapsulation of daunorubicin and cytarabine was given during induction, after a bone marrow biopsy on day 14, re-induction with CPX-351 [daunorubicin (44 mg/m²) and cytarabine (100 mg/m²)] as an intravenous infusion over 90 minutes on days 1 and 3 is recommended for patients with therapy-related AML other than CBF/APL, antecedent MDS/CMML, or AML-MRC.²⁰⁸ Treatments for induction failure may also be considered.

For patients with significant (>50%) cytoreduction and a low percentage of residual blasts (as defined above), standard-dose cytarabine with idarubicin or daunorubicin, or standard-dose cytarabine with daunorubicin and midostaurin for *FLT3* mutant AML patients is recommended. For patients who have residual blasts after induction with standard-dose cytarabine combined with daunorubicin and cladribine, a second cycle of the same induction regimen may be administered if >50% cytoreduction is observed. If daunorubicin (90 mg/m²) was used in induction, the recommended dose for reinduction of daunorubicin prior to count recovery is 45 mg/m² for no more than 2 doses. Similarly, if idarubicin (12 mg/m²) was used for induction, the early reinduction dose should be limited to 10 mg/m² for 1 or 2 doses. If the marrow is hypoplastic, additional treatment selection is deferred until the remission status can be assessed.

If hypoplasia status is unclear, a repeat bone marrow biopsy should be considered 5 to 7 days before proceeding with post induction therapy. For patients who achieve CR with the additional post induction therapy, consolidation therapy can be initiated upon count recovery. Screening LP should be considered at first remission before first consolidation for patients with monocytic differentiation, MPAL, WBC count >40,000/mcL at diagnosis, or extramedullary disease.

Patients who have persistent disease following two courses of therapy (including a reinduction attempt based on midcycle marrow) are considered primary induction failures. Treatment options include clinical trial or use of salvage chemotherapy regimens used for relapsed/refractory (R/R) disease (see *Postremission Surveillance and Therapy for Relapsed/Refractory AML*). However, the likelihood of achieving a CR with a third chemotherapy regimen is low, at approximately 20%. If the patient did not receive HiDAC for persistent disease at day 15, HiDAC with or without anthracycline may be used if a clinical trial is not available and a donor is not yet identified. If the patient has an identified sibling or alternative donor available, a transplant option should be explored. For patients whose clinical condition has deteriorated such that active treatment is not an option, best supportive care should be continued.

After High-Dose Cytarabine Induction

Patients initially treated with HiDAC and who have significant residual disease without a hypocellular marrow 21 to 28 days after start of therapy are considered to have experienced induction failure. In the ELN Guidelines, primary induction failure is defined as failure to achieve CR after two courses of intensive induction chemotherapy.¹⁰⁵ Additional HiDAC therapy at this time is unlikely to induce remission in these cases. These patients should be considered for a clinical trial or salvage regimens used for R/R disease (see *Postremission Surveillance and Therapy for Relapsed/Refractory AML*). If an HLA-matched sibling or alternative donor has been identified, an allogeneic HCT may be effective in 25% to 30% of patients with induction failure. If no donor is immediately available, patients should be considered for a clinical trial. If the patient's clinical condition has deteriorated to a point at which active therapy would be detrimental, best supportive care may be the most appropriate option. If the patient has a significant cyto-reduction following HiDAC with a small quantity of residual blasts or hypoplasia, additional therapy should be

delayed for an additional 10 to 14 days and the marrow status may be reassessed.

Occasionally, patients with both myeloid and lymphoid markers at diagnosis may experience response to ALL therapy if an AML induction regimen failed.⁴ Treatment decisions for patients with significant reduction without hypoplasia or those with hypoplasia are deferred until the blood counts recover and a repeat marrow is performed to document remission status. Response is then categorized as a CR or primary induction failure.

Post-remission or Consolidation Therapy

Although successful induction therapy clears the visible signs of leukemia in the marrow and restores normal hematopoiesis in patients with *de novo* AML, additional post-remission therapy (ie, consolidation) may be needed to reduce the residual abnormal cells to a level that can be contained by immune surveillance. For patients younger than 60 years of age, post-remission therapy is also based on risk status defined by cytogenetics and molecular abnormalities (see *Evaluation for Acute Leukemia* in the algorithm and *Initial Evaluation* in the Discussion).

High-Dose Cytarabine: Since 1994, multiple (3–4) cycles of HiDAC therapy have been the standard consolidation regimen for patients younger than 60 years with either good- or intermediate-risk cytogenetics. This consolidation therapy is based on a CALGB trial comparing 100 mg/m², 400 mg/m², and 3 g/m² doses of cytarabine.²¹⁴ The 4-year DFS rate for patients receiving consolidation with 3 g/m² of HiDAC was 44%, with a 5% treatment-related mortality rate and a 12% incidence of severe neurologic toxicity. Although the initial report did not break down remission duration by cytogenetic groups, subsequent analysis showed a 5-year RFS (continuous CR measured from time of randomization) rate of 50% for CBF AML, 32% for patients with normal karyotype AML (NK-AML), and 15% for patients in other cytogenetic categories (overall $P < .001$). Among the patients who received HiDAC consolidation, the 5-year RFS rate was

78% for CBF AML, 40% for NK-AML, and 21% for other cytogenetic categories.²¹⁷

In some studies, in patients with CBF AML who received postremission therapy with HiDAC, the presence of *KIT* mutations resulted in poorer outcomes, particularly in t(8;21).^{34,40} In a multicenter study, patients with CBF AML (n = 67) were enrolled in intensive chemotherapy protocols that involved HiDAC postremission therapy.³⁴ At 24 months, a *KIT* mutation in the TKD at codon 816 (TKD⁸¹⁶) in patients with t(8;21) was associated with a significantly higher incidence of relapse (90% vs. 35.3%, $P = .002$) and lower OS (25% vs. 76.5%, $P = .006$) compared to patients with wild-type *KIT*.³⁴ In CBF AML with inv(16), TKD⁸¹⁶ did not result in a significant difference in relapse incidence and OS.³⁴ The prognostic influence of other *KIT* mutations on CBF AML, including mutations on exon 17 (mut*KIT17*) and exon 8 (mut*KIT8*), have been investigated.^{40,80} In an analysis of patients with CBF AML treated on CALGB trials (n = 110), *KIT* mutations (mut*KIT17* and mut*KIT8*) among patients with inv(16) were associated with a higher cumulative incidence of relapse at 5 years (56% vs. 29%; $P = .05$) and a decreased 5-year OS rate (48% vs. 68%) compared with wild-type *KIT*; in multivariate analysis, the presence of *KIT* mutations remained a significant predictor of decreased OS in the subgroup with inv(16). In patients with t(8;21), *KIT* mutations were associated with a higher incidence of relapse at 5 years (70% vs. 36%; $P = .017$), but no difference was observed in 5-year OS (42% vs. 48%).⁴⁰ The CALGB trial also included 4 course of intensive maintenance chemotherapy following the consolidation phase; however, not all patients in remission received maintenance (55% of patients in CR) following HiDAC consolidation.²¹⁴ Subsequent clinical trials have eliminated maintenance during postremission therapy. However, the impact of *KIT* mutations in CBF AML is unclear. A meta-analysis of 11 studies examining the effect of *KIT* mutations on CR, OS and relapse rates of CBF AML, determined that *KIT* mutations did not affect CR rates.²²¹ In patients with t(8;21) AML, *KIT*

mutations were associated with an increased risk of relapse and shorter OS rates compared to inv(16) AML.²²¹

A prospective study analyzed the effect of a condensed HiDAC consolidation therapy schedule given on days 1, 2, and 3 versus the commonly used schedule of days 1, 3, and 5 in adult patients (aged 18–60 years) with AML (n = 176), and found that there was no cumulative hematologic toxicity and no change in survival.²²²

The recent shortages of several chemotherapy agents have raised the question of how best to use cytarabine. The HOVON/SAKK study compared a double-induction concept using intermediate- or HiDAC as part of an induction/consolidation regimen in a phase III randomized study in patients (age 18–60 years) with newly diagnosed AML (n = 860).²²³ Patients were randomized to treatment with an “intermediate-dose” cytarabine regimen (12 g/m² cytarabine; cycle 1: cytarabine, 200 mg/m² daily for 7 days + idarubicin, 12 mg/m² daily for 3 days; cycle 2: cytarabine, 1 g/m² every 12 hours for 6 days + amsacrine, 120 mg/m² daily for 3 days) or a “high-dose” cytarabine regimen (26 g/m² cytarabine; cycle 1: cytarabine, 1 g/m² every 12 hours for 5 days + idarubicin, 12 mg/m² daily for 3 days; cycle 2: cytarabine, 2 g/m² every 12 hours for 4 days + amsacrine, 120 mg/m² daily for 3 days). Patients who experienced a CR after both treatment cycles were eligible to receive consolidation with a third cycle of chemotherapy or autologous or allogeneic HCT.²²³ A similar proportion of patients in each treatment arm received consolidation, specifically 26% to 27% of third chemotherapy cycle patients, 10% to 11% of autologous HCT patients, and 27% to 29% of allogeneic HCT patients. No significant differences were observed between the intermediate- and high-dose arms in rates of CR (80% vs. 82%), 5-year EFS (34% vs. 35%), or 5-year OS (40% vs. 42%).²²³ These results are comparable to those from the CALGB study with HiDAC.²¹⁴ More than 50% of patients in each arm had already experienced a CR when they received cycle 2. The 5-year cumulative rate of relapse risk

was also similar between treatment arms (39% vs. 27%, respectively).²²³ Outcomes were poor for patients with monosomal karyotype at baseline (n = 83), although the high-dose regimen was associated with significantly improved rates of 5-year EFS (13% vs. 0%; $P = .02$) and OS (16% vs. 0%; $P = .02$) compared with patients in this subgroup receiving the intermediate-dose. The incidence of grade 3 or 4 toxicities after cycle 1 was higher in the high-dose arm than in the intermediate-dose arm (61% vs. 51%; $P = .005$), but the incidence of 30-day mortality was the same in both arms (10%).²²³ This study suggests that 2 cycles of intermediate-dose cytarabine (1 g/m² every 12 hours for 6 days; total dose 12 g/m² per cycle) for each consolidation cycle may be a feasible alternative to 3 cycles of HiDAC (3 g/m² for 6 doses; total dose of 18 g/m² per cycle). This study as well as the MRC AML 15 study²¹⁸ suggest that doses of 3 g/m² of cytarabine are not clearly more effective than lower doses of 1.5–3 g/m²; in the MRC AML 15 trial, the cumulative incidence of relapse was statistically lower for higher dose cytarabine but this did not translate into better RFS.²¹⁸

Allogeneic Hematopoietic Transplantation: In the EORTC/GIMEMA trial, a 43% 4-year DFS rate was reported in the donor group of patients with poor-risk cytogenetics (n = 64; 73% underwent HCT); this was significantly higher than the 4-year DFS rate (18%; $P = .008$) among the no-donor group (n = 94; 46% underwent HCT).²²⁴ The 4-year DFS rate among patients with intermediate-risk AML was 45% for the donor group (n = 61; 75% underwent HCT) and 48.5% for the no-donor group (n = 104; 62.5% underwent HCT).²²⁴ The incidence of relapse was 35% and 47%, respectively, and the incidence of death in CR was 20% and 5%, respectively. The 4-year OS rate among intermediate-risk patients was 53% for the donor group and 54% for the no-donor group.²²⁴

The SWOG/ECOG trial reported a 5-year survival rate (from time of CR) of 44% with allogeneic HCT (n = 18; 61% underwent HCT) and 13% with autologous HCT (n = 20; 50% underwent HCT) among the subgroup of

patients with unfavorable cytogenetics. Moreover, the 5-year survival rate was similar between those allocated to autologous HCT and those intended for chemotherapy consolidation alone (13% and 15%, respectively).²⁸ The 5-year survival rates (from time of CR) for patients with intermediate-risk cytogenetics were 52% for the allogeneic HCT group (n = 47; 66% underwent HCT) and 36% for the autologous HCT group (n = 37; 59% underwent HCT).²⁸

In the UK MRC AML 10 trial, significant benefit with allogeneic HCT was observed for the subgroup of patients with intermediate-risk cytogenetics (but not for those with favorable or high-risk cytogenetics). In this subgroup, the DFS (50% vs. 39%; $P = .004$) and OS rates (55% vs. 44%; $P = .02$) were significantly higher among the donor groups than the no-donor groups.²²⁵

During the past decade, “normal” cytogenetics have been shown to encompass several molecular abnormalities with divergent risk behaviors.³⁵ The presence of an isolated *NPM1* or biallelic *CEBPA* mutation improves prognosis to one only slightly less than that of patients with CBF translocations, placing these patients in the favorable-risk molecular abnormalities category.³⁵ In contrast, patients with an isolated *FLT3*-ITD mutation and NK-AML have an outlook similar to those with poor-risk cytogenetics.⁴² In a report that evaluated the ELN risk classification in a large cohort of patients, for those in the “Intermediate I” risk group (which includes all patients with NK-AML with *FLT3* abnormalities and those lacking both *FLT3* and *NPM1* mutations), RFS was more favorable with allogeneic HCT (94 vs. 7.9 months without allogeneic HCT).¹⁰⁶

NCCN Recommendations

CBF Cytogenetic Translocations without KIT Mutation

The NCCN AML Panel recommends the following options for consolidation therapy in this subgroup: 1) participation in a clinical trial; 2) 3 to 4 cycles of HiDAC (category 1); or 3) intermediate-dose cytarabine (1000 mg/m²) plus daunorubicin and GO for patients with CD33-positive AML (category 2A).¹⁹⁴ There are insufficient data to evaluate the use of allogeneic HCT in first remission for patients with AML and favorable-risk cytogenetics outside of a clinical trial.²²⁶ Data suggest that the response to treatment is similar regardless of whether the favorable-risk cytogenetics are *de novo* and treatment-related.²²⁶ However, outcomes for patients with t(8;21) with *KIT* mutations are less favorable. These patients should be considered for either clinical trials targeted toward the molecular abnormality, or allogeneic transplantation.

Intermediate-risk Cytogenetics and/or Molecular Abnormalities

The panel members agreed that transplant-based options (either matched sibling or alternate donor allogeneic HCT) or 3 to 4 cycles of HiDAC afforded a lower risk of relapse and a somewhat higher DFS when given as consolidation for patients with intermediate-risk cytogenetics. While 2 to 3 g/m² HiDAC is preferred, a range of 1 to less than 2 g/m² can be used to accommodate patients who are less fit. The role of autologous HCT in the intermediate-risk group outside of clinical trials is diminishing due to improvements in allogeneic transplants, which are expanding the pool of potential donors outside the family setting. While autologous HCT is still incorporated into the clinical trial design in Europe, the consensus of the NCCN AML Panel was that autologous HCT should not be a recommended consolidation therapy outside the setting of a clinical trial. Clinical trial participation is encouraged. Other options for this group include one or multiple courses (3–4) of HiDAC consolidation.²²⁷ HiDAC (1.5–3 g/m²) with midostaurin may also be considered for patients with *FLT3*-mutation–positive AML.²²⁸ Alternative regimens incorporating intermediate

doses of cytarabine (1.5 g/m²) may be reasonable in patients with intermediate-risk disease, including intermediate-dose cytarabine (1000 mg/m²) plus daunorubicin and GO for patients with CD33-positive AML.¹⁹⁴ However, the panel notes that intermediate-risk patients who receive a transplant shortly following GO administration may be at risk for developing veno-occlusive disease. Comparable 5-year DFS rates were reported in patients younger than 60 years with NK-AML after either 4 cycles of intermediate-dose cytarabine or HiDAC (41%) or autologous HCT (45%).²²⁷ At this time, there is no evidence that HiDAC (2–3 g/m²) is superior to intermediate-dose (1.5 g/m²) cytarabine in patients with intermediate-risk AML.

Treatment-Related Disease Other than CBF and/or Unfavorable Cytogenetics and/or Molecular Abnormalities

The panel strongly recommends clinical trials as standard therapy for patients with poor prognostic features, which include *FLT3*-ITD abnormalities in the setting of otherwise NK-AML, high WBC (>50,000/mcL) at diagnosis, or adverse cytogenetics/molecular markers as well as secondary and therapy related AML. If remission is observed, consolidation therapy is recommended, and strong consideration should be given to allogeneic HCT with matched sibling or alternative donor (including umbilical cord blood products) as part of consolidation strategy. HiDAC-based consolidation may be required to maintain remission while searching for a potential matched donor. If CPX-351 was given during induction, an additional treatment of CPX-351 [daunorubicin (29 mg/m²) and cytarabine (65 mg/m²)] as an intravenous infusion over 90 minutes on days 1 and 3 for 1 cycle is recommended for patients with therapy-related AML other than CBF/APL, antecedent MDS/chronic myelomonocytic leukemia (CMML), or AML-MRC.²⁰⁸

Management of AML in Patients Older Than 60 Years

Induction Therapy

The creation of separate guidelines for patients older than 60 years recognizes the poor outcomes in this group treated with standard cytarabine and an anthracycline. In patients older than 60 years, the proportion of those with favorable CBF translocations decreases, as does the number with isolated *NPM1* mutations, whereas the number of patients with unfavorable karyotypes and mutations increases. However, it should be noted that although some studies have demonstrated that *NPM1* mutations in older patients is a positive prognostic factor,^{229,230} other emerging studies suggest it may predict unfavorable outcomes.^{231,232} In the UK NCRI AML 16 trial, similar to younger patients, in older patients, only the combined wild-type *FLT3* and *NPM1* mutant group had improved survival.²²⁹ This same study also demonstrated that the *FLT3* mutation did not affect remission rates, though there was an association with inferior survival. Secondary AML, either related to prior MDS or prior chemotherapy, also increases along with a higher rate of multidrug resistance protein expression. Although studies in the Swedish Acute Leukemia Registry documented improvement in outcomes for patients younger than 60 years over the past 3 decades, no similar improvement was observed for the older population.^{186,233} Treatment-related mortality frequently exceeds any expected transient response in this group, particularly in patients older than 75 years or in those who have significant comorbid conditions or ECOG performance status greater than 2.

For older patients (age >60 years) with AML, the panel recommends using patient performance status, in addition to adverse features (eg, *de novo* AML without favorable cytogenetics or molecular markers; therapy-related AML; antecedent hematologic disorder) and comorbid conditions, to select treatment options rather than rely on a patient's chronologic age alone. Comprehensive geriatric assessments are complementary to assessment of comorbid conditions and are emerging as better predictive tools of

functional status.^{234,235} A treatment decision-making algorithm for previously untreated, medically fit, elderly patients (age ≥60 years) with AML was developed by the German AML cooperative group. Based on data from a large study in elderly patients (n = 1406), patient and disease factors significantly associated with CR and/or early death were identified and risk scores were developed based on multivariate regression analysis.²³⁶ The predictive model was subsequently validated in an independent cohort of elderly patients (n = 801) treated with 2 courses of induction therapy with cytarabine and daunorubicin. The algorithm, with or without knowledge of cytogenetic or molecular risk factors, predicts the probability of achieving a CR and the risk for an early death for elderly patients with untreated AML who are medically fit and therefore considered eligible for standard treatments.²³⁶ The factors included in the algorithm are the following: body temperature (≤38°C and >38 °C), hemoglobin levels (≤10.3 and >10.3 g/dL), platelet counts (≤28K, >28K–≤53K, >53K–≤104K, and >104K counts/mcL), fibrinogen levels (≤150 and >150 mg/dL), age at diagnosis (60–64, >64–67, >67–72, and >72 years), and type of leukemia (de novo and secondary). The algorithm can be accessed online at <http://www.aml-score.org/>.

A comprehensive predictive model for early death following induction in patients with newly diagnosed AML suggests that age may be a reflection of other covariants, and the evaluation of these factors may provide a more accurate predictive model. The model includes performance score, age, platelet count, serum albumin, presence or absence of secondary AML, WBC count, peripheral blood blast percentage, and serum creatinine. These factors, when taken together, result in a predictive accuracy based on the area under the curve (AUC) of 0.82 (a perfect correlation is an AUC of 1.0).²³⁷ This model is complex, and currently there is not a tool available to implement this model. A shortened form of the model was based on covariants that include age, PS, and platelet count. The simplified model provides an AUC of 0.71, which is less accurate than

the complex model but may be more accurate than decision-making strategies based solely on age.²³⁷ In a retrospective cohort study of adult patients with AML (n = 1100; range, 20–89 years), a composite predictive model examined the impact of comorbidities on 1-year mortality following induction treatment.²³⁸ This analysis incorporated patient-specific (ie, age, comorbidities) and AML-specific (ie, cytogenetic and molecular risks) features, and resulted in a predictive estimate of 0.76 based on AUC.²³⁸ This model can be accessed online at <http://www.amlcompositemodel.org/>.

Older adults with intact functional status (ie, ECOG score 0–2), minimal comorbidity, and *de novo* AML without unfavorable cytogenetics or molecular markers, without antecedent hematologic disorder, and without therapy-related AML may benefit from intensive cytarabine-based therapy regardless of chronologic age.

Candidates for Intensive Remission Induction Therapy

Favorable- or Intermediate-risk Cytogenetics

A reasonable treatment regimen for patients with favorable or intermediate risk cytogenetics includes standard-dose cytarabine (100–200 mg/m² by continuous infusion per day for 7 days) along with 3 days of anthracycline. Although patients older than 75 years with significant comorbidities generally do not benefit from conventional chemotherapy treatment, the rare patient with favorable-risk or NK-AML and no significant comorbidities might be the exception to this dogma. For patients with NK-AML, the remission rates are 40% to 50% with cytarabine combined with idarubicin, daunorubicin, or mitoxantrone. The randomized study from the Acute Leukemia French Association (ALFA)-9801 study (n = 468) showed that idarubicin induction (the standard 12 mg/m² daily for 3 days or intensified with 12 mg/m² daily for 4 days) compared with high-dose daunorubicin (up to 80 mg/m²) yielded a significantly higher CR rate in patients aged 50 to 70 years (80% vs. 70%, respectively; *P* = .03).¹⁸⁹ The median OS for all

patients was 17 months. The estimated 2-year EFS and OS rates were 23.5% and 38%, respectively, and the estimated 4-year EFS and OS rates were 18% and 26.5%, respectively; however, no significant differences were observed between treatment arms with regard to EFS, OS, and cumulative relapse rates.¹⁸⁹

The ALFA-9803 study (n = 416) evaluated (during first randomization) induction with idarubicin (9 mg/m² daily for 4 days) compared with daunorubicin (45 mg/m² daily for 4 days) in patients aged 65 years or older.²³⁹ In this trial, the CR rate after induction was 57% and induction death occurred in 10% of patients. The median OS for all patients was 12 months; the estimated 2-year OS rate was 27%. No significant differences in these outcomes were seen between anthracycline treatment arms.²³⁹ Long-term outcomes based on a combined analysis of data from the two ALFA trials above (9801 and 9803 studies; n = 727) showed superior results with standard idarubicin induction (36 mg/m² total dose) compared with daunorubicin induction (240 mg/m² total dose for patients <65 years; 180 mg/m² total dose for patients ≥65 years) in older patients with AML (age ≥50 years).²⁴⁰ At a median actuarial follow-up of 7.5 years, the median OS for all patients included in the analysis was 14.2 months. The estimated 5-year OS rate was 15.3%, and the overall cure rate was 13.3%. Induction with standard idarubicin was associated with a significantly higher cure rate compared with daunorubicin (16.6% vs. 9.8%; *P* = .018). In the group of patients younger than age 65 years, standard idarubicin was still associated with a significantly higher cure rate than daunorubicin despite the high dose (240 mg/m² total dose) of daunorubicin (27.4% vs. 15.9%; *P* = .049).²⁴⁰

In the HOVON trial, which randomized patients aged 60 years and older to induction therapy with standard-dose cytarabine combined with either standard-dose daunorubicin (45 mg/m² daily for 3 days; n = 411) or dose-escalated daunorubicin (90 mg/m² daily for 3 days; n = 402), the CR

rate was 54% and 64%, respectively ($P = .002$).²⁴¹ No significant differences were observed in EFS, DFS, or OS outcomes between treatment arms. Among the subgroup of patients aged 60 to 65 years ($n = 299$), an advantage with dose-escalated compared with standard-dose daunorubicin was observed with regard to rates of CR (73% vs. 51%), 2-year EFS (29% vs. 14%), and 2-year OS (38% vs. 23%). These outcomes with dose-escalated daunorubicin seemed similar to those with idarubicin (12 mg/m² daily for 3 days) from the ALFA-9801 study, in which the 4-year EFS and OS rates were 21% and 32%, respectively.¹⁸⁹ In the HOVON trial, the benefit in OS outcomes for the dose-escalated daunorubicin group was observed only in patients aged 65 years and younger or in those with CBF translocations.²⁴¹

For patients who exceed anthracycline dose or have cardiac issues but are still able to receive intensive therapy, alternative non-anthracycline-containing regimens, including clofarabine, may be considered.²⁴²⁻²⁴⁶

CD33-positive AML: There are conflicting data about the use of GO for older patients with AML. Three phase III randomized trials evaluated the efficacy and safety of adding the anti-CD33 antibody-drug conjugate GO to induction therapy with daunorubicin and cytarabine in older patients with previously untreated AML.²⁴⁷⁻²⁴⁹ In the phase III ALFA-0701 trial, patients aged 50 to 70 years with *de novo* AML ($n = 280$) were randomized to receive induction with daunorubicin (60 mg/m² daily for 3 days) and cytarabine (200 mg/m² continuous infusion for 7 days), with or without (control arm) fractionated GO 3 mg/m² given on days 1, 4, and 7.²⁴⁹ Patients with persistent marrow blasts at day 15 received additional daunorubicin and cytarabine. Patients with a CR/CRi with incomplete recovery of peripheral blood counts (CRi) after induction received two consolidation courses with daunorubicin and cytarabine, with or without GO (3 mg/m² on day 1). The CR/CRi after induction was similar between the GO and control arms (81% vs. 75%). The GO arm was associated with

significantly higher estimated 2-year EFS (41% vs. 17%; $P = .0003$), RFS (50% vs. 23%; $P = .0003$), and OS (53% vs. 42%; $P = .0368$) rates compared with control.²⁴⁹ The GO arm was associated with a higher incidence of hematologic toxicity (16% vs. 3%; $P < .0001$); this was not associated with an increase in the risk of death from toxicity.²⁴⁹

In another multicenter, phase III, randomized trial from the UK and Denmark (AML-16 trial), patients older than 50 years with previously untreated AML or high-risk MDS ($n = 1115$) were randomized to receive daunorubicin-based induction (daunorubicin combined with cytarabine or clofarabine) with or without (control) GO (3 mg/m² on day 1 of course 1 of induction).²⁴⁸ The median age was 67 years (range, 51–84 years) and 98% of patients were age 60 years or older; 31% were age 70 years or older. The CR/CRi rate after induction was similar between the GO and control arms (70% vs. 68%). The GO arm was associated with significantly lower 3-year cumulative incidence of relapse (68% vs. 76%; $P = .007$) and higher 3-year RFS (21% vs. 16%; $P = .04$) and OS (25% vs. 20%; $P = .05$) rates compared with the control arm. The early mortality rates were not different between treatment arms (30-day mortality rate, 9% vs. 8%); in addition, no major increase in adverse events was observed with GO.²⁴⁸ These two trials suggest that the addition of GO to standard induction regimens reduced the risk of relapse and improved OS outcomes in older patients with previously untreated AML characterized by favorable or intermediate-risk cytogenetics, not adverse risk.

The third phase III trial combining GO with chemotherapy showed a different result than the other two. In this study, patients between the ages of 61 and 75 years were given chemotherapy consisting of mitoxantrone, cytarabine, and etoposide ($n = 472$).²⁴⁷ Half of the patients were given 6 mg/m² GO prior to chemotherapy on days 1 and 15. In remission, treatment included two courses of consolidation with or without 3 mg/m² GO on day 0. The OS between the two groups was similar (GO, 45% vs.

no GO, 49%), but the induction and 60-day mortality rates were higher in the patients given GO (17% vs. 12% and 22% vs. 18%, respectively). Only a small subgroup of patients younger than 70 years of age with secondary AML showed any benefit to treatment. Combined with the increased toxicity, the results of this study suggest that GO may not provide an advantage over standard chemotherapy for some older patients with AML.²⁴⁷

Conflicting studies have led to the publication of several systematic reviews and meta-analyses. A larger systematic review, inclusive of any RCTs that investigated the benefit of anti-CD33 antibody therapy, regardless of whether treatment was in de novo or secondary disease, concluded that the data from 11 trials showed increased induction deaths ($P = .02$) and reduced residual disease ($P = .0009$).²⁵⁰ Despite improved RFS (HR, 0.90; 95% CI, 0.84–0.98; $P = .01$), no OS benefit was measured (HR, 0.96; 95% CI, 0.90–1.02; $P = .2$). Two other meta-analyses showed improved RFS, though induction death was elevated.^{251,252} Conversely, a fourth meta-analysis evaluating 5 trials with 3325 patients aged 15 years and older showed a reduced risk of relapse ($P = .0001$) and improved 5-year OS (OR, 0.90; 95% CI, 0.82–0.98; $P = .01$) with the addition of GO to conventional induction therapy.¹⁹⁵ It was noted that the greatest survival benefit was seen in patients with favorable cytogenetics. Some benefit was seen in patients with intermediate cytogenetics, but no benefit was reported with the addition of GO in patients with adverse cytogenetics. These studies underscore the need for further investigation that elucidates the benefits of GO for the treatment of AML.

FLT3-positive AML: The results of the CALGB 10603/RATIFY Alliance trial²⁰⁰ have been described in an earlier section (See *Management of AML in Patients Younger Than 60 Years; Intermediate-risk Cytogenetics*) and these data may be extrapolated to suggest benefit in fit older adults. In a phase II study in adult patients with previously untreated AML ($n =$

284; range, 18–70 years; 86 older patients included [age range, 61–70 years]), the efficacy and safety of midostaurin added to intensive chemotherapy, followed by allogeneic HCT and single-agent midostaurin maintenance therapy for a year was evaluated.²⁵³ All patients were confirmed to have *FLT3*-ITD-positive disease. The CR/CRi rate after induction therapy was 76.4% (age <60 years, 75.8%; age >60 years, 77.9%). Many patients proceeded to transplant (72.4%), and a subset initiated maintenance therapy ($n = 97$; 75 after allogeneic HCT and 22 after HiDAC consolidation). The median time receiving maintenance therapy was 9 months after allogeneic HCT and 10.5 months after HiDAC consolidation. The 2-year EFS and OS rates were 39% and 34% in patients <60 years, and 53% and 46% in patients >60 years.²⁵³

Therapy-Related AML or Antecedent MDS/CMML or AML-MRC

The studies evaluating the efficacy and safety of CPX-351 in patients aged 60–75 years with newly diagnosed secondary AML have been described (*Management of AML in Patients Younger Than 60 Years; Therapy-Related AML or Antecedent MDS/CMML or AML-MRC*).²⁰⁸

Unfavorable-risk Cytogenetics (exclusive of AML-MRC)

Hypomethylating Agents (HMAs): An international, randomized, phase III study by Fenaux et al²⁵⁴ compared the hypomethylating agent (HMA) 5-azacitidine with conventional care (best supportive care, low-dose cytarabine, or intensive chemotherapy) in patients with MDS ($n = 358$). Although this study was designed for evaluation of treatment in patients with high-risk MDS (based on FAB criteria), 113 study patients (32%) fulfilled criteria for AML using the 2008 WHO classification, with marrow-blast percentages between 20% and 30%.^{254,255} In the subgroup of these patients with AML, a significant survival benefit was found with 5-azacitidine compared with conventional care regimens, with a median OS of 24.5 months versus 16 months (HR, 0.47; 95% CI, 0.28–0.79; $P = .005$).²⁵⁵ The 2-year OS rates were 50% and 16%, respectively

($P = .001$). In a phase III study focused on older adult patients (≥ 65 years), the efficacy and safety of azacitidine versus conventional care regimens (standard induction chemotherapy, low-dose cytarabine, or supportive care) was evaluated in patients with newly diagnosed AML with $>30\%$ blasts.²⁵⁶ Compared to conventional care regimens, azacitidine was associated with an increase in median OS (6.5 months vs. 10.4 months; HR, 0.85; 95% CI, 0.69–1.03; stratified log-rank $P = .1009$).²⁵⁶ The 1-year survival rates with azacitidine and conventional care regimens were 46.5% and 34.2%, respectively.

Another HMA, decitabine, has also been evaluated as remission induction therapy for older patients with AML.²⁵⁷ In a phase II study in previously untreated patients aged 60 years and older ($n = 55$; median age, 74 years), the overall CR rate with this agent (20 mg/m² for 5 days every 28 days) was 24% (including 6 out of 25 patients [24%] with poor-risk cytogenetics), and the median EFS and OS were 6 months and 8 months, respectively.²⁵⁷ An earlier phase I study evaluated different dose schedules of decitabine in patients with R/R leukemias ($n = 50$; AML diagnosis, $n = 37$).²⁵⁸ In this study decitabine was given at 5, 10, 15, or 20 mg/m² for 5 days per week for 2 to 4 consecutive weeks (ie, 10, 15, or 20 days). The decitabine dose of 15 mg/m² for 10 days ($n = 17$) was associated with the highest response rates, with an ORR of 65% and CR rate of 35%. Among the patients with R/R AML ($n = 37$), the ORR was 22% with a CR in 14% across all dose levels.²⁵⁸ A phase II study targeting older patients (age ≥ 60 years) with AML who were not candidates for or refused intensive therapy, administered a decitabine dose of 20 mg/m² for 10 days and demonstrated CR rate of 47% ($n = 25$) after a median of three cycles of therapy.²⁵⁹ In a study aimed at identifying the relationship between molecular markers and clinical responses to decitabine, adult patients with AML and MDS ($n = 116$; median age, 74 years; range, 29–88 years) were treated with decitabine (20 mg/m² for 10 days every 28 days).²⁶⁰ Response rates were higher among patients with unfavorable-

risk cytogenetics compared to patients with favorable- or intermediate-risk (67% vs. 34%, respectively; $P < .001$), and among patients with *TP53* mutations compared to patients with wild-type *TP53* (100% vs. 41%; $P < .001$).²⁶⁰ A recent phase II study comparing a 5-day versus 10-day treatment schedule for decitabine in older patients (age ≥ 60 years; $n = 71$) with newly diagnosed AML determined that the efficacy and safety of both schedules were not significantly different.²⁶¹

In an open-label randomized phase III study, decitabine (20 mg/m² for 5 days every 28 days) was compared with physician's choice (either low-dose cytarabine or supportive care) in older patients (age ≥ 65 years) with newly diagnosed AML.²⁶² Based on the protocol-specified final analysis of the primary endpoint (OS), decitabine was associated with a statistically nonsignificant trend for increased median OS compared with physician's choice (7.7 months vs. 5 months; HR, 0.85; 95% CI, 0.69–1.04; $P = .108$). A subsequent post hoc analysis of OS with additional follow-up time showed the same median OS with a statistically significant advantage associated with decitabine (HR, 0.82; 95% CI, 0.68–0.99; $P = .037$). The CR (including CRi) rate was significantly higher with decitabine (18% vs. 8%; $P = .001$).²⁶² The most common treatment-related adverse events with decitabine versus cytarabine included thrombocytopenia (27% vs. 26%), neutropenia (24% vs. 15%), febrile neutropenia (21% vs. 15%), and anemia (21% vs. 20%). The 30-day mortality rates were similar between the decitabine and cytarabine groups (9% vs. 8%).²⁶² Both azacitidine and decitabine are approved by the FDA for the treatment of patients with MDS.

Venetoclax-containing regimens: Emerging studies have evaluated the combination of HMAs with venetoclax, an oral B-cell lymphoma 2 (*BCL2*) inhibitor, as an induction therapy strategy for older patients with AML. In a phase Ib study, older patients (≥ 65 years) with previously untreated AML ($n = 57$) were enrolled into 3 groups: group A ($n = 23$) received venetoclax

and decitabine (20 mg/m² daily for 5 days of each 28-day cycle); group B (n = 22) received venetoclax and azacitidine (75 mg/m² daily for 7 days of each 28-day cycle); and group C, a substudy of venetoclax and decitabine (n = 12), received an oral CYP3A inhibitor, posaconazole, to determine its effect on the pharmacokinetics of venetoclax.²⁶³ Daily target doses for venetoclax in different cohorts within groups A and B were 400 mg, 800 mg, and 1200 mg. The most common treatment-related adverse event in groups A and B was febrile neutropenia (30% and 32%, respectively), with an overall CR/CRi rate of 61% (95% CI, 47.6–74.0).²⁶³ In groups A and B, the CR/CRi rate was 60% (95% CI, 44.3–74.3).²⁶³

In a follow-up to this study, the efficacy of either 400 mg or 800 mg of venetoclax combined with either decitabine or azacitidine was evaluated in older patients with previously untreated AML and who were ineligible for intensive chemotherapy (n = 145; age, ≥65 years; median age, 74 years).²⁶⁴ The venetoclax dose of 400 mg was found to be the recommended phase II dose. With a median time on study of 8.9 months (range, 0.2–31.7 months) and median duration of follow-up of 15.1 months (range, 9.8–31.7 months), 67% of patients achieved CR/CRi.²⁶⁴ The median duration of CR/CRi and median OS was 11.3 months and 17.5 months, respectively.²⁶⁴ In a subgroup analysis, the CR/CRi rates of patients with intermediate- and poor-risk cytogenetics were 74% and 60%, with a median duration of 12.9 months (95% CI, 11.0 months–NR) vs. 6.7 months (95% CI, 4.1–9.4 months), respectively.²⁶⁴ The CR/CRi rates in patients with *TP53*, *IDH1/2* and *FLT3* mutations were 47%, 71% and 72%, respectively. In addition, patients with *de novo* AML and secondary AML, respectively, had the same CR/CRi rate of 67%, with a median duration of CR/CRi of 9.4 months (95% CI, 7.2–11.7 months) versus not reached (NR) (95% CI, 12.5 months–NR).²⁶⁴

Another phase Ib/II study evaluated the efficacy of venetoclax combined with low-dose cytarabine (20 mg/m² daily for 10 days) in older patients

(≥60 years) with previously untreated AML ineligible for intensive chemotherapy (n = 82; median age, 74 years).²⁶⁵ All patients received at least one dose of venetoclax at 600 mg. The CR/CRi rate was 54% (95% CI, 42%–65%) with a median duration of remission of 8.1 months (95% CI, 5.3–14.9 months), and the median OS for all patients was 10.1 months (95% CI, 5.7–14.2 months).²⁶⁵ Patients with *de novo* AML, intermediate-risk cytogenetic features, and no prior HMA exposure demonstrated CR/CRi rates of 71%, 63%, and 62%, respectively.²⁶⁵ The average CR/CRi rates in patients with *NPM1* or *IDH1/2* mutations was higher than those with *TP53* or *FLT3* mutations (89% and 72% vs. 30% and 44%, respectively).²⁶⁵ Based on these studies, venetoclax in combination with HMAs, decitabine or azacitidine, or low-dose cytarabine are approved by the FDA for the treatment of newly diagnosed AML in older adults at least 75 years or older, or in patients who have comorbidities that preclude use of intensive induction chemotherapy.

Not a Candidate for or Declines Intensive Remission Induction Therapy ***AML without Actionable Mutations***

In older adult patients who cannot tolerate intensive treatment strategies, low-intensity approaches have been investigated, including use of HMAs alone or combined with venetoclax (see *Candidates for Intensive Remission Induction Therapy, Hypomethylating Agents, and Venetoclax-containing regimens* in the previous section).

Low-Dose Cytarabine-containing regimens: Other approaches have evaluated low-dose cytarabine. The UK NCRI AML 14 trial randomized 217 older patients (primarily age >60 years; *de novo* AML, n = 129; secondary AML, n = 58; high-risk MDS, n = 30) unfit for chemotherapy to receive either low-dose cytarabine subcutaneously (20 mg twice daily for 10 consecutive days, every 4–6 weeks) or hydroxyurea (given to maintain target WBC counts <10,000/mcL).²⁶⁶ Patients were also randomized to receive ATRA or no ATRA. Low-dose cytarabine resulted in a CR rate of

18% (vs. 1% with hydroxyurea) and a survival benefit compared with hydroxyurea in patients with favorable or NK-AML. No advantage was observed with the addition of ATRA. The median DFS in patients who achieved a CR with low-dose cytarabine was 8 months.²⁶⁶ Even with this “low-intensity” treatment approach, induction death occurred in 26% of patients, and overall prognosis remained poor for older patients who cannot tolerate intensive chemotherapy regimens. A phase II study evaluated a regimen with low-dose cytarabine (20 mg twice daily for 10 days) combined with clofarabine (20 mg/m² daily for 5 days) in patients aged 60 years or older with previously untreated AML (n = 60; median age, 70 years; range, 60–81 years).²⁶⁷ Patients with a response received consolidation (up to 17 courses) with clofarabine plus low-dose cytarabine alternated with decitabine. Among evaluable patients (n = 59), the CR rate was 58% and median RFS was 14 months. The median OS for all patients was 12.7 months. The induction mortality rate was 7% at 8 weeks.²⁶⁷ Although this regimen appeared to be active in older patients with AML, the authors noted that the benefits of prolonged consolidation remain unknown.

In a phase II trial, low-dose cytarabine was combined with glasdegib, a selective inhibitor of the Smoothed protein in the Hedgehog signaling pathway, and evaluated in adult patients (age ≥55 years) with previously untreated AML or high-risk MDS ineligible for intensive chemotherapy (n = 132).²⁶⁸ Criteria for unsuitability for intensive chemotherapy included at least 75 years old, serum creatinine > 1.3 mg/dL, severe cardiac disease or ECOG score = 2. Patients were randomized 2:1 to receive low-dose cytarabine alone (20 mg twice daily for 10 days every 28 days) or combined with oral glasdegib (100 mg daily). The addition of glasdegib to low-dose cytarabine also improved OS compared to low-dose cytarabine alone (8.8 months vs. 4.9 months, respectively), and the CR rates were higher in the low-dose cytarabine and glasdegib arm (17%, n = 15/88) compared to low-dose cytarabine alone (2.3%; n = 1/44).²⁶⁸ In the

glasdegib plus low-dose cytarabine arm, the benefit in CR was primarily seen in patients with favorable-/intermediate-risk cytogenetics (n = 10/52) when compared to patients with poor risk cytogenetics (n = 5/36).²⁶⁸ Glasdegib in combination with low-dose cytarabine is currently approved by the FDA for the treatment of newly diagnosed AML in older adults at least 75 years or older, or in patients who have comorbidities that preclude use of intensive induction chemotherapy.

CD33-positive AML: Single-agent GO has also been evaluated as an option. A randomized phase III study evaluated the efficacy of single-agent GO (6 mg/m² on day 1 and 3 mg/m² on day 8) versus best supportive care as first-line therapy in older patients (age ≥61 years) with AML who were not eligible for intensive chemotherapy (n = 237).²⁶⁹ Compared to best supportive care, GO alone improved the 1-year OS rate (9.7% vs. 24.3%, respectively). In the GO group, the median OS was 4.9 months (95% CI, 4.2–6.8 months) and 3.6 months (95% CI, 2.6–4.2 months) in the best supportive care group.²⁶⁹

Androgen-containing regimens: Emerging data are exploring the use of lower-intensity maintenance therapies to prolong remission duration and improve survival of elderly patients with AML after intensive treatment.²⁷⁰ A multicenter, phase III randomized study investigated the survival benefit of adding androgens to maintenance therapy in patients with AML aged 60 years or older (n = 330).²⁷¹ In this study, induction therapy included cytarabine (100 mg/m² on days 1–7), idarubicin (8 mg/m² on days 1–5), and lomustine (200 mg/m² on day 1). Patients in complete remission or partial remission (n = 247) were treated with 6 reinduction courses, alternating idarubicin on day 1, cytarabine on days 1 to 5, and a regimen of methotrexate and mercaptopurine, and randomized to receive androgen (norethandrolone; 10 or 20 mg/day), according to body weight, or not for a 2-year maintenance therapy regimen. Compared to the arm that received no androgens, norethandrolone improved 5-year DFS (31.2% vs. 16.2%,

respectively), EFS (21.5% vs. 12.9%, respectively), and OS (26.3% vs. 17.2%, respectively).²⁷¹

IDH mutation-positive AML: Initially approved by the FDA for use in the R/R AML setting, *IDH*-targeted inhibitors, enasidenib and ivosidenib, have demonstrated utility in the frontline setting.^{272,273} In a phase I/II study, the clinical activity and safety of enasidenib, an *IDH2* mutant inhibitor, was evaluated in adult patients with *IDH2*-mutated advanced AML including R/R disease.²⁷⁴ Approximately 19% of patients (n = 34 of 176) with R/R AML achieved complete remission, with an OS of 19.7 months with a median OS of 9.3 months.²⁷⁴ In older patients (≥60 years) with newly diagnosed AML, the efficacy of enasidenib was evaluated in a phase Ib/II sub-study within the Beat AML trial.²⁷³ Patients were treated with enasidenib (100 mg/day) in continuous 28-day cycles. Azacitidine (75 mg/m² days 1-7) was added to enasidenib for some patients who did not achieve CR/CRi by cycle 5. Of 23 evaluable patients receiving enasidenib monotherapy, CR/CRi was achieved in 43% of patients (7 CR/2 CRi).²⁷³

Ivosidenib, an *IDH1*-mutation inhibitor, demonstrated durable remissions in *IDH1* R/R AML, with 30.2% of patients (n = 54 of 179) with R/R AML achieving CR/CRh.²⁷⁵ As an extension of this study, the safety and efficacy of ivosidenib in patients with untreated AML was evaluated (n = 34; median age, 76.5 years).²⁷² In phase I dose-escalation and expansion, patients received ivosidenib once a day or twice daily in 28-day cycles, and a dose of 500 mg per day was selected as the dose for expansion groups. The CR/CRh rate was 41.2% (95% CI, 24.6%–59.3%), and the ORR was 58.8% (20/34; 95% CI, 40.7%–75.4%).²⁷² Based on these data, ivosidenib was approved by the FDA in May 2019 as a first-line treatment option for AML with an *IDH1* mutation in patients who are at least 75 years old or who have comorbidities that preclude the use of intensive induction chemotherapy. Treatment with both enasidenib and ivosidenib may induce

differentiation syndrome and hyperleukocytosis, which may be managed with corticosteroids and hydroxyurea.²⁷⁶⁻²⁷⁸

Alternatively, emerging data suggest that patients with *de novo* AML characterized by *IDH1/2* mutant AML may benefit from venetoclax/HMA based therapy with reported remission rates of greater than 70%, albeit in a relatively small number of patients.²⁶⁴

FLT3-positive AML: In a phase II study, the efficacy of azacitidine and sorafenib, an *FLT3* inhibitor, was evaluated in adult patients with R/R AML (n = 43; median age, 67 years; range, 24–87 months).²⁷⁹ The response rate was 46%, with CR, CR/CRi, and PR rates of 16%, 27%, and 3%, respectively.²⁷⁹ In addition, the degree of *FLT3*-ITD inhibition appeared to correlate with plasma sorafenib concentrations. In adult patients with newly diagnosed *FLT3*-mutation positive AML (n = 15; median age, 76 years; range, 65–86 years), an ongoing trial is evaluating the safety and tolerability of the combination of azacitidine and gilteritinib,²⁸⁰ a *FLT3* inhibitor that has demonstrated antileukemic activity in *FLT3*-positive R/R AML.²⁸¹ Of 15 evaluable patients, a CR/CRi rate of 67% was observed.²⁸⁰

NCCN Recommendations

Similar to recommendations for adults younger than 60 years, the NCCN AML Panel encourages enrollment in a clinical trial for treatment induction of older patients aged ≥60 years with AML. For patients not enrolled in a clinical trial, cytogenetics, overall functional status, and the presence or absence of actionable mutations should guide treatment strategies.

Candidates for intensive remission induction therapy: Standard infusional cytarabine and anthracycline is recommended. For patients who exceed anthracycline dose guidelines or have cardiac issues but who are still fit enough to receive aggressive therapy, alternative non-anthracycline-containing regimens may be considered. Gemtuzumab ozogamicin may be added to standard-dose cytarabine combined with

daunorubicin for patients with CD33-positive AML and who have favorable- or intermediate-risk cytogenetics. Midostaurin is added to standard-dose cytarabine combined with daunorubicin for patients with *FLT3*-mutated AML and who have intermediate-risk cytogenetics. For patients with therapy-related AML, antecedent hematologic disorder, or AML-MRC, treatment options include CPX-351 [daunorubicin (44 mg/m²) and cytarabine (100 mg/m²)] as intravenous infusion over 90 minutes on days 1, 3, and 5 of 1 cycle (a category 1 recommendation) or standard infusional cytarabine and anthracycline.

For patients with unfavorable-risk cytogenetics exclusive of AML-MRC, recommended options include: venetoclax combined with azacitidine, decitabine or low-dose cytarabine, lower-intensity therapy with HMAs (5-azacitidine or decitabine), or standard infusional cytarabine and anthracycline.

Not a candidate for or declines intensive remission induction

therapy: Treatment options include a clinical trial, or lower-intensity therapy based on the presence or absence of actionable mutations. These regimens include venetoclax combined with chemotherapy (azacitidine, decitabine or low-dose cytarabine (LDAC)), or glasdegib combined with LDAC. Patients not considered candidates for combination or targeted therapy may receive monotherapy with HMA (azacitidine or decitabine either 5 or 10 day) (preferred), GO, or LDAC alone. Best supportive care with hydroxyurea and transfusion support should also be considered and have been used as the comparator arm in several clinical trials in older unfit patients.

For patients with *IDH1* or *IDH2* mutant AML, ivosidenib or enasidenib, respectively, or HMAs alone are recommended. For patients with *FLT3*-mutant AML, HMAs alone or in combination with sorafenib, are recommended.

Postinduction Therapy

After Standard-Dose Cytarabine Induction

Similar to younger patients, older patients who receive standard cytarabine/anthracycline induction with or without midostaurin or GO, or a dual-drug encapsulation of daunorubicin and cytarabine receive a bone marrow evaluation 14 to 21 days after start of therapy and are categorized according to the presence of blasts or hypoplasia. Patients with hypoplasia should await recovery of counts before continuing to post-remission therapy. Patients with residual disease without hypoplasia may receive additional standard-dose cytarabine with an anthracycline or mitoxantrone, or CPX-351 [daunorubicin (44 mg/m²) and cytarabine (100 mg/m²)], if given during induction for patients with therapy-related AML, antecedent hematologic disorder, or AML-MRC. Alternatively, patients with *FLT3*-mutation–positive AML may receive additional standard-dose cytarabine with daunorubicin and midostaurin.

If daunorubicin (90 mg/m²) was used in induction, the recommended dose for reinduction prior to count recovery is 45 mg/m² for no more than 2 doses. Similarly, if idarubicin (12 mg/m²) was used for induction, the early reinduction dose should be limited to 10 mg/m² for 1 or 2 doses. Intermediate-dose cytarabine-containing regimens, RIC allogeneic HCT, or best supportive care are also treatment options. Reduced-intensity transplant is a reasonable option, preferably in the context of a clinical trial, in patients with low-level residual disease post-induction. In addition, it is recommended that identified donors are available to start conditioning within 4 to 6 weeks from start of induction therapy. Patients without an identified donor would most likely need some additional therapy as a bridge to transplant. Additionally, it is acceptable to await recovery in these patients as many will enter remission without further treatment. Regardless of treatment, all patients receiving post-induction therapy after standard-dose cytarabine should have a repeat bone marrow evaluation to document remission status. Because many older patients have some

evidence of antecedent myelodysplasia, full normalization of peripheral blood counts often does not occur even if therapy clears the marrow blasts. Thus, many phase I/II trials for AML in the older patient include categories such as CRi for patients who have fewer than 5% marrow blasts but mild residual cytopenias.

Many treatment strategies are designed to work more gradually using agents that may allow expression of tumor suppressor genes (eg, a methyltransferase inhibitor such as decitabine or 5-azacitidine) or increase apoptosis (eg, histone deacetylase inhibitors). Thus, success in these trials may be assessed using indirect measures, such as hematologic improvement or decreased transfusion requirements and survival, without actually achieving CR. Frequently, in these trials, marrow examination is not performed until completion of 1 to 2 cycles of therapy. However, the Guidelines do not currently recommend post-induction HMAs.

Postremission or Consolidation Therapy

Patients who achieve a CR (including CRi) with standard induction chemotherapy may receive further consolidation with these same agents.

Standard/Intermediate-Dose Cytarabine: The French ALFA 98 trial randomized patients aged 65 years and older who achieved remission ($n = 164$; randomized for postremission therapy) to consolidation with either 1 additional course of standard-dose cytarabine (200 mg/m^2 daily for 7 days) plus the anthracycline to which they had been randomized for induction (idarubicin, 9 mg/m^2 daily for 4 days or daunorubicin, 45 mg/m^2 daily for 4 days) or 6 monthly courses of anthracycline (1 day only) at the above doses and 60 mg/m^2 of cytarabine every 12 hours as a subcutaneous infusion at home for 5 days each month.²³⁹ Based on intent-to-treat analysis, patients randomized to the ambulatory arm had a significantly higher 2-year DFS rate (28% vs. 17%; $P = .04$) and OS rate (from time of CR; 56% vs. 37%; $P = .04$) compared with the single course of intense chemotherapy consolidation. In addition, the 2-year death rate

in CR was significantly lower in the ambulatory arm (0% vs. 5%; $P = .04$) and no difference was observed in the cumulative relapse rate between arms.²³⁹ Although the CALGB trial did not show an overall benefit for higher doses of cytarabine consolidation in older patients, a subset of patients with a good performance status, normal renal function, and a normal or low-risk karyotype might be considered for a single cycle of cytarabine ($1.0\text{--}1.5 \text{ g/m}^2$ daily for 4–6 doses) without an anthracycline.

Allogeneic Hematopoietic Transplantation: The role of myeloablative allogeneic HCT is limited in older patients because of significant comorbidities; however, ongoing interest has been shown in RIC allogeneic HCT as consolidation therapy.^{282,283} Case series and analysis of registry data have reported encouraging results, with 40% to 60% 2-year OS rates and 20% non-relapse mortality for patients who underwent transplant in remission.^{282,283} In a retrospective analysis comparing outcomes with RIC allogeneic HCT and autologous HCT in patients aged 50 years and older based on large registry data, RIC allogeneic HCT was associated with lower risk for relapse and superior DFS and OS relative to autologous HCT.²⁸² The authors also noted that a survival benefit was not observed in the subgroup of patients undergoing RIC allogeneic HCT in first CR because of an increased incidence of non-relapse mortality.

Estey et al²⁸⁴ prospectively evaluated a protocol in which patients aged 50 years and older with unfavorable cytogenetics would be evaluated for a RIC allogeneic HCT.²⁸⁴ Of the 259 initial patients, 99 experienced a CR and were therefore eligible for HCT evaluation. Of these patients, only 14 ultimately underwent transplantation because of illness, lack of donor, refusal, or unspecified reasons. The authors compared the results of RIC allogeneic HCT with those from matched subjects receiving conventional-dose chemotherapy. This analysis suggested that RIC allogeneic HCT was associated with improved RFS, and the authors concluded that this approach remains of interest.²⁸⁴ In an analysis of

outcomes between 2 different strategies for matched-sibling allogeneic HCT, outcomes in younger patients (age ≤ 50 years; $n = 35$) receiving conventional myeloablative allogeneic HCT were compared with those in older patients (age > 50 years; $n = 39$) receiving RIC allogeneic HCT.²⁸⁵ This study showed similar rates of 4-year non-relapse mortality (19% and 20%, respectively), and no difference was seen in relapse and OS rates.²⁸⁵

A retrospective study based on data in older patients (range, 50–70 years) with AML compared outcomes in patients who underwent allogeneic HCT (either myeloablative conditioning or RIC; $n = 152$) and those who did not receive HCT in first CR (chemotherapy only; $n = 884$).²⁸⁶ Allogeneic HCT in first CR was associated with a significantly lower 3-year cumulative relapse rate (22% vs. 62%; $P < .001$) and a higher 3-year RFS rate (56% vs. 29%; $P < .001$) compared with the non-HCT group. Although HCT was associated with a significantly higher rate of non-relapse mortality (21% vs. 3%; $P < .001$), the 3-year OS rate showed a survival benefit with HCT (62% vs. 51%; $P = .012$).²⁸⁶ Among the patients who underwent allogeneic HCT, myeloablative conditioning was used in 37% of patients, whereas RIC was used in 61%. Survival outcomes between these groups were similar, with 3-year OS rates of 63% and 61%, respectively.²⁸⁶

Another study evaluating treatment in older patients (range, 60–70 years) compared outcomes between RIC allogeneic HCT reported to the Center for International Blood and Marrow Transplant Research ($n = 94$) and standard chemotherapy induction and postremission therapy from the CALGB studies ($n = 96$).²⁸⁷ Allogeneic HCT in first CR was associated with significantly lower 3-year relapse (32% vs. 81%; $P < .001$) and higher 3-year leukemia-free survival rates (32% vs. 15%; $P < .001$) compared with the chemotherapy-only group. As would be expected, allogeneic HCT was associated with a significantly higher rate of non-relapse mortality (36% vs. 4%; $P < .001$) at 3 years; the 3-year OS rate was not significantly different

between the groups (37% vs. 25%; $P = .08$), although there was a trend favoring allogeneic HCT.²⁸⁷ A prospective multicenter phase II study examined the efficacy of RIC allogeneic HCT in older patients (range, 60–74 years) with AML in first CR ($n = 114$).²⁸⁸ After allogeneic HCT, DFS and OS at 2 years were 42% (95% CI, 33%–52%) and 48% (95% CI, 39%–58%), respectively, for the entire group.²⁸⁸ A time-dependent analysis of four successive prospective HOVON-SAKK AML trials examined data from patients aged 60 years and older who obtained a first CR after induction chemotherapy ($n = 640$).²⁸⁹ For patients who received allogeneic HCT as post-remission therapy ($n = 97$), a 5-year OS rate was 35% (95% CI, 25%–44%).²⁸⁹

Collectively, these studies suggest that RIC allogeneic HCT is a feasible treatment option for patients aged 60 years and older, particularly those in first CR with minimal comorbidities and who have an available donor. For this strategy to be better used, potential transplant options should be considered during induction therapy, and alternative donor options/searches should be explored earlier in the disease management. The guidelines note that RIC allogeneic HCT is considered an additional option for patients aged 60 years and older as postremission therapy in those experiencing a CR to induction therapy.

NCCN Recommendations

Previous intensive therapy: For patients who had previously received intensive therapy, a marrow to document remission status upon hematologic recovery should be performed after 4 to 6 weeks. If a CR is observed, a clinical trial is recommended. Other recommendations include: allogeneic HCT; standard-dose cytarabine with or without an anthracycline, and GO for CD33-positive AML; intermediate-dose cytarabine (for patients who are more fit); intermediate-dose cytarabine and midostaurin for patients with *FLT3*-mutation–positive AML,²⁰⁰ or CPX-351 [daunorubicin (29 mg/m²) and cytarabine (65 mg/m²)], if given during

induction for patients with therapy-related AML, antecedent hematologic disorder, or AML-MRC. If the patient received HMAs in induction, maintenance therapy with HMAs or observation may be appropriate. Observation is recommended, as some patients have been able to maintain a CR without further treatment.

For patients in induction failure, a clinical trial, low-intensity therapy (azacitidine, decitabine), allogeneic HCT (preferably in the context of a clinical trial), or best supportive care are recommended treatment options.

Previous lower intensity therapy: For patients who previously received lower-intensity therapy, a marrow to document remission status upon hematologic recovery should be performed after 8 to 12 weeks. If a response is observed, allogeneic HCT may be considered for select patients. Alternatively, low-dose therapies may be continued until progression, including venetoclax plus HMAs; venetoclax plus low-dose cytarabine; enasidenib (for *IDH2*-mutated AML); ivosidenib (for *IDH1*-mutated AML); glasdegib plus low-dose cytarabine; or HMAs alone or combined with sorafenib (for *FLT3*-mutant AML). If no response or progression is seen, a clinical trial, therapies for R/R AML, or best supportive care are recommended treatment options.

Role of MRD Monitoring

MRD in AML refers to the presence of leukemic cells below the threshold of detection by conventional morphologic methods. Patients who have achieved a CR by morphologic assessment alone can still harbor a large number of leukemic cells in the bone marrow.²⁹⁰ Due to the rapidly evolving nature of this field and the undeniable need for monitoring, MRD is still under investigation, with NCCN recommendations as discussed below.

While morphologic assessment is the first step in a cure for AML, there remains a level of MRD that currently lacks any standardized method of

monitoring. Two of the most commonly used techniques are real-time quantitative PCR (RQ-PCR) and flow cytometry. RQ-PCR amplifies leukemia-associated genetic abnormalities, while flow cytometric profiling detects leukemia-associated immunophenotypes (LAIPs).²⁹¹⁻²⁹³ Both methods have a higher sensitivity than conventional morphology. RQ-PCR has a detection range of 1 in 1000 to 1 in 100,000, while flow cytometry has sensitivity between 10^{-4} to 10^{-5} . The challenge of incorporating these techniques into routine practice is a lack of standardization and established cutoff values, though ongoing research is focused on addressing these limitations. Most of what is known about MRD monitoring has been done in the APL population;^{294,295} however, these techniques are now expanding to include other AML subtypes. Emerging technologies include digital PCR and NGS.²⁹⁰ NGS-based assays can be used to detect mutated genes through targeted sequencing gene panels,^{296,297} though higher sensitivities are observed in PCR- and flow cytometry-based methods compared to conventional NGS.²⁹⁰ The data from these methods have been correlated with AML treatment outcome and the preliminary results are promising. Refinement of these methods that take into account variables including the intrinsic nature of the transcript as well as factors of the patient population, including age, disease severity, and treatment, will make MRD monitoring in patients with AML a more reliable tool.

RQ-PCR

There are three classifications of RQ-PCR targets: leukemic fusion genes, mutations, and gene overexpression. The most investigated leukemic fusion genes are *RUNX1-RUNX1T1*, *CBFB-MYH11*, and *MLL (KMT2A)* fusion transcripts. Gene fusions are found in 20% and 35% of adult and childhood non-APL AML cases, respectively.^{205,298} Mutations in AML include *NPM1*, *DNMT3A*, and *FLT3-ITD* mutations. *NPM1* mutations are seen in approximately one-third of adult AML cases, while less than 10% of childhood cases have this mutation.^{299,300} Similarly, the *DNMT3A* mutation is found at a higher percentage in adult (15%–20%) compared to

childhood (2%) AML.^{72,301,302} The *FLT3-ITD* mutation is found in 25% of adult and 15% of childhood AML.^{51,303} Two less well-studied mutations that may serve as MRD markers include *CEBPA* and *MLL*-partial tandem duplications.³⁰⁴ Finally, the main target of gene overexpression in AML is the Wilms' tumor (*WT1*) gene. Taken together, these putative targets for MRD monitoring encompass the majority of AML cases.

A study of 29 patients with either *RUNX1-RUNX1T1* or *CBFB-MYH11* AML during postinduction and post-consolidation chemotherapy did not observe a correlation with survival.³⁰⁵ However, the authors did correlate a greater than or equal to 1 log rise in RQ-PCR transcript relative to the remission bone marrow sample as indicative of inferior leukemia-free survival and imminent morphologic relapse.³⁰⁵ Another study evaluated bone marrow from 53 patients during consolidation therapy and was the first to establish clinically relevant MRD cut-off values for the *CBFB-MYH11* transcript to stratify patients with increased risk of relapse.³⁰⁶ PCR negativity in at least one bone marrow sample during consolidation therapy was predictive of a 2-year RFS of 79% as compared to the 54% seen in PCR-positive patients. Similarly, Yin et al³⁰⁷ found that a less than a 3-log reduction in *RUNX1-RUNX1T1* transcript in bone marrow or a greater than 10 *CBFB-MYH11* copy number in peripheral blood after 1 course of induction chemotherapy was highly predictive of relapse.³⁰⁷ A study in 15 patients with childhood AML showed that increased *RUNX1-RUNX1T1* transcript levels were predictive of relapse.³⁰⁸ *MLL* fusion transcripts for MRD monitoring have also been analyzed in 19 patients with t(9;11)(q22;q23) AML. Eleven of these patients showed negative PCR for the *MLL* fusion transcripts, which were associated with a better outcome. While most studies have shown a correlation between transcript level and outcome, a study of childhood AML showed RQ-PCR of *RUNX1-RUNX1T1* to be a poor marker for relapse and the method to be inferior to flow cytometry.³⁰⁹ The different outcomes of the studies highlight the need for standardization of these

methods. It also may be an indication of variability between adult and pediatric populations, a factor that must be taken into account when establishing methods and cutoffs.

The use of RQ-PCR in mutations is hampered by the inability to distinguish the number of cells containing transcripts, as each cell may have variable levels. Furthermore, these transcripts still may be detected in cells that have differentiated in response to treatment and are no longer clonogenic, thereby giving a false positive.^{310,311} Another caveat is the instability of mutations that may result in false negatives. This is particularly true for *FLT3-ITD*³¹²⁻³¹⁴ and *NPM1* mutations.³¹⁵⁻³¹⁷ Despite these complications, several studies have correlated *NPM1* mutations and outcome.^{111,316,318-323} In a small study of 25 patients, the use of a higher sensitivity RQ-PCR was shown to circumvent transcript instability, ultimately showing that *FLT3-ITD* MRD monitoring was predictive of relapse.³²⁴ In comparison to *FLT3-ITD*, data suggest that *NPM1* mutations may be more stable.³¹⁸ Schittger et al³²² developed and tested primers for 17 different mutations of *NPM1*.³²² Serial analyses of 252 *NPM1*-mutated AML samples at 4 time points showed a strong correlation between the level of *NPM1*^{mut} and outcome. Kronke et al³¹⁷ further modified this method to show that *NPM1*^{mut} levels after double induction and consolidation therapy reflected OS and cumulative incidence of relapse.³¹⁷ In 245 patients, PCR negativity had a 6.5% 4-year cumulative incidence of relapse versus 53% for patients with a positive PCR.³¹⁷ This correlation was also seen when taken after completion of therapy. In addition, an RQ-PCR analysis of 2596 samples from 346 patients with *NPM1*-mutated AML demonstrated that MRD was the only independent prognostic factor for mortality (HR, 4.84; 95% CI, 2.57–9.15; *P* < .001) and persisting *NPM1*-mutated transcripts were associated with relapse.³¹⁹

CEBPA and *MLL*-partial tandem duplications are additional targets for MRD monitoring by RQ-PCR.^{304,325} While data suggest both transcripts

may be suitable MRD markers, the small sample sizes limit current use of these markers until data can be extrapolated to a larger population. Mutations associated with clonal hematopoiesis of indeterminate potential (CHIP) and aging including *DNMT3A*, *TET2*, and potentially *ASXL1*, are not considered reliable MRD markers.^{296,297}

Gene overexpression studies have focused on WT1. Retrospective data show that a lower level of WT1 after induction therapy is associated with long-term remission.³²⁶ A meta-analysis of 11 trials, encompassing 1297 patients, showed the poor prognostic significance of WT1 level.³²⁷ WT1 was overexpressed in 86% of marrow and 91% of blood samples from 504 patients with AML when compared to 204 healthy donors.³²⁸ However, when using the cutoff values of greater than 100-fold detection, only 46% of blood and 13% of marrow samples in the cohort were positive.³²⁸ This reflects the outliers of the healthy population that have higher WT1 transcripts. Furthermore, only 19% of childhood AML samples met this criterion in a study.³²⁹ While WT1 is a strong candidate for MRD monitoring, early studies show that there is variability in the detection of this transcript that must first be addressed. In a retrospective study of AML patients who underwent allogeneic HCT (n = 74), a multigene MRD RQ-PCR array predicted clinical relapses occurring in the first 100 days after allogeneic HCT compared with 57% sensitivity using WT1 RQ-PCR alone.³³⁰ Notably, for patients in CR prior to allogeneic HCT, the presence of pre-transplantation MRD positivity in peripheral blood testing was associated with survival similar to patients with pathologist bone marrow-based diagnosis of active disease.³³⁰

Flow Cytometry

Flow cytometry for the monitoring of AML measures the presence of tumor-specific antigens and abnormalities not found on normal bone marrow cells. Several known markers identify abnormal cells or cell maturation, and when used as a panel these markers can define cell

populations.³³¹ Studies in both adult and childhood AML cases show a correlation between flow cytometry and relapse. Loken et al³³² showed that 7 of 27 patients who had not achieved morphologic remission had negative MRD by flow cytometry. All 7 patients were long-term survivors when compared with the remaining 20 patients. Conversely, in a separate study of 188 patients in morphologic remission, less than 5% had high levels of MRD by flow cytometry.³³² A larger study of 1382 follow-up bone marrow samples from 202 children with AML demonstrated MRD to be a predictor of relapse. In this study 28 of the 38 samples (74%) with greater than 15% myeloblasts had measurements of 0.1% or greater by flow cytometry. In patients with 5% to 15% myeloblasts, 43 of the 129 patients (33%) were detected by the same threshold and only 100 of the 1215 samples (8%) with less than 5% myeloblasts fell into this category. The ability of MRD monitoring to predict an unfavorable EFS was statistically significant ($P < .0001$).³⁰⁹ In a study of adult patients with AML who underwent allogeneic HCT from peripheral blood or bone marrow donor (n = 359), pre-transplant staging with flow cytometry demonstrated similar outcomes in 3-year OS and PFS estimates between patients with MRD-positive morphologic remission and patients with active disease (26% vs. 23% and 12% vs. 13%, respectively) when compared to patients in MRD-negative remission (73% and 67%, respectively).³³³

The most difficult issue facing flow cytometry as an effective method for MRD monitoring is standardization and training. Flow cytometry relies heavily on the expertise of the technician who must take into account variability in instruments, fluorochromes, analysis software, and individual antigens. Variations in the treatment schedule, dosing, type of treatment, and time of draw are also potential variables. Despite the issues with flow cytometry, research is focused on improving the method by defining threshold cutoff values³³⁴⁻³³⁷ as well as generating standards to equalize data among different instruments and software programs. A recent study by Feller et al³³⁸ further defined LAIPs and evaluated whether data from an

established MRD monitoring laboratory could be replicated in four centers with no significant prior experience. Increased success rates of defining LAIPs were seen in all four centers after extensive group discussion. The inexperienced laboratories had a success rate of 82% to 93% for defining at least one LAIP in a sample from 35 evaluable samples. The missed LAIPs would have resulted in 7% to 18% of the patients being unevaluable by MRD in these centers. The number of samples incorrectly evaluated increases if they included samples in which at least two LAIPs were identified by the primary lab, but the other labs only detected one LAIP. This accounted for an additional 9% to 20% of cases that would have resulted in false negatives. LAIPs with high specificity and sensitivity (MRD levels of .01%) were very well-defined in the multicenter analysis. With regard to the missed LAIPs, the authors proposed the design of redundant panels to account for immunophenotypic shift. Inconsistencies in LAIPs with MRD of 0.1% or lower may be resolved with the use of a greater number of fluorochromes.³³⁹ Another important conclusion from this publication was the ability of these methods to be applied to different instruments; both the Beckman Coulter and the Becton Dickinson instruments were tested and obtained similar results. MRD monitoring is a more feasible option if performed in core facilities until greater research is done on the method to eliminate variability. Enrollment in clinical trials that provide MRD monitoring is encouraged.

Because a high-quality sample is essential for reliable treatment evaluation, the NCCN AML Panel recommends that the optimal sample for MRD assessment is either peripheral blood for PCR-based assays or the first pull/early pull of the bone marrow aspirate for flow cytometry- and NGS-based assays. The timing of MRD assessments will vary and depend on the regimen used,^{319,340} but may occur after completion of initial induction^{296,297} and before allogeneic transplantation.³⁴¹ However, except in the context of APL and pre-emptive donor lymphocyte infusion (DLI) treatment (with or without HMAs) post-allogeneic transplantation, the

NCCN AML Panel notes that there is no evidence that modifying clinical management based on a positive MRD (ie, persisting MRD after induction, relapse during/after therapy) modifies the outcome.

Postremission Surveillance and Therapy for Relapsed/Refractory AML

Monitoring for complete blood counts, including platelets, every 1 to 3 months for the first 2 years after patients have completed consolidation therapy, then every 3 to 6 months thereafter up to 5 years, is recommended. Bone marrow evaluation should be performed only if the hemogram becomes abnormal, rather than as routine surveillance at fixed intervals, unless the bone marrow evaluation is being performed as part of a clinical research protocol.

If no sibling donor has been identified, a donor search should be initiated at first relapse in appropriate patients concomitant with initiation of reinduction therapy. Ongoing studies are evaluating the role of molecular monitoring in the surveillance for early relapse in patients with AML (see *Role of MRD Monitoring*).

Treatment strategies for relapse are categorized according to patient age (see *Surveillance* in the algorithm). For patients younger than 60 years who have experienced a relapse, enrollment in clinical trials is considered an appropriate strategy and is a strongly preferred option by the panel. If the relapse is detected when the tumor burden is low and the patient has a previously identified sibling or alternative donor, chemotherapy followed by allogeneic HCT can be considered. Transplant should be considered only if the patient has entered remission or in the context of a clinical trial. If the relapse occurs “late” (≥ 12 months), retreatment with the previously successful induction regimen is also an option.

Similarly, patients 60 years or older who are physically fit and wish to pursue treatment after relapse may be offered the following options: 1)

therapy on clinical trial (strongly preferred); 2) chemotherapy followed by RIC allogeneic HCT (again, transplant should be considered only if the patient has entered remission or in the context of a clinical trial); or 3) retreatment with the initial successful induction for patients with a long initial remission duration (ie, relapse \geq 12 months). Best supportive care is always an option for patients who cannot tolerate or do not wish to pursue further intensive treatment.

The NCCN AML Panel recommends enrollment in a clinical trial for the management of R/R AML. The guidelines also provide a list of several commonly used regimens for R/R disease that are grouped as either aggressive or less aggressive therapy (see *Therapy for Relapsed/Refractory Disease* in the algorithm). The regimens represent purine analog (eg, fludarabine, cladribine, clofarabine)–containing regimens, which have shown remission rates of 30% to 45% in several clinical trials, and those that have been used as the comparator arms in U.S. cooperative group trials in the past decade. The representative regimens for aggressive therapy include: 1) cladribine, cytarabine, and G-CSF, with or without mitoxantrone or idarubicin^{342,343}; 2) HiDAC, if not previously received in treatment, with or without anthracycline; 3) fludarabine, cytarabine, and G-CSF (FLAG regimen) with or without idarubicin^{344,345}; 4) etoposide and cytarabine, with or without mitoxantrone³⁴⁶; 5) clofarabine (25 mg/m² daily for 5 days), cytarabine (2 g/m² daily for 5 days), and G-CSF³⁴⁷; 6) clofarabine (22.5 mg/m² daily for 5 days), idarubicin (6 mg/m² daily for 3 days), cytarabine (0.75 g/m² daily for 5 days), and G-CSF³⁴⁸; 7) clofarabine (22.5 mg/m² daily for 5 days) and idarubicin (10 mg/m² daily for 3 days)³⁴⁸; or 8) clofarabine alone.

A regimen with clofarabine (40 mg/m²) combined with cytarabine (2 g/m²) was evaluated in a randomized, placebo-controlled, phase III trial (CLASSIC I trial) in R/R AML, resulting in an ORR of 47% (CR rate, 35%) and a median OS of 6.6 months.³⁴⁹ A recent retrospective study compared

clofarabine versus fludarabine in combination with HiDAC with or without G-CSF.³⁵⁰ Patients treated with a clofarabine-based regimen (n = 50) compared to a fludarabine-based regimen (n = 101) had a higher CR rate (OR, 9.57; $P < .0001$) and a longer survival (mortality HR, 0.43; $P = .0002$).³⁵⁰

Less aggressive/intensive treatment options may include low-dose cytarabine^{266,351} (a category 2B recommendation) or HMAs.^{255,257,258,262,352,353} Sorafenib may be added to HMAs for patients with FLT3-ITD mutations.^{279,354} Other less intensive treatment options include gilteritinib for patients with *FLT3* mutations,²⁸¹ GO for patients with CD33-positive AML,³⁵⁵ and ivosidenib or enasidenib for patients with *IDH1/IDH2* mutations.^{274,275}

A study suggests that azacitidine followed by DLIs may be a treatment option for therapy in patients who have AML that relapses after allogeneic HCT.³⁵⁶ These data are based on a prospective phase II trial of 28 patients with AML. In this study, 22 patients received DLIs and an ORR of 30% was achieved. This included 7 CRs and 2 partial responses. At publication, there were 5 patients still in CR with a median of 777 days (range, 461–888 days). Neutropenia and thrombocytopenia grade III/IV were the most common adverse events (65% and 63%, respectively). Acute and chronic graft-versus-host disease were seen in 37% and 17% of patients, respectively. Correlations suggest a better response in patients with myelodysplasia-related changes ($P = .011$) and lower blast count ($P = .039$) or patients with high-risk cytogenetics ($P = .035$). However, interpretation of results is limited by the small size of the study.³⁵⁶

Supportive Care for Patients with AML

Although variations exist between institutional standards and practices, several supportive care issues are important to consider in the management of patients with AML. In general, supportive care measures



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may include the use of blood products for transfusion support and correction of coagulopathies, tumor lysis prophylaxis, anti-infective prophylaxis, and growth factor support. Monitoring for neurologic and cardiovascular toxicities may be required for particular therapeutic agents (HiDAC or ATO) or because of patient-specific comorbidities. These supportive care measures are tailored to address the specific needs and infection susceptibility of each individual patient.

When transfusion support is required, leukocyte-depleted blood products should be used for transfusion. Radiation of all blood products is advised in all patients receiving immunosuppressive therapy, particularly for patients receiving fludarabine-based regimens and those undergoing HCT. Cytomegalovirus (CMV) screening for potential HCT candidates is left to institutional policies regarding provision of CMV-negative blood products to patients who are CMV-negative at the time of diagnosis. HLA typing is routinely used in many institutions to select platelet donors for patients who exhibit alloimmunization to HLA-specific antigens.

Standard tumor lysis prophylaxis includes hydration with diuresis, and allopurinol administration or rasburicase treatment. Rasburicase is a genetically engineered recombinant form of urate oxidase enzyme. Rasburicase should be considered as initial treatment in patients with rapidly increasing blast counts, high uric acid, or evidence of impaired renal function. Urine alkalinization was previously recommended as a means to increase uric acid solubility and reduce the potential for uric acid precipitation in the tubules. However, this method is not generally favored as there are no data to support this practice and similar effects could be seen with saline hydration alone.³⁵⁷ Alkalinization can complicate care by increasing calcium phosphate deposits in vital organs (eg, kidney, heart) as a result of hyperphosphatemia. Furthermore, in contrast to allopurinol, rasburicase has the added benefit of rapid breakdown of serum uric acid, eliminating the need for urine alkalinization.

Patients who receive HiDAC should be closely monitored for changes in renal function, because renal dysfunction is highly correlated with increased risk of cerebellar toxicity. Patients should be monitored and assessed for nystagmus, dysmetria, slurred speech, and ataxia before each dose of HiDAC; patients exhibiting any neurologic signs should discontinue HiDAC, and all subsequent cytarabine therapy must be administered as standard dose. Patients who develop cerebellar toxicity should not be rechallenged with HiDAC in future treatment cycles.³⁵⁸ HiDAC should also be discontinued in patients with rapidly rising creatinine caused by tumor lysis.

Decisions regarding the use and choice of antibiotics to prevent and treat infections should be made by the individual institutions based on the prevailing organisms and their drug resistance patterns.³⁵⁹ Greater detail regarding the prevention and treatment of cancer-related infections can be found in the NCCN supportive care guidelines (see NCCN Clinical Practice Guidelines for Prevention and Treatment of Cancer-Related Infections) and commensurate with the institutional practice for antibiotic stewardship.

Growth factors (G- or GM-CSF) are not recommended during induction for patients with APL as they can complicate assessment of response and increase the risk of differentiation syndrome. However, in patients with AML (non-APL), growth factors may be considered during induction for patients who are septic and who have a life-threatening infection in an attempt to shorten the duration of neutropenia. Some regimens such as FLAG incorporate G-CSF into the regimen. However, the use of growth factors may complicate the interpretation of marrow results. There is a recommendation to discontinue colony-stimulating factors at least a week before a planned marrow sample to assess remission status.

There is no evidence for whether growth factors have a positive or negative impact on long-term outcome if used during consolidation.



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Growth factors may be considered as part of supportive care for postremission therapy. Growth factors are not routinely recommended in postremission therapy, except in life-threatening infections or when signs and symptoms of sepsis are present and the leukemia is believed to be in remission.

Evaluation and Treatment of CNS Leukemia

Leptomeningeal involvement is much less frequent (<3%) in patients with AML than in those with ALL; therefore, the panel does not recommend LP as part of the routine diagnostic workup. However, if neurologic symptoms (eg, headache, confusion, altered sensory input) are present at diagnosis, an initial CT/MRI should be performed to rule out the possibility of intracranial hemorrhage or presence of a mass or lesion. If no mass effect is seen, cerebrospinal fluid cytology should be sampled by LP. If the LP is negative for leukemic cells, the patient can be followed with a repeat LP if symptoms persist. If the LP is positive, IT chemotherapy is recommended, given concurrently with systemic induction therapy. IT therapy may include agents such as IT methotrexate or IT cytarabine either alone or combined. The selection of agents and dose schedules for IT therapy largely depend on the specific clinical situation (eg, extent of CNS leukemia, symptoms, systemic therapies given concurrently) and institutional practices. Initially, IT therapy is generally given twice weekly until the cytology shows no blasts, and then weekly for 4 to 6 weeks. Importantly, IT therapy should only be administered by clinicians with experience and expertise in the delivery of IT agents. HiDAC has significant penetration across the blood–brain barrier and may represent an alternative to repeated IT injections during induction therapy. The cerebrospinal fluid must then be reassessed after completion of induction therapy, and further IT therapy should be given as appropriate.

If the initial CT/MRI identifies a mass effect or increased intracranial pressure due to a parenchymal lesion in the brain, a needle aspiration or

biopsy may be considered. If the results are positive, then radiation therapy is recommended, followed by IT therapy, as described earlier. IT therapy or HiDAC should not be administered concurrently with cranial radiation because of the increased risks of neurotoxicity. Another option for these patients includes HiDAC-containing therapy with dexamethasone to help reduce intracranial pressure.

The panel does not recommend routine screening for occult CNS disease in most patients with AML in remission. The exceptions are patients with monocytic differentiation, biphenotypic leukemia, or WBC count greater than 40,000/mcL at diagnosis. For patients with positive cerebrospinal fluid by morphology, the panel recommends either IT chemotherapy, as outlined earlier, or documenting clearance of CNS disease after the first cycle of HiDAC chemotherapy. In addition to the recommended evaluation and treatment of CNS leukemia, further CNS surveillance should be followed based on institutional practice.

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